

VIATICAL SETTLEMENTS MODEL REGULATION

What are the state pages?

This chart is intended to provide readers with additional information to more easily access state statutes, regulations, bulletins or administrative rulings related to the NAIC model. Such guidance provides readers with a starting point from which they may review how each state has addressed the model and the topic being covered. The NAIC Legal Division has reviewed each state's activity in this area and has determined whether the citation most appropriately fits in the Model Adoption column, Previous Version column, or Related Activity column based on the definitions listed in the key below. The NAIC's interpretation may or may not be shared by the individual states or by interested readers.

How do you use them?

States and territories are listed alphabetically in the chart. Locate the state or territory you are interested in, and depending on which column the citation falls under, you will know whether the NAIC Legal Division has deemed a state's law to be adoption of a model or not. To perform further research, use the citations to locate state laws.

Who do I speak to if I have questions?

If you have questions or believe information related to a state should be updated, please contact Jennifer Neuerburg at jneuerburg@naic.org.

***Disclaimer:** This chart does not constitute a formal legal opinion by the NAIC staff on the provisions of state law and should not be relied upon as such. Nor does this state page reflect a determination as to whether a state meets any applicable accreditation standards. Every effort has been made to provide correct and accurate summaries to assist readers in locating useful information. Readers should consult state law for further details and for the most current information.*

VIATICAL SETTLEMENTS MODEL REGULATION

STATE PAGE KEY:

MODEL ADOPTION: States that have citations identified in this column adopted the most recent version of the NAIC model in a **substantially similar manner**. This requires states to adopt the model in its entirety but does allow for variations in style and format. States that have adopted portions of the current NAIC model will be included in this column with an explanatory note.

PREVIOUS VERSION: States that have citations identified in this column (and nothing listed in the Model Adoption column) have enacted an older version of the model but have **not** adopted the most recent version of the NAIC model.

RELATED ACTIVITY: Examples of Related Activity include but are not limited to statutes or regulations addressing the same subject matter, or other administrative guidance such as bulletins and notices. States that have citations identified in this column (and nothing listed in the Model Adoption column) have **not** adopted the most recent version of the NAIC model in a **substantially similar manner**.

NO CURRENT ACTIVITY: No state activity on the topic as of the date of the most recent update. This includes states that have repealed legislation as well as states that have never adopted legislation.

NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Alabama	NO CURRENT ACTIVITY		
Alaska	ALASKA ADMIN. CODE tit. 3, §§ 31.300 to 31.449 (2002/2012) (portions of model).		
American Samoa	NO CURRENT ACTIVITY		
Arizona	NO CURRENT ACTIVITY		
Arkansas			69 ARK. CODE R. (1998); DIRECTIVE 1-2009 (2009).
California			CAL. CODE REGS. tit. 10, §§ 2548 (2007/2014); BULLETIN 20122 (2012).
Colorado			3 COLO. CODE REGS. 702-2:2-1-11 (2012).
Connecticut			CONN. AGENCIES REGS. §§ 38a-465-2 to 38a-465-10 (1998/2013).
Delaware	NO CURRENT ACTIVITY		
District of Columbia	NO CURRENT ACTIVITY		
Florida			MEMORANDUM 2006-014 (2006).

VIATICAL SETTLEMENTS MODEL REGULATION

NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Georgia	NO CURRENT ACTIVITY		
Guam	NO CURRENT ACTIVITY		
Hawaii			HAW. REV. STAT. §§ 431C-31 to 34 (2012).
Idaho			IDAHO ADMIN. CODE r. 18.01.13.000 to 18.01.13.016 (2009).
Illinois	NO CURRENT ACTIVITY		
Indiana			760 IND. ADMIN. CODE 1-61-1 to 1-61-12 (1999/2005).
Iowa			IOWA ADMIN. CODE R. 191.48.1 to 191.48.13 (2002/2012); IOWA ADMIN. CODE r. 191-50.110 to 191-50.124 (2000/2007) (investments in viatical settlements); BULLETIN 2011-5 (2011).
Kansas	NO CURRENT ACTIVITY		
Kentucky			806 KY. ADMIN. REGS. 9:310 to 9:320 (2001/2009); 806 KY. ADMIN. REGS. 15:050 (2001/2009).
Louisiana			LA. ADMIN. CODE tit. 37, §§ XIII.3901 to XIII.3919 (Regulation 58) (1995/2009).
Maine			02-031 ME. CODE R. 931(2000); 02-032 ME. CODE R. § 539 (2007).
Maryland			MD. CODE REGS.31.09.11.01 to 31.09.11.02 (2007).
Massachusetts	NO CURRENT ACTIVITY		
Michigan	NO CURRENT ACTIVITY		
Minnesota	NO CURRENT ACTIVITY		

VIATICAL SETTLEMENTS MODEL REGULATION

NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Mississippi			MISS. CODE R. 19-2:15 §§ 1 to 14 (2012).
Missouri	NO CURRENT ACTIVITY		
Montana	MONT. ADMIN. R. 6.6.8501 to 6.6.8512 (2000/2006) (portions of model).		
Nebraska			210 NEB. ADMIN. CODE § 76 (2002).
Nevada			NEV. ADMIN. CODE § R180-03 (2004); R120-06 (2006); BULLETIN 2006-007 (2006); BULLETIN 2011-011 (2011).
New Hampshire	NO CURRENT ACTIVITY		
New Jersey			N.J. ADMIN. CODE §§ 11:4-35.1 to 11:4-35.18 (2001).
New Mexico			13 N.M. CODE R. §§ 13.9.15.1 to 13.9.15.20 (2000).
New York			N.Y. COMP. CODES R. & REGS. tit. 11, §§ 380.1 to 380.10 (Regulation 148) (1994/2003); §§ 381.1 to 381.3 (2010/2011); OGC 11-6-2006 (2006).
North Carolina			11 N.C. ADMIN. CODE §§ 12.1710 to 12.1720 (2002); MEMORANDUM 2-3-2014 (2014).
North Dakota	NO CURRENT ACTIVITY		
Northern Marianas	NO CURRENT ACTIVITY		
Ohio			OHIO ADMIN. CODE 3901:9-01 (2010).
Oklahoma			OKLA. ADMIN. CODE §§ 365:25-11-1 to 365:25-11-6 (1999/2009); BULLETIN 11-1-2008 (2008); BULLETIN 4-9-2009 (2009).

VIATICAL SETTLEMENTS MODEL REGULATION

NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Oregon			OR. ADMIN.R. 836-014-0200 to 836-014-0330 (1996/2006).
Pennsylvania	NO CURRENT ACTIVITY		
Puerto Rico	NO CURRENT ACTIVITY		
Rhode Island	NO CURRENT ACTIVITY		
South Carolina	NO CURRENT ACTIVITY		
South Dakota	NO CURRENT ACTIVITY		
Tennessee			TENN. COMP. R. & REGS. 0780-1-71-.01 to 0780-1-71-.11 (2003).
Texas			28 TEX. ADMIN. CODE §§ 3.1701 to 1760 (2013); BULLETIN B-0028-08 (2008); BULLETIN B-0036-11 (2011).
Utah			UTAH ADMIN. CODE r. 590-222-1 to 590-222-14 (2003/2013).
Vermont			95 VT. CODE R. § 4 (1996).
Virgin Islands	NO CURRENT ACTIVITY		
Virginia			14 VA. ADMIN. CODE §§ 5-71-10 to 5-71-100 (1997/2003).
Washington			WASH. ADMIN. CODE 284-97-010 to 284-97-050 (1995/2013).
West Virginia			W. VA. CODE R. §§ 114-80-1 to 114-80-12 (2008/2009).
Wisconsin			WIS. ADMIN. CODE INS. § 2.18 (2012).
Wyoming	NO CURRENT ACTIVITY		