



NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS

Date: 8/26/21

Virtual Meeting

MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP

Thursday, September 2, 2021

12:00 – 1:00 p.m. ET / 11:00 a.m. – 12:00 p.m. CT / 10:00 – 11:00 a.m. MT / 9:00 – 10:00 a.m. PT

ROLL CALL

Damion Hughes, Chair	Colorado	Leatrice Geckler/	New Mexico
Erica Weyhenmeyer, Vice Chair	Illinois	Joel Bengo	
Sarah Borunda	Arizona	Sylvia Lawson	New York
Crystal Phelps	Arkansas	Teresa Knowles	North Carolina
Kurt Swan	Connecticut	Todd Oberholtzer	Ohio
Frank Pyle	Delaware	Landon Hubbard	Oklahoma
Sharon Shipp	District of Columbia	Brian Fordham/	Oregon
Doug Ommen	Iowa	Tashia Sizemore	
Ron Kreiter	Kentucky	Katie Dzurec/	Pennsylvania
Mary Lou Moran	Massachusetts	Christopher Monahan	
Jill Huisken	Michigan	Matt Gendron/	Rhode Island
Paul Hanson	Minnesota	Brett Bache	
Win Nickens/Stewart Freilich	Missouri	Matthew Tarpley	Texas
Peggy Willard-Ross/	Nevada	Christina Rouleau	Vermont
Hermoliva Abejar		Julie Fairbanks	Virginia
Maureen Belanger/	New Hampshire	Jeanette Plitt	Washington
Edwin Pugsley		Desiree Mauller	West Virginia
Ralph Boeckman	New Jersey	Rebecca Rebholz/	Wisconsin
		Diane Dambach	

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Review and Consider for Adoption New Draft Title Standardized Data Requests (SDRs) for Inclusion in the Reference Documents of the *Market Regulation Handbook* (Charge 3) — *Damion Hughes (CO)* Attachment 1
 - A. Title In-Force SDR, Aug. 26 Draft
 - B. Title Claims SDR, Aug. 26 Draft

2. Receive Updates from State Insurance Regulator Volunteers Reviewing Models Potentially Affecting the *Market Regulation Handbook* (Charges 1, 2, 7) — *Damion Hughes (CO)* Attachment 2
 - A. *Unfair Trade Practices Act* (#880) — *Erica Weyhenmeyer (IL)*
 - B. *Insurance Holding Company System Regulatory Act* (#440) — *Ron Kreiter (KY)*
 - C. *Corporate Governance Annual Disclosure Model Act* (#305) and *Corporate Governance Annual Disclosure Model Regulation* (#306) — *Cynthia Amann (MO)*
 - D. *Suitability in Annuity Transactions Model Regulation* (#275) — *Brian Werbeloff (RI)*
 - E. *Health Maintenance Organization Model Act* (#430) — *Darcy Paskey (WI)*



NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS

- F. *Model Regulation to Implement the NAIC Medicare Supplement Insurance Minimum Standards Model Act (#651) — Mary Kay Rodriguez (WI)*
- 3. Discuss Any Other Matters Brought Before the Working Group — *Damion Hughes (CO)*
- 4. Adjournment