Date: 10/17/22

Virtual Meeting

MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP
Thursday, October 20, 2022
12:00 – 1:00 p.m. ET / 12:00 p.m. CT / 10:00 – 11:00 a.m. MT / 9:00 – 10:00 a.m. PT

ROLL CALL

Damion Hughes, Chair Colorado Leatrice Geckler New Mexico
Erica Weyhenmeyer, Vice Chair Illinois Sylvia Lawson New York
Maria Ailor Arizona Teresa Knowles North Carolina
Crystal Phelps/Teri Ann Mecca Arkansas Todd Oberholtzer Ohio
Kurt Swan Connecticut Landon Hubbart Oklahoma
Frank Pyle Delaware Brian Fordham/ Oregon
Sharon Shipp District of Columbia Tasha Sizemore Pennsylvania
Elizabeth Nunes/ Paula Shamburger Georgia Gary Jones/ Pennsylvania
Doug Ommen Iowa Matt Gendron/ Rhode Island
Ron Kreiter Kentucky Brett Bache Texas
Mary Lou Moran Massachusetts Matthew Tarpley Utah
Jeff Hayden Michigan Tanji J. Northrup/ Utah
Paul Hanson Minnesota Shelley Wiseman
Win Nickens/Jo LeDuc Missouri Karla Nuissl Vermont
Peggy Willard-Ross/ Nevada Julie Fairbanks Virginia
Hermoliva Abejar Minnesota Jeanette Plitt Washington
Maureen Belanger/ New Hampshire Desiree Mauller West Virginia
Edwin Pugsley New Jersey Rebecca Rebholz/ Wisconsin
Ralph Boeckman New Jersey Diane Dambach

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Consider Adoption of its Sept. 8 Minutes—Damion Hughes (CO) Attachment 1

2. Consider Adoption of Revisions to Chapter 23—Conducting the Life and Annuity Examination of the Market Regulation Handbook Aug. 22 Draft —Damion Hughes (CO)
   • Center for Economic Justice (CEJ)/ Independent Insurance Agents & Brokers of America (IIABA) Sept. 16 Comments
   • CEJ Sept. 16 Comments
   • Insured Retirement Institute (IRI) Sept. 16 Comments

Attachment 2
3. Review Travel Insurance-Related Standardized Data Requests (SDRs) for Inclusion in the Reference Documents of the Market Regulation Handbook —Damion Hughes (CO)
   - Travel In-Force SDR, Aug. 17 Draft
   - Travel Claims SDR, Aug. 17 Draft
     - Missouri Sept. 16 Comments
     - CEJ Sept. 16 Comments

4. Discuss 2022 Working Group Charges—Damion Hughes (CO) —Attachment 4

5. Discuss Any Other Matters Brought Before the Working Group —Damion Hughes (CO)

6. Adjournment