

Draft date: 2/24/26

Virtual Meeting

SURPLUS LINES (C) TASK FORCE

Thursday, March 5, 2026

12:00 – 1:00 p.m. ET / 11:00 a.m. – 12:00 p.m. CT / 10:00 – 11:00 a.m. MT / 9:00 – 10:00 a.m. PT

ROLL CALL

NAIC Member

Larry D. Deiter, Chair
 Glen Mulready, Vice Chair
 Mark Fowler
 Heather Carpenter
 Peter M. Fuimaono
 Charles Bassett
 Ricardo Lara
 Michael Conway
 Trinidad Navarro
 Karima M. Woods
 Michael Yaworsky
 Michelle B. Santos
 Dean L. Cameron
 Holly W. Lambert
 Doug Ommen
 Timothy J. Temple
 Grace Arnold
 Mike Chaney
 Remedio C. Mafnas
 Susan Ochs
 Mike Causey
 Michael Humphreys
 Suzette M. Del Valle
 Michael Wise
 Amanda Crawford
 Tregenza A. Roach
 Patty Kuderer

Representative

Larry D. Deiter, Chair
 Eli Snowbarger
 Dusty Smith
 Sian Ng-Ashcraft
 Elizabeth Perri
 Lori D. Munn
 Audrie Lee
 Jason Lapham
 Susan Jennette
 Angela King
 Jane Nelson
 Michelle B. Santos
 Randy Pipal
 Patrick O’Connor
 Kim Cross
 Shantell Taylor
 Kathleen Orth
 David Browning
 Maryann Borja-Arriola
 Susan Ochs
 Robert Croom
 Michael McKenney
 Glorimar Santiago
 Ryan Basnett
 Jamie Walker
 Glendina Matthew
 Andrew Davis

State/Territory

South Dakota
 Oklahoma
 Alabama
 Alaska
 American Samoa
 Arizona
 California
 Colorado
 Delaware
 District of Columbia
 Florida
 Guam
 Idaho
 Indiana
 Iowa
 Louisiana
 Minnesota
 Mississippi
 N. Mariana Islands
 New Jersey
 North Carolina
 Pennsylvania
 Puerto Rico
 South Carolina
 Texas
 U.S. Virgin Islands
 Washington

NAIC Committee Support: Andy Daleo

AGENDA

1. Consider Adoption of its 2025 Fall National Meeting Minutes Attachment A
—*Director Larry D. Deiter (SD)*
2. Consider Adoption of the Report of the Surplus Lines (C) Working Group
—*Eli Snowbarger (OK)*
3. Discuss Correction of the *Nonadmitted Insurance Model Act (#870)* Attachment B
—*Andy Daleo (NAIC)*
4. Discuss the Nonadmitted and Reinsurance Reform Act's (NRRRA's) Qualified Risk
Manager Competency Standard for Exempt Commercial Purchasers and a Path
Forward to Recognize the RIMS-Certified Risk Management Professional (RIMS-
CRMP) Qualifying Credential—*Jeff Klein (McIntyre & Lemon)* Attachment C
5. Discuss Any Other Matters Brought Before the Task Force
—*Director Larry D. Deiter (SD)*
6. Adjournment

Draft: 12/15/25

Surplus Lines (C) Task Force
Virtual Meeting
December 3, 2025

The Surplus Lines (C) Task Force met Dec. 3, 2025. The following Task Force members participated: Larry D. Deiter, Chair, Johanna Nickelson, and Frank Marnell (SD); Timothy J. Temple, Vice Chair, represented by Tom Travis (LA); Heather Carpenter represented by Sian Ng-Ashcraft (AK); Mark Fowler represented by Jimmy Gunn (AL); Ricardo Lara represented by Audrie Lee (CA); Michael Conway represented by Renee Sanchez (CO); Karima M. Woods represented by Omar Barakat (DC); Michael Yaworsky represented by Ainsley Hurley (FL); John F. King represented by Scott Sanders (GA); Scott Saiki represented by Lance Hirano (HI); Dean L. Cameron represented by Randy Pipal (ID); Michael T. Caljouw represented by Jim McCarthy (MA); Marie Grant represented by Erin Nickles (MD); Grace Arnold represented by Kathleen Orth (MN); Justin Zimmerman represented by William Leach (NJ); Ned Gaines (NV); Glen Mulready represented by Eli Snowbarger (OK); Michael Humphreys represented by Mike McKenney (PA); Michael Wise represented by Ryan Basnett (SC); Cassie Brown represented by Amy Garcia (TX); Patty Kuderer represented by Nicole Rayl (WA); and Allan L. McVey (WV).

1. Adopted its Summer National Meeting Minutes

McVey made a motion, seconded by Sanchez, to adopt the Task Force's July 31 minutes (*see NAIC Proceedings – Summer 2025, Surplus Lines (C) Task Force*). The motion passed unanimously.

2. Adopted the Report of the Surplus Lines (C) Working Group

Snowbarger stated that the Working Group met Sept. 24 in regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings. During this meeting, the Working Group approved three applications for admittance to the Oct. 1 *Quarterly Listing of Alien Insurers*.

McVey made a motion, seconded by Orth, to adopt the report of the Surplus Lines (C) Working Group. The motion passed unanimously.

3. Discussed the Recognition of RIMS-CRMP for Meeting the Qualified Risk Manager Competency Standard

Commissioner Deiter indicated that a comment letter (Attachment __) was provided by Jeff Klein and Arshawn Teymoorian (McIntyre & Lemon) regarding the recognition of the Risk and Insurance Management Society's (RIMS) Certified Risk Management Professional (CRMP) credential for qualified risk managers. Mark Prysock and Denise Cesario (RIMS) discussed the RIMS-CRMP certification and its significance. They proposed recognizing it as a qualifying credential for meeting the Nonadmitted and Reinsurance Reform Act of 2010 (NRRRA) qualified risk management competency standard.

Dan Schelp (NAIC) commented on two issues with the adopted version of the *Nonadmitted Insurance Model Act* (#870) regarding qualified risk managers. He stated that the first provision to meet the requirement of an exempt commercial purchaser within Model #870, Section 3—Definitions, was inadvertently deleted when the final version was adopted. He also said that Section 3 does not include a definition of a qualified risk manager, and if Model #870 is reopened, consideration should be given to adding such a definition.

Andy Daleo (NAIC) commented that if the Task Force considered reopening Model #870, adding a drafting note may be the best way to address potential changes in designations that are acceptable for a qualified risk manager.

Teymoorian commented that he concurred with the drafting note approach. Klein said that he will work with Schelp and Daleo to determine the states that have adopted Model #870 and include the definition of a qualified risk manager.

Commissioner McVey said further discussion is needed on how to define a qualified risk manager, given the broad scope of existing programs and certifications.

Director Deiter said further discussion will be needed to determine the most advantageous approach to solving these issues.

Having no further business, the Surplus Lines (C) Task Force adjourned.

SharePoint/NAIC Support Staff Hub/Member Meetings/C CMTE/SLTF/2025 Fall NM/SLTF Minutes Dec. 3 2025.docx

Model 870 – Nonadmitted Insurance Model Act

Section 3. Definitions

H. “Exempt commercial purchaser” means any person purchasing commercial insurance that, at the time of placement, meets the following requirements:

- (1) The person employs or retains a qualified risk manager to negotiate insurance coverage;
- (2) Has paid aggregate nationwide commercial property and casualty insurance premiums in excess of \$100,000 in the immediately preceding 12 months; and
- (3) (a) Meets at least one of the following criteria:
 - (i) Possesses a net worth in excess of \$20,000,000;
 - (ii) Generates annual revenues in excess of \$50,000,000;
 - (iii) Employs more than 500 full-time or full-time equivalent employees per individual insured or is a member of an affiliated group employing more than 1,000 employees in the aggregate;
 - (iv) Is a not-for-profit organization or public entity generating annual budgeted expenditures of at least \$30,000,000; or
 - (v) Is a municipality with a population in excess of 50,000 persons.
- (b) Effective on July 21, 2010, every five years on January 1, the amounts in Subsections (i), (ii), and (iv) of Section 3H(2)(a) shall be adjusted to reflect the percentage change for such five-year period in the Consumer Price Index for All Urban Consumers published by the Bureau of Labor Statistics of the Department of Labor.



Surplus Lines Task Force Interim Meeting

March 5, 2026

Chair: Director Larry Deiter, SD

Vice-Chair: Glen Mulready, OK





RIMS-Certified Risk Management Professional (RIMS-CRMP)

Denise Osorio, Chief Professional Development Officer

RIMS, the risk management society®



***RIMS Supports 200,000 Risk
Professionals Worldwide***

RIMS MISSION:
RIMS, *the risk management
society*[®], empowers risk
professionals to strengthen
organizational resilience, by
driving strategic decision-
making and improving
business outcomes.

***Members in
Over 60
countries***

Nonadmitted and Reinsurance Reform Act of 2010 (NRRA)

- Exempt Commercial Purchasers (ECPs)
- Qualified Risk Managers (QRMs)
- Qualifying Designations
 - Chartered Property and Casualty Underwriter (CPCU)
 - Associate in Risk Management (ARM)
 - Certified Risk Manager (CRM)
 - *RIMS Fellow (RF) (superseded by the RIMS-CRMP)

*Currently 215 RF holders v. almost 1,900 RIMS-CRMP certification holders

RIMS-CRMP Certification That Commands Respect



- ✓ **Globally Accredited** — Accredited by the ANSI National Accreditation Board (ANAB) under ISO standards for unmatched credibility and global trust.
- ✓ **One of a Kind** — The only risk management certification with ANAB accreditation.
- ✓ **Defines the Discipline** — Sets the global standard for competence, performance, and ethics.
- ✓ **Signals Excellence** — Demonstrates your commitment to the highest professional standards.
- ✓ **Global Network** — 1,900+ certified professionals across 77 countries.
- ✓ **Proven Impact** — Validates real-world expertise and measurable results.



RIMS-CRMP Professional Benefits

“When I meet with my insurance carriers, with my other service providers, they know simply ... by my certification [that] I know risk management. I don't have to spend any time telling them about my qualifications because this certification does that for me.”



—Gloria Brosius, RIMS-CRMP

RIMS-CRMP RECOGNITION

- ✓ Credential certificate
- ✓ “RIMS-CRMP” in signature
 - ✓ Digital badge
 - ✓ Recognition on website
- ✓ Discounts on RIMS professional development offerings

RIMS-CRMP WILL...

- ✓ Demonstrate your knowledge
- ✓ Differentiate you from others
 - ✓ Elevate your status
- ✓ Increase your earning potential



RIMS-CRMP Scope and Competencies Tested

A RIMS-CRMP professional demonstrates proficiency across five core domains:

1. **Analyzing the Organizational Model** – Understand organizational structure, culture, and governance to identify risk vulnerabilities.
2. **Designing Organizational Risk Strategies** – Create risk frameworks, policies, and mitigation plans that align with organizational goals.
3. **Implementing Risk Processes** – Operationalize risk strategies through structured processes and integrated risk management practices.
4. **Developing Organizational Risk Competency** – Build internal expertise, foster a risk-aware culture, and establish performance metrics.
5. **Supporting Decision Making** – Provide data-driven insights and risk guidance to facilitate informed strategic and operational decisions.



RIMS-CRMP Certification Requirements



Education and/or Experience



Competencies Tested



Continuing Education Requirements



Uphold Professional Code of Ethics



Periodic Recertification



How to Earn the RIMS-CRMP Certification

- ✓ **Meet experience and education eligibility requirements**
 - Bachelor's degree *in risk management* + 1 year RM work experience
 - Bachelor's degree *in non risk management area* + 3 years RM work experience
 - Non degree applicants + 6 years RM work experience
- ✓ **Apply online at www.RIMS.org/Certification**
- ✓ **RIMS-CRMP Exam Fee** – \$375 for RIMS members, \$525 for nonmembers
- ✓ **Take the exam** – Pearson VUE testing center or remotely
- ✓ **Time to recertify** – 50 points/hours within a two-year period
- ✓ **Agree to uphold and abide by the RIMS-CRMP code of ethics**



Authority for Additional Qualifying Designations

Under the NRRA, Congress empowered states to approve:

“*any* other designation, certification, or license determined by a State insurance commissioner or other State insurance regulatory official or entity to demonstrate *minimum competency* in risk management.”

15 U.S.C. § 8206(13)(C)(i)(II)(bb)(EE)



Our Ask

Work with staff to amend the existing 2011 Nonadmitted Insurance Reform Sample Bulletin, develop a new model bulletin, or other comparable guidance that accomplishes the following:

- Reminds DOIs of the definition of a qualified risk manager under the NRRA, per the Nonadmitted Insurance Reform Sample Bulletin issued on October 11, 2011.
- Reminds DOIs of their authority under the NRRA, which expressly allows states to recognize “any other designation, certification, or license” demonstrating minimum competency in risk management
- Informs DOIs that the RIMS-CRMP is the direct successor to the RIMS Fellow (RF) designation referenced in the NRRA
- Affirms to DOIs that recognizing the RIMS-CRMP via bulletin or similar guidance is consistent with congressional intent



Helpful RIMS-CRMP Resources & Materials

RIMS-CRMP Overview

<https://www.rims.org/certification/rims-crmp/about>

Certification Handbook

https://www.rims.org/docs/default-source/default-document-library/certification/rims-crmp_handbook_2025_final.pdf?sfvrsn=1422865e_3

Examination Information

<https://www.rims.org/certification/rims-crmp/exam-content>



Questions?

RIMS

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