

Draft date: 7/21/25

*Virtual Meeting*

**SURPLUS LINES (C) TASK FORCE**

Thursday, July 31, 2025

2:00 – 3:00 p.m. ET / 1:00 – 2:00 p.m. CT / 12:00 – 1:00 p.m. MT / 11:00 a.m. – 12:00 p.m. PT

**ROLL CALL**

**NAIC Member**

Larry D. Deiter, Chair  
Timothy J. Temple, Vice Chair  
Mark Fowler  
Heather Carpenter  
Maria Ailor  
Ricardo Lara  
Michael Conway  
Trinidad Navarro  
Karima M. Woods  
Michael Yaworsky  
John F. King  
Michelle B. Santos  
Scott Saiki  
Dean L. Cameron  
Holly W. Lambert  
Doug Ommen  
Vicki Schmidt  
Marie Grant  
Michael T. Caljouw  
Grace Arnold  
Mike Chaney  
Remedio C. Mafnas  
Ned Gaines  
Justin Zimmerman  
Glen Mulready  
Michael Humphreys  
Michael Wise  
Cassie Brown  
Tregenza A. Roach  
Patty Kuderer  
Allan L. McVey

**Representative**

Larry D. Deiter, Chair  
Timothy J. Temple, Vice Chair  
Jimmy Gunn  
Sian Ng-Ashcraft  
Lori Dreaver Munn  
Audrie Lee  
Michael Conway  
Susan Jennette  
Angela King  
Jane Nelson  
Scott Sanders  
Michelle B. Santos  
Lance Hirano  
Randy Pipal  
Holly W. Lambert  
Kim Cross  
Julie Holmes  
Erin Nickles  
Jim McCarthy  
Gregory Maus/Kathleen Orth  
David Browning  
Remedio C. Mafnas  
Ned Gaines  
Justin Zimmerman  
Eli Snowbarger  
Michael Humphreys  
Ryan Basnett  
Jamie Walker  
Glendina Matthew  
Andrew Davis  
Allan L. McVey

**State/Territory**

South Dakota  
Louisiana  
Alabama  
Alaska  
Arizona  
California  
Colorado  
Delaware  
District of Columbia  
Florida  
Georgia  
Guam  
Hawaii  
Idaho  
Indiana  
Iowa  
Kansas  
Maryland  
Massachusetts  
Minnesota  
Mississippi  
N. Mariana Islands  
Nevada  
New Jersey  
Oklahoma  
Pennsylvania  
South Carolina  
Texas  
U.S. Virgin Islands  
Washington  
West Virginia

NAIC Support Staff: Andy Daleo

**AGENDA**

1. Consider Adoption of its Spring National Meeting Minutes Attachment A  
—*Director Larry D. Deiter (SD)*
2. Consider Adoption of the Report of the Surplus Lines (C) Working Group  
—*Eli Snowbarger (OK)*
3. Consider Adoption of its 2026 Proposed Charges—*Director Larry D. Deiter (SD)* Attachment B
4. Hear a Presentation on 2024 Surplus Lines Industry Financial Results  
—*Andy Daleo (NAIC)*
5. Discuss Any Other Matters Brought Before the Task Force  
—*Director Larry D. Deiter (SD)*
6. Adjournment

Draft: 3/5/2025

Surplus Lines (C) Task Force  
Virtual Meeting  
February 27, 2025

The Surplus Lines (C) Task Force met Feb. 27, 2025. The following Task Force members participated: Larry D. Deiter, Chair, and Frank Marnell (SD); Timothy J. Temple, Vice Chair, represented by Tom Travis (LA); Lori K. Wing-Heier represented by David Phifer (AK); Mark Fowler represented by Dusty Smith (AL); Ricardo Lara represented by Kim Hudson (CA); Michael Conway represented by Rolf Kaumann (CO); Karima M. Woods represented by Omar Barakat (DC); Michael Yaworsky represented by Sheryl Parker (FL); John F. King represented by Scott Sanders (GA); Jerry Bump represented by Melanie Fujiwara (HI); Doug Ommen represented by Kim Cross (IA); Dean L. Cameron represented by Randy Pipal (ID); Holly W. Lambert represented by Patrick O'Connor (IN); Vicki Schmidt represented by Chris Hollenbeck (KS); Michael T. Calijouw represented by James A. McCarthy (MA); Marie Grant represented by Erin Nickles (MD); Grace Arnold represented by Kathleen Orth (MN); Scott Kipper represented by Gennady Stolyarov (NV); Glen Mulready represented by Eli Snowbarger (OK); Michael Humphreys represented by Shannen Logue (PA); Michael Wise represented by Tom Baldwin (SC); Cassie Brown represented by Amy Garcia (TX); and Patty Kuderer represented by Jeff Baughman (WA).

1. Adopted its 2024 Fall National Meeting Minutes

Baughman made a motion, seconded by Orth, to adopt the Task Force's Nov. 7, 2024, minutes (*see NAIC Proceedings – Fall 2024, Surplus Lines (C) Task Force*). The motion passed unanimously.

2. Adopted the Report of the Surplus Lines (C) Working Group

Snowbarger stated that the Working Group met Dec. 16, 2024, in regulator-to-regulator session pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings. During this meeting, the Working Group approved three applications for admittance to the Jan. 1 *Quarterly Listing of Alien Insurers*.

Kaumann made a motion, seconded by Phifer, to adopt the report of the Surplus Lines (C) Working Group. The motion passed unanimously.

3. Discussed Comments Received on the Draft Surplus Lines Service of Process

Marnell stated that the Service of Process Drafting Group met Jan. 23 to discuss the latest industry edits to the draft surplus lines service of process from **(Attachment)**. He indicated that the edits to the form were submitted by Tom Dawson (McDermott Will & Emery). Marnell said the highlighted section on page one of Attachment B includes proposed language, which the drafting group discussed. He said that following a minor edit, the Drafting Group agreed that the language accomplishes the concerns originally raised regarding the location of lawsuits. Marnell stated that the second edit, highlighted on the last page of Attachment B under Resolution Authorizing the Appointment of an Attorney, was made to ensure consistency with the first-page edit. Marnell commented on a minor revision to add "by (i) by the State(s) designated or (ii) ..." to the beginning of the sentence. He stated that these were the only two edits made to the form and that all other edits had been discussed during previous Task Force meetings.

Hearing no comments or questions, Director Deiter asked for a motion to adopt the form with the inclusion of the minor edits covered by Marnell. Snowbarger made a motion, seconded by O'Connor, to adopt the surplus lines service of process form. The motion passed unanimously.

Having no further business, the Surplus Lines (C) Task Force adjourned.

SharePoint/NAIC Support Staff Hub/Member Meetings/C CMTE/SLTF/2025 Spring NM/SLTF Minutes Feb 27 2025.docx

Draft: 7/22/25

*Adopted by the Executive (EX) Committee and Plenary—*

*Adopted by the Property and Casualty Insurance (C) Committee—*

*Adopted by the Surplus Lines (C) Task Force—*

## 2026~~5~~ Proposed Charges

### SURPLUS LINES (C) TASK FORCE

The mission of the Surplus Lines (C) Task Force is to monitor the surplus lines market and regulation, including the activity and financial condition of U.S. and non-U.S. surplus lines insurers participating in the U.S. market, by providing a forum for discussion of issues and to develop or amend relevant NAIC model laws, regulations, and/or guidelines.

The **Surplus Lines (C) Task Force** will:

- A. Provide a forum for discussion of current and emerging surplus lines-related issues and topics of public policy and determine appropriate regulatory response and action.
- B. Review and analyze industry data on U.S. domestic and non-U.S. surplus lines insurers participating in the U.S. market.
- C. Monitor federal legislation related to the surplus lines market and ensure all interested parties remain apprised.
- D. Develop or amend relevant NAIC model laws, regulations, and/or guidelines.
- E. Oversee the activities of the Surplus Lines (C) Working Group.

The **Surplus Lines (C) Working Group** will:

- A. Operate in regulator-to-regulator session pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings and in open session when discussing surplus lines topics and policy issues, such as amendments to the International Insurers Department (IID) Plan of Operation.
- B. Maintain and draft new guidance within the IID Plan of Operation regarding standards for admittance and continued inclusion on the NAIC *Quarterly Listing of Alien Insurers*.
- C. Review and consider appropriate decisions regarding applications for admittance to the NAIC *Quarterly Listing of Alien Insurers*.
- D. Analyze renewal applications of alien surplus lines insurers on the NAIC *Quarterly Listing of Alien Insurers* and ensure solvency and compliance per the IID Plan of Operation guidelines for continued listing.
- E. Provide a forum for surplus lines-related discussion among jurisdictions.