

Draft date: 4/28/26

Virtual Meeting

CREDIT RATING PROVIDER (E) WORKING GROUP

Monday, May 4, 2026

2:00 p.m. – 3:00 p.m. ET / 1:00 p.m. – 2:00 p.m. CT / 12:00 p.m. – 1:00 p.m. MT / 11:00 a.m. – 12:00 p.m. PT

ROLL CALL

Jake Garn, Chair	Utah	Cameron Piatt	Ohio
Laura Clements, Vice Chair	California	Amy Garcia	Texas
Ken Cotrone	Connecticut	Dan Bumpus	Virginia
Carrie Mears	Iowa	Steve Drutz	Washington
Cynthia Iu	Nebraska	Amy Malm	Wisconsin

NAIC Committee Support: Jane Ren

AGENDA

1. Discuss and Consider Exposure of the Credit Rating Provider (CRP) Due Diligence Framework—*Jake Garn (UT)*
 - A. PricewaterhouseCoopers (PwC)

Attachment 1
Attachment 1 -A
2. Discuss Any Other Matters Brought Before the Working Group—*Jake Garn (UT)*
3. Adjournment