

Date: 10/17/22

*Virtual Meeting*

**MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP**

Thursday, October 20, 2022

12:00 – 1:00 p.m. ET / 11:00 a.m. – 12:00 p.m. CT / 10:00 – 11:00 a.m. MT / 9:00 – 10:00 a.m. PT

**ROLL CALL**

|   |                      |                                       |                |
|---|----------------------|---------------------------------------|----------------|
| Damion Hughes, Chair                    | Colorado             | Leatrice Geckler                      | New Mexico     |
| Erica Weyhenmeyer, Vice Chair           | Illinois             | Sylvia Lawson                         | New York       |
| Maria Ailor                             | Arizona              | Teresa Knowles                        | North Carolina |
| Crystal Phelps/Teri Ann Mecca           | Arkansas             | Todd Oberholtzer                      | Ohio           |
| Kurt Swan                               | Connecticut          | Landon Hubbard                        | Oklahoma       |
| Frank Pyle                              | Delaware             | Brian Fordham/<br>Tashia Sizemore     | Oregon         |
| Sharon Shipp                            | District of Columbia | Gary Jones/<br>Paul Townsen           | Pennsylvania   |
| Elizabeth Nunes/<br>Paula Shamburger    | Georgia              | Matt Gendron/<br>Brett Bache          | Rhode Island   |
| Doug Ommen                              | Iowa                 | Matthew Tarpley                       | Texas          |
| Ron Kreiter                             | Kentucky             | Tanji J. Northrup/<br>Shelley Wiseman | Utah           |
| Mary Lou Moran                          | Massachusetts        | Karla Nuisl                           | Vermont        |
| Jeff Hayden                             | Michigan             | Julie Fairbanks                       | Virginia       |
| Paul Hanson                             | Minnesota            | Jeanette Plitt                        | Washington     |
| Win Nickens/Jo LeDuc                    | Missouri             | Desiree Mauller                       | West Virginia  |
| Peggy Willard-Ross/<br>Hermoliva Abejar | Nevada               | Rebecca Rebholz/<br>Diane Dambach     | Wisconsin      |
| Maureen Belanger/<br>Edwin Pugsley      | New Hampshire        |                                       |                |
| Ralph Boeckman                          | New Jersey           |                                       |                |

NAIC Support Staff: Petra Wallace/Lois E. Alexander

**AGENDA**

1. Consider Adoption of its Sept. 8 Minutes—*Damion Hughes (CO)* Attachment 1
2. Consider Adoption of Revisions to Chapter 23—Conducting the Life and Annuity Examination of the *Market Regulation Handbook* Aug. 22 Draft Attachment 2  
—*Damion Hughes (CO)*
  - Center for Economic Justice (CEJ)/ Independent Insurance Agents & Brokers of America (IIABA) Sept. 16 Comments
  - CEJ Sept. 16 Comments
  - Insured Retirement Institute (IRI) Sept. 16 Comments

3. Review Travel Insurance-Related Standardized Data Requests (SDRs) for Inclusion in the Reference Documents of the *Market Regulation Handbook*—*Damion Hughes (CO)* Attachment 3
  - Travel In-Force SDR, Aug. 17 Draft
  - Travel Claims SDR, Aug. 17 Draft
    - Missouri Sept. 16 Comments
    - CEJ Sept. 16 Comments
  
4. Discuss 2022 Working Group Charges—*Damion Hughes (CO)* Attachment 4
  
5. Discuss Any Other Matters Brought Before the Working Group—*Damion Hughes (CO)*
  
6. Adjournment