

Date: 2/27/24

Virtual Meeting

MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP

Tuesday, March 5, 2024

1:00 – 2:00 p.m. ET / 12:00 p.m. – 1:00 p.m. CT / 11:00 – 12:00 p.m. MT / 10:00 – 11:00 a.m. PT

ROLL CALL

Matthew Tarpley, Chair	Texas	Ralph Boeckman	New Jersey
Brett Bache, Vice Chair	Rhode Island	Patrick Zeller	New Mexico
Maria Ailor	Arizona	Sylvia Lawson	New York
Teri Ann Mecca	Arkansas	Teresa Knowles	North Carolina
Nick Gill	Connecticut	Rodney Beetch	Ohio
Sharon Shipp	District of Columbia	Landon Hubbard	Oklahoma
Elizabeth Nunes/ Paula Shamburger	Georgia	Tashia Sizemore	Oregon
Erica Weyhenmeyer	Illinois	Gary Jones/ Paul Towsen	Pennsylvania
Doug Ommen	Iowa	Karla Nuissl	Vermont
Ron Kreiter	Kentucky	Bryan Wachter	Virginia
Mary Lou Moran	Massachusetts	Jeanette Plitt	Washington
Jeff Hayden	Michigan	Desiree Mauller	West Virginia
T.J. Patton/Bryce Wang	Minnesota	Rebecca Rebholz/ Darcy Paskey	Wisconsin
Teresa Kroll/Jo LeDuc	Missouri		
Peggy Willard-Ross/ Hermoliva Abejar	Nevada		
Maureen Belanger/ Ellen Walsh	New Hampshire		

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Hear Opening Remarks—*Matthew Tarpley (TX)*
2. Discuss its 2024 Adopted Charges—*Matthew Tarpley (TX)* Attachment 1
3. Discuss Any Other Matters Brought Before the Working Group—*Matthew Tarpley (TX)*
4. Adjournment

Market Conduct Examination Guidelines (D) Working Group 2024 Charges

Posted on the Working Group's web page at

https://content.naic.org/cmte_d_market_conduct_exam_guidelines.htm

The Market Conduct Examination Guidelines (D) Working Group will:

1. Develop market conduct examination standards, as necessary, for inclusion in the *Market Regulation Handbook*.
2. Monitor the adoption and revision of NAIC models and develop market conduct examination standards to correspond with adopted NAIC models.
3. Develop updated standardized data requests, as necessary, for inclusion in the *Market Regulation Handbook*.
4. Discuss the development of uniform market conduct procedural guidance (e.g., a library, repository, or shared collaborative space with market conduct examination templates, such as an exam call letter, exam exit agenda, etc.) for inclusion in, or for use in conjunction with, the *Market Regulation Handbook*.
5. Coordinate with the Innovation, Cybersecurity, and Technology (H) Committee to develop market conduct examiner guidance for the oversight of regulated entities' use of insurance and non-insurance consumer data and models using algorithms and artificial intelligence (AI).