Draft date: 7/20/26

Virtual Meeting

SURPLUS LINES (C) TASK FORCE
Monday, August 3, 2026
11:00 a.m. – 12:00 p.m. ET / 10:00 – 11:00 a.m. CT / 9:00 – 10:00 a.m. MT / 8:00 – 9:00 a.m. PT

ROLL CALL

NAIC Member	Representative	State/Territory
Larry D. Deiter, Chair	Larry D. Deiter, Chair	South Dakota
Glen Mulready, Vice Chair	Eli Snowbarger	Oklahoma
Mark Fowler	Dusty Smith	Alabama
Heather Carpenter	Sian Ng-Ashcraft	Alaska
Peter M. Fuimaono	Elizabeth Perri	American Samoa
Charles Bassett	Lori Dreaver Munn	Arizona
Ricardo Lara	Audrie Lee	California
Michael Conway	Jason Lapham	Colorado
Trinidad Navarro	Susan Jennette	Delaware
Karima M. Woods	Angela King	District of Columbia
Michael Yaworsky	Jane Nelson	Florida
Michelle B. Santos	Michelle B. Santos	Guam
Dean L. Cameron	Randy Pipal	Idaho
Holly W. Lambert	Patrick O'Connor	Indiana
Doug Ommen	Kim Cross	Iowa
Timothy J. Temple	Shantell Taylor	Louisiana
Grace Arnold	Kathleen Orth	Minnesota
Mike Chaney	David Browning	Mississippi
Susan Ochs	Susan Ochs	New Jersey
Mike Causey	Robert Croom	North Carolina
Remedio C. Mafnas	Maryann Borja-Arriola	Northern Mariana Islands
Michael Humphreys	Michael McKenney	Pennsylvania
Suzette M. Del Valle	Glorimar Santiago	Puerto Rico
Michael Wise	Ryan Basnett	South Carolina
Amanda Crawford	Jamie Walker	Texas
Tregenza A. Roach	Glendina Matthew	U.S. Virgin Islands
Patty Kuderer	Andrew Davis	Washington

NAIC Committee Support: Andy Daleo

AGENDA

1. Consider Adoption of its Spring National Meeting Minutes — Attachment A
   —*Director Larry D. Deiter (SD)*

2. Consider Adoption of the Report of the Surplus Lines (C) Working Group
   —*Eli Snowbarger (OK)*

3. Consider Adoption of its 2027 Proposed Charges—*Director Larry D. Deiter (SD)* — Attachment B

4. Hear a Presentation on 2025 Surplus Lines Industry Financial Results
   —*Andy Daleo (NAIC)*

5. Hear a Presentation from the National Association of Public Insurance Adjusters (NAPIA) on Public Adjusters in the Surplus Lines Market
   —*Ann Frohman (NAPIA)*

6. Discuss Any Other Matters Brought Before the Task Force
   —*Director Larry D. Deiter (SD)*

7. Adjournment

Draft: 3/11/26

Surplus Lines (C) Task Force
Virtual Meeting
March 5, 2026

The Surplus Lines (C) Task Force met March 5, 2026. The following Task Force members participated: Larry D. Deiter, Chair, Johanna Nickelson, and Frank Marnell (SD); Glen Mulready, Vice Chair, and Eli Snowbarger (OK); Heather Carpenter represented by David Phifer (AK); Mark Fowler represented by Dusty Smith (AL); Charles Bassett represented by Lori Dreaver Munn (AZ); Ricardo Lara represented by Audrie Lee (CA); Michael Conway represented by Jason Lapham (CO); Karima M. Woods represented by Angela King (DC); Trinidad Navarro represented by Susan Jennette (DE); Michael Yaworsky represented by Jane Nelson (FL); Doug Ommen represented by Kim Cross (IA); Dean L. Cameron represented by Shannon Hohl (ID); Holly W. Lambert represented by Patrick O'Connor (IN); Timothy J. Temple represented by Shantell Taylor (LA); Grace Arnold represented by Kathleen Orth (MN); Mike Causey represented by Robert Croom (NC); Susan Ochs represented by William Leach (NJ); Michael Humphreys represented by Michael McKenney (PA); Michael Wise represented by Ryan Basnett (SC); Amanda Crawford represented by Jamie Walker (TX); and Patty Kuderer represented by Nicole Rayl (WA).

1. Adopted its 2025 Fall National Meeting Minutes

Orth made a motion, seconded by Munn, to adopt the Task Force's Dec. 3, 2025, minutes (*see NAIC Proceedings – Fall 2025, Surplus Lines (C) Task Force*). The motion passed unanimously.

2. Adopted the Report of the Surplus Lines (C) Working Group

Snowbarger stated that the Working Group met Dec. 15, 2025, in regulator-to-regulator session, pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings. During this meeting, the Working Group approved 10 applications and one reapplication for admittance to the Jan. 1 *Quarterly Listing of Alien Insurers*.

Phifer made a motion, seconded by Jennette, to adopt the report of the Surplus Lines (C) Working Group. The motion passed unanimously.

3. Discussed the Correction of Model #870

Dan Schelp (NAIC) commented that during the Task Force's session at the 2025 Fall National Meeting, the NAIC legal division commented on a scrivener's error in the final draft of the *Nonadmitted Insurance Model Act* (#870). He indicated that under Section 3H(1), Definitions, the first requirement for exempt commercial purchasers, which states, "The person employs or retains a qualified risk manager to negotiate insurance coverage," was inadvertently excluded. Schelp commented that this provision was included in each of the previously exposed drafts. He indicated that the necessity of including this provision (Attachment __) is evidenced by a drafting note in Model #870 that states the definition should follow the Nonadmitted and Reinsurance Reform Act of 2010 (NRRA).

4. Discussed the Recognition of RIMS-CRMP Meeting the Qualified Risk Manager Competency Standard

Director Deiter indicated that Jeff Klein (McIntyre & Lemon) and Arshawn Teymoorian (McIntyre & Lemon) submitted a presentation (Attachment __) on the qualified risk manager competency standard for exempt commercial purchasers, along with a path forward to recognize the RIMS-Certified Risk Management Professional (RIMS-CRMP) as a qualifying credential. Klein commented that the presentation will cover the importance of RIMS and the recognition of the CRMP credential for qualified risk managers. Denise Osorio (RIMS) provided details on the RIMS-CRMP certification, including its background and significance, scope and competencies tested, and certification requirements.

Teymoorian commented that McIntyre & Lemon propose recognizing RIMS-CRMP as a qualifying credential for meeting the NRRA qualified risk management competency standard. Teymoorian proposed that this could be done via an update to the 2011 Nonadmitted Insurance Reform Sample Bulletin to promote awareness of the CRMP designation. Director Deiter commented that states currently have the ability to recognize the CRMP designation and that the Task Force will give this request some additional consideration.

5. Discussed Comments on Model #870 from the WSIA

Director Deiter stated that the Wholesale & Specialty Insurance Association (WSIA) submitted a comment letter (Attachment__) regarding Model #870. John Meetz (WSIA) commented that Section 5N(5) of Model #870 requires a surplus lines licensee to obtain a signed disclosure from the insured at the time of application and affix that signed copy to the policy upon delivery. Meetz stated that this provision was in place prior to the 2023 revisions to Model #870 and that the WSIA did not address it at that time. Meetz stated that the requirement is outdated and does not align with modern policy delivery and disclosure practices. He commented that the WSIA wanted to make sure that the Task Force is aware of this issue and that they are not advocating that Model #870 be reopened for a broad host of revisions. He commented that the WSIA would be open to a narrow charge to address this issue and the aforementioned RIMS issue. Director Deiter thanked the WSIA for its comments and asked that a list of states that adopted Model #870 with this provision be provided to Daleo.

Having no further business, the Surplus Lines (C) Task Force adjourned.

SharePoint/NAIC Support Staff Hub/Member Meetings/C CMTE/SLTF/2026 Spring NM/SLTF Minutes Mar. 5 2026.docx

Draft: 7/2~~2~~4/26
Adopted by the Executive (EX) Committee and Plenary—
Adopted by the Property and Casualty Insurance (C) Committee—
Adopted by the Surplus Lines (C) Task Force—

2027 Proposed Charges

SURPLUS LINES (C) TASK FORCE

The mission of the Surplus Lines (C) Task Force is to monitor the surplus lines market and regulation, including the activity and financial condition of U.S. and non-U.S. surplus lines insurers participating in the U.S. market, by providing a forum for discussion of issues and to develop or amend relevant NAIC model laws, regulations, and/or guidelines.

Ongoing Support of NAIC Programs, Products, or Services

The **Surplus Lines (C) Task Force** will:

A. Provide a forum for discussion of current and emerging surplus lines-related issues and topics of public policy and determine appropriate regulatory response and action.
B. Review and analyze industry data on U.S. domestic and non-U.S. surplus lines insurers participating in the U.S. market.
C. Monitor federal legislation related to the surplus lines market and ensure all interested parties remain apprised.
D. Develop or amend relevant NAIC model laws, regulations, and/or guidelines.
E. Oversee the activities of the Surplus Lines (C) Working Group.

The **Surplus Lines (C) Working Group** of the Surplus Lines (C) Task Force will:

A. Operate in regulator-to-regulator session pursuant to paragraph 3 (specific companies, entities, or individuals) of the NAIC Policy Statement on Open Meetings and in open session when discussing surplus lines topics and policy issues, such as amendments to the International Insurers Department (IID) Plan of Operation.
B. Maintain and draft new guidance within the IID Plan of Operation regarding standards for admittance and continued inclusion on the NAIC *Quarterly Listing of Alien Insurers*.
C. Review and consider appropriate decisions regarding applications for admittance to the NAIC *Quarterly Listing of Alien Insurers*.
D. Analyze renewal applications of alien surplus lines insurers on the NAIC *Quarterly Listing of Alien Insurers* and ensure solvency and compliance per the IID Plan of Operation guidelines for continued listing.
E. Provide a forum for surplus lines-related discussion among jurisdictions.