

OUR MEETING WILL BEGIN SHORTLY

# Market Conduct Regulation Modernization (D) Working Group

**April 9, 2026**

3:00 – 4:00 p.m. ET / 2:00 – 3:00 p.m. CT / 1:00 – 2:00 p.m. MT / 12:00 – 1:00 p.m. PT

- All attendees have been muted on entry. All attendees can mute and unmute themselves, but please keep yourself on mute when not providing comments.
- Participants may use the “Chat” feature for questions, comments, or assistance.
- While not necessary, participants may use the "Raise Hand" feature, and NAIC staff will let the Chair know you would like to speak.

## Roll Call

Ann Gillespie, Chair	Illinois	Kaitlin Asrow / Avani	New York
Angela L. Nelson, Vice Chair	Missouri	Todd Oberholzer / Guy	Ohio
Heather Carpenter / Molly Nollette	Alaska	Landon Hubbart	Oklahoma
Jimmy Harris	Arkansas	David Buono	Pennsylvania
Pam O'Connell / Don McKinley	California	Matt Gendron	Rhode Island
Sheryl Parker	Florida	Larry D. Deiter	South Dakota
Dean L. Cameron / Shannon Hohl	Idaho	Tanji Northrup	Utah
Anita Fox	Michigan	Mary Block / Karla Nuisl	Vermont
Sara Payne	Minnesota	Andrea Baytop / Julie	Virginia
Eric Dunning / Martin Swanson	Nebraska	Sandy Ray	Washington
Ned Gaines	Nevada	Joylynn Fix / Ellen Potter	West Virginia
D. J. Bettencourt	New Hampshire	Jamie Adams	Wisconsin

# **Agenda Item 1**

## **Discuss the Workplan for Market Conduct Regulation Modernization (D) Working Group**

***Director Ann Gillespie (IL)***

# Market Conduct Regulation Modernization (D) Working Group

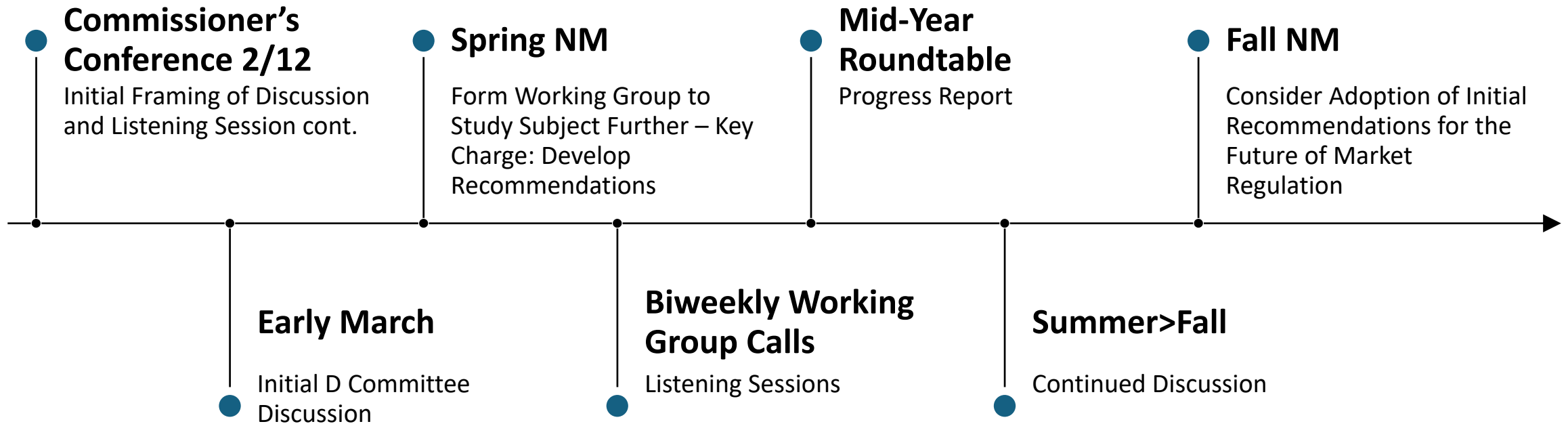
Assess with input from NAIC members and interested stakeholders the current state of the market conduct regulatory framework and the need for changes in response to changing markets, business models, and consumer expectations.

Provide recommendations for the improvement and modernization of the market conduct regulatory framework.

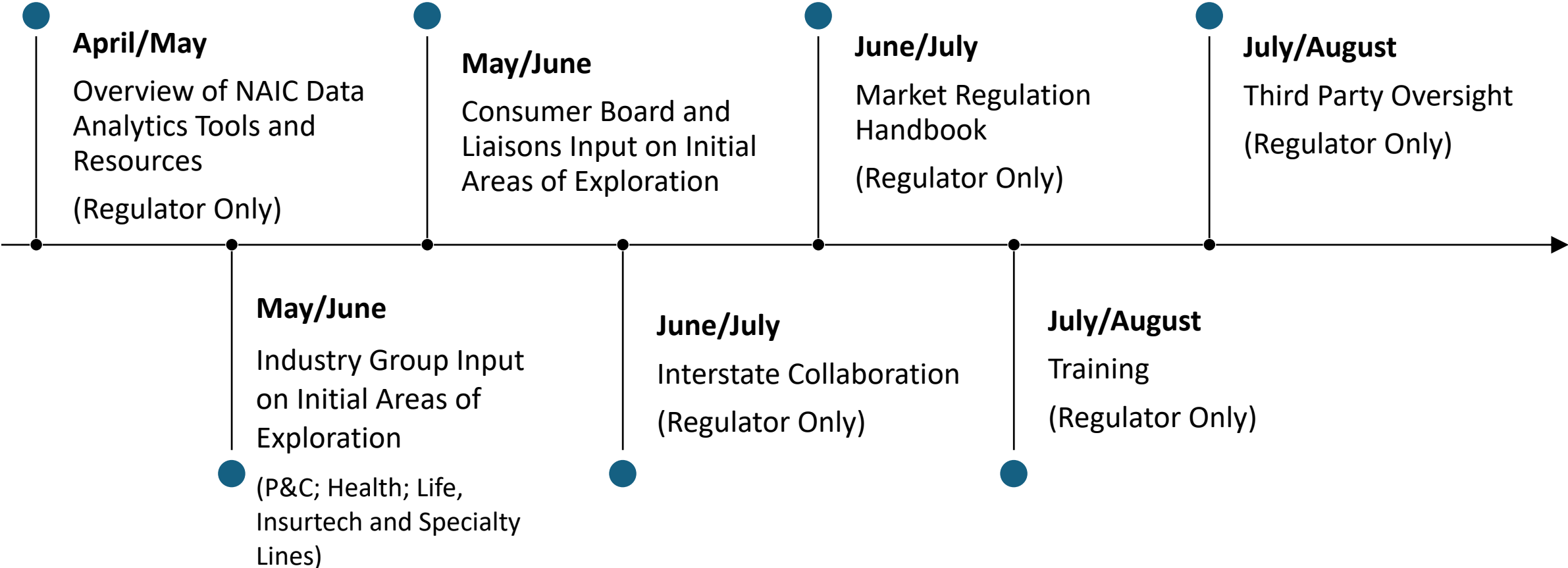
# Areas for Exploration

- Market Conduct Data
  - Collection
  - Analysis
- Interstate Collaboration
  - Analysis
  - Examinations
- Examination Handbook and Approaches
- NAIC Support and Systems
- Training
- Other Entity Oversight

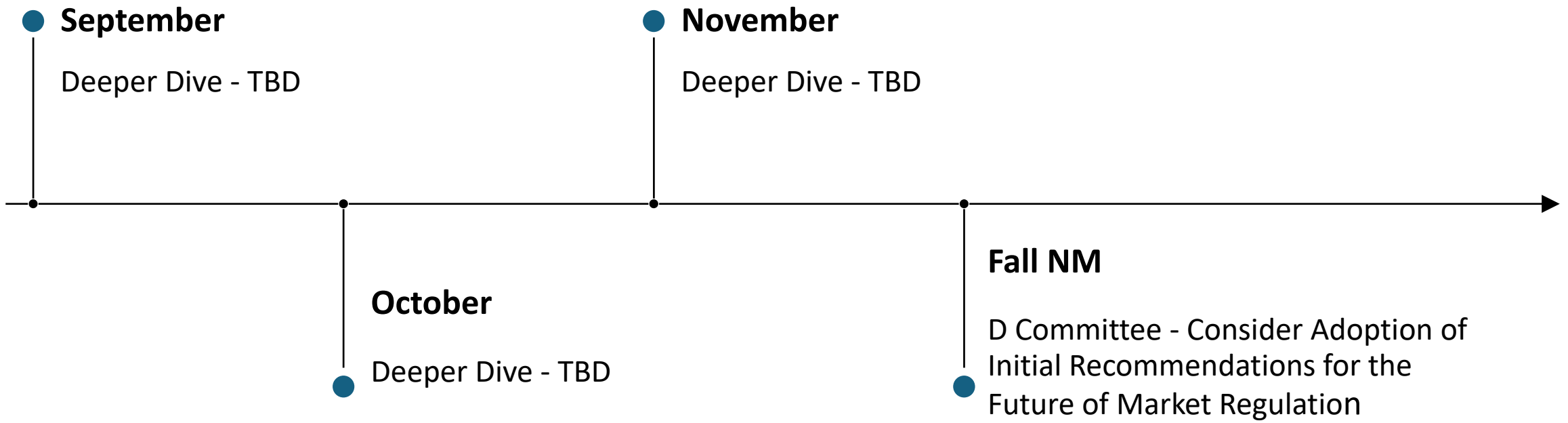
# Discussion Timeline



# Biweekly Topics



# Biweekly Topics



# **Agenda Item 2**

## **Discuss Any Other Matters**

***Director Ann Gillespie (IL)***

# Adjournment