

EXAMINATION OVERSIGHT (E) TASK FORCE

Examination Oversight (E) Task Force March 25, 2021, Minutes

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Draft Pending Adoption

Draft: 3/31/21

Examination Oversight (E) Task Force
Virtual Meeting (*in lieu of meeting at the 2021 Spring National Meeting*)
March 25, 2021

The Examination Oversight (E) Task Force met March 25, 2021. The following Task Force members participated: Judith L. French, Chair, represented by Dwight Radel (OH); Carter Lawrence, Vice Chair, represented by Joy Little (TN); Lori K. Wing-Heier represented by David Phifer (AK); Alan McClain represented by Mel Anderson (AR); Jon Savary represented by David Lee (AZ); Ricardo Lara represented by Susan Bernard (CA); Michael Conway represented by Rolf Kaumann (CO); Andrew N. Mais represented by William Arfanis (CT); Karima M. Woods represented by N. Kevin Brown (DC); Doug Ommen represented by Daniel Mathis (IA); Dean L. Cameron represented by Eric Fletcher (ID); Stephen W. Robertson represented by Roy Eft (IN); Vicki Schmidt represented by Tish Becker (KS); Sharon P. Clark represented by Jeff Gaither (KY); James J. Donelon represented by Melissa Gibson (LA); Gary D. Anderson represented by James A. McCarthy (MA); Anita G. Fox represented by Judy Weaver (MI); Grace Arnold represented by Kathleen Orth (MN); Chlora Lindley-Myers represented by Shannon Schmoeger (MO); Jon Godfread represented by Matt Fischer (ND); Bruce R. Ramge represented by Justin Schrader (NE); Chris Nicolopoulos represented by Patricia Gosselin (NH); Russell Toal represented by Leatrice Geckler (NM); Glen Mulready represented by Eli Snowbarger (OK); Elizabeth Kelleher Dwyer represented by Jack Broccoli (RI); Larry D. Deiter represented by Johanna Nickelson (SD); Doug Slape represented by Shawn Frederick (TX); Jonathan T. Pike represented by Malis Rasmussen (UT); Scott A. White represented by David Smith and Doug Stolte (VA); Mark Afile represented by Amy Malm (WI); and Jeff Rude represented by Linda Johnson (WY).

1. Adopted its Nov. 17, 2020, Minutes

Ms. Malm made a motion, seconded by Mr. Kaumann, to adopt the Task Force's Nov. 17, 2020, minutes (*see NAIC Proceedings – Fall 2020, Examination Oversight (E) Task Force*). The motion passed unanimously.

2. Adopted the Reports of its Working Groups

a. Electronic Workpaper (E) Working Group

Ms. Bernard provided the report of the Electronic Workpaper (E) Working Group. She stated that the Working Group met March 15 and Jan. 27 in regulator-to-regulator session, pursuant to paragraph 4 (internal or administrative matters of the NAIC or any NAIC member) of the NAIC Policy Statement on Open Meetings, to continue discussions on evaluating replacement options for TeamMate AM, which will be reaching its end of life in 2023.

b. Financial Examiners Coordination (E) Working Group

Mr. Arfanis provided the report of the Financial Examiners Coordination (E) Working Group. He stated that the Working Group met March 18 in regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities or individuals) of the NAIC Policy Statement on Open Meetings, to receive reports on exam coordination efforts from selected states.

Ms. Bernard made a motion, seconded by Ms. Johnson, to adopt the reports of the Electronic Workpaper (E) Working Group and the Financial Examiners Coordination (E) Working Group.

Having no further business, the Examination Oversight (E) Task Force adjourned into regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities or individuals) of the NAIC Policy Statement on Open Meetings, to receive reports on exams open past 22 months.

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