Date: 11/02/21

Virtual Meeting

MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP
Thursday, November 4, 2021
12:00 – 1:00 p.m. ET / 11:00 a.m. – 12:00 p.m. CT / 10:00 – 11:00 a.m. MT / 9:00 – 10:00 a.m. PT

ROLL CALL

Damion Hughes, Chair Colorado Leatrice Geckler/ New Mexico
Erica Weyhenmeyer, Vice Chair Illinois Joel Bengo
Sarah Borunda Arizona Sylvia Lawson New York
Crystal Phelps Arkansas Teresa Knowles North Carolina
Kurt Swan Connecticut Todd Oberholtzer Ohio
Frank Pyle Delaware Landon Hubbard Oklahoma
Sharon Shipp District of Columbia Brian Fordham/ Tashia Sizemore Oregon
Elizabeth Nunes/ Georgia Gary Jones/ Pennsylvania
Scott Sanders
Doug Ommen Iowa Crystal Welsh Rhode Island
Ron Kreiter Kentucky Matt Gendron/ Brett Bache Texas
Mary Lou Moran Massachusetts Matthew Tarpley Vermont
Jill Huisken Michigan Karla Nuissl Virginia
Paul Hanson Minnesota Julie Fairbanks Washington
Win Nickens/Stewart Freilich Missouri Jeanette Plitt West Virginia
Peggy Willard-Ross/ Nevada Desiree Mauller Wisconsin
Hermoliva Abejar
Maureen Belanger/ New Hampshire Rebecca Rebholz/ Diane Dambach
Edwin Pugsley
Ralph Boeckman New Jersey

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Consider Adoption of its Oct. 7 Minutes—Damion Hughes (CO) Attachment 1

2. Review and Discuss Revisions to Chapter 25—Conducting the Medicare Supplement Examination of the Market Regulation Handbook, Sept. 27 Draft —Mary Kay Rodriguez (WI) Attachment 2

3. Review and Discuss Revisions to Chapter 24—Conducting the Health Examination of the Market Regulation Handbook, Sept. 30 Draft—Diane Dambach (WI) Attachment 3

4. Review and Discuss Revisions to Chapter 21—Conducting the Property and Casualty Examination of the Market Regulation Handbook, Oct. 27 Draft—Patrice Garnette (DC) Attachment 4

6. Receive Verbal Updates from State Insurance Regulator Volunteers Reviewing Models Potentially Affecting the Market Regulation Handbook (Charges 1, 2, 7)—Damion Hughes (CO)
   A. Suitability in Annuity Transactions Model Regulation (#275)
      —Brian Werbeloff (RI) and Kurt Swan (CT)
   B. Corporate Governance Annual Disclosure Model Act (#305) and Corporate Governance Annual Disclosure Model Regulation (#306)
      —Cynthia Amann (MO)

7. Receive a Verbal Update on the Working Group’s Group Supervision Charge —Tim Mullen (NAIC) (Charge 6)

8. Discuss Any Other Matters Brought Before the Working Group —Damion Hughes (CO)

9. Adjournment