

*Virtual Meeting*

*(in lieu of meeting at the 2022 Fall National Meeting)*

**MARKET REGULATION CERTIFICATION (D) WORKING GROUP**

Monday, November 28, 2022

5:00 – 6:00 p.m. ET / 4:00 – 5:00 p.m. CT / 3:00 – 4:00 p.m. MT / 2:00 – 3:00 p.m. PT

**Meeting Summary Report**

The Market Regulation Certification (D) Working Group met Nov. 28, 2022. During this meeting, the Working Group:

1. Adopted its Oct. 27 minutes, which included the following action:
  - A. Received an update on the revisions to the Voluntary Market Regulation Certification Program requirements, checklist, and guidelines.
  - B. Discussed potential revisions to the certification program implementation plan.
2. Received an update on the revisions to the Voluntary Market Regulation Certification Program requirements, checklist, guidelines, and scoring matrix, which included: 1) adding “Objective” and “Measurement” sections to each requirement; 2) clarifying that requirement 3 measures staffing resources, and requirement 4 measures staffing qualifications; 3) combining requirements 6 and 11 since they both concern collaboration; 4) revising requirement 8 by moving the time requirements to the checklist; and 5) updating the scoring matrix to be consistent with the revised requirements and checklist.
3. Heard comments from two states on the certification program implementation plan. The suggested comments will be combined and incorporated into the implementation plan. An e-vote will be conducted the week of Dec. 5 to Dec. 9 to adopt the implementation plan.