

Draft date: 11/11/25

Virtual Meeting

RECEIVERSHIP AND INSOVLENCY (E) TASK FORCE

Monday, December 1, 2025

12:30 – 1:00 p.m. ET / 11:30 a.m. – 12:00 p.m. CT / 10:30 – 11:00 a.m. MT / 9:30 – 10:00 a.m. PT

ROLL CALL

NAIC Member

Ann Gillespie, Chair
Glen Mulready, Vice Chair
Mark Fowler
Heather Carpenter
Peter M. Fuimaono
Jimmy Harris
Ricardo Lara
Michael Conway
Andrew N. Mais
Michael Yaworsky
Doug Ommen
Vicki Schmidt
Sharon P. Clark
Timothy J. Temple
Robert L. Carey
Michael T. Caljouw
Angela L. Nelson
Remedio C. Mafnas
Eric Dunning
Ned Gaines
Justin Zimmerman
Mike Causey
Jon Godfread
Judith L. French
TK Keen
Michael Humphreys
Elizabeth Kelleher Dwyer
Michael Wise
Carter Lawrence
Cassie Brown
Scott A. White
Patty Kuderer
Nathan Houdek

Representative

Jacob Stuckey, Chair
Donna Wilson, Vice Chair
Ryan Donaldson
Jeffery Bethel
Peter M. Fuimaono
Mel Andersen
Joe Holloway
Rolf Kaumann
Jane Callanan
Yamile Benitez-Torviso
Jordon Genevieve Esbrook
Philip Michael
Mark Griggs
Tom Travis
Robert Wake
Christopher Joyce
Shelley Forrest
Remedio C. Mafnas
Tadd Wegner
Alexia Emmermann
Justin Zimmerman
Jacqueline Obusek
Matt Fischer
Sean Sheridan
Teresa Borrowman
Laura Lyon Slaymaker
Matthew Gendron
Ryan Basnett
Bill Huddleston
Jessica Barta
Dan Bumpas
Charles Malone
Amy Malm

State/Territory

Illinois
Oklahoma
Alabama
Alaska
American Samoa
Arkansas
California
Colorado
Connecticut
Florida
Iowa
Kansas
Kentucky
Louisiana
Maine
Massachusetts
Missouri
N. Mariana Islands
Nebraska
Nevada
New Jersey
North Carolina
North Dakota
Ohio
Oregon
Pennsylvania
Rhode Island
South Carolina
Tennessee
Texas
Virginia
Washington
Wisconsin

NAIC Support Staff: Jane Koenigsman

AGENDA

1. Consider Adoption of its July 30 Minutes—*Jacob Stuckey (IL)* Attachment One
2. Receive the Report of the Receivership Financial Analysis (E) Working Group—*Donna Wilson (OK)*
3. Hear an Update on International Resolution Activity—*Robert Wake (ME) and William Arfanis (CT)*
4. Hear an Update on the Uniform Data Standards (UDS) 3.0 Project—*Laura Slaymaker (PA) and Mike Ulmer (National Conference of Insurance Guaranty Funds—NCIGF)*
5. Discuss Any Other Matters Brought Before the Task Force—*Jacob Stuckey (IL)*
6. Adjournment

Draft Pending Adoption

Draft: 8/1/25

Receivership and Insolvency (E) Task Force
Virtual Meeting
July 30, 2025

The Receivership and Insolvency (E) Task Force met July 30, 2025. The following Task Force members participated: Ann Gillespie, Chair, represented by Jacob Stuckey and Kevin Baldwin (IL); Glen Mulready, Vice Chair, represented by Bobby Dorrell (OK); Heather Carpenter represented by Jeffery Bethel and David Phifer (AK); Mark Fowler represented by Lorenzo Alexander and Ryan Donaldson (AL); Alan McClain represented by Leo Liu (AR); Richardo Lara represented by Joe Holloway (CA); Michael Conway represented by Rolf Kaumann (CO); Andrew N. Mais represented by William Arfanis and Jane Callanan (CT); Michael Yaworsky represented by Yamile Benitez-Torviso (FL); Doug Ommen represented by Kim Cross (IA); Vicki Schmidt represented by Steven Notinger (KS); Sharon P. Clark represented by Mark Griggs (KY); Timothy J. Temple represented by Steve Kowalski (LA); Michael T. Caljouw represented by Christopher Joyce (MA); Robert L. Carey represented by Robert Wake (ME); Angela L. Nelson represented by Shelley Forrest (MO); Mike Causey represented by Jaqueline Obusek (NC); Jon Godfread represented by Matt Fischer (ND); Eric Dunning represented by Tadd Wegner (NE); Ned Gaines represented by Alexia Emmermann (NV); Judith L. French represented by Sean Sheridan (OH); Michael Humphreys represented by Laura Lyon Slaymaker (PA); Elizabeth Kelleher Dwyer represented by Patrick Smock (RI); Michael Wise represented by Ryan Basnett (SC); Carter Lawrence represented by Trey Hancock (TN); Scott A. White represented by Dan Bumpus (VA); and Nathan Houdek represented by Amy Malm (WI).

1. Adopted its Spring National Meeting Minutes

Bethel made a motion, seconded by Joyce, to adopt the Task Force's March 4 minutes (*see NAIC Proceedings – Spring 2025, Receivership and Insolvency (E) Task Force*). The motion passed unanimously.

2. Adopted its 2026 Proposed Charges

Stuckey said 2026 proposed charges for the Task Force and its working groups were exposed for a 14-day public comment period ending July 23. No comments were received.

Emmermann made a motion, seconded by Sheridan, to adopt the proposed 2026 charges (Attachment One). The motion passed unanimously.

3. Heard a Report on International Resolution Activities

Wake said the International Association of Insurance Supervisors (IAIS) Resolution Working Group is reviewing and updating the *Application Paper on Recovery Planning* and the *Application Paper on Resolution Powers and Planning*. He said a survey was conducted, and drafting group sessions were held. Wake said there were no significant developments to report. The IAIS Resolution Working Group will meet in September 2025 in Basel, Switzerland.

Wake said he participated in the targeted jurisdictional assessment (TJA) project on the member review panel, which reviewed six jurisdictions. Resolution and resolution planning were key issues for this round of the TJA, like the first TJA in which the U.S. was assessed. He said the aggregate TJA report is in the process of being finalized.

4. Received the Report of the Receivership Financial Analysis (E) Working Group

Draft Pending Adoption

Baldwin said the Receivership Financial Analysis (E) Working Group met March 23 in regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings, to discuss companies in receivership and related topics. The Working Group plans to meet Aug. 10 in regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings, to discuss companies in receivership and related topics.

Malm made a motion, seconded by Holloway, to receive the report of the Receivership Financial Analysis (E) Working Group. The motion passed unanimously.

Having no further business, the Receivership and Insolvency (E) Task Force adjourned.

SharePoint/NAIC Support Staff Hub/Member Meetings/E CMTE/RITF/2025 Summer NM/RITF_Minutes_073025.docx