

Date: 6/06/17

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Wednesday, June 14, 2017

2:00 p.m. ET / 1:00 p.m. CT / 12:00 p.m. MT / 11:00 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair	Nebraska	Win Pugsley	New Hampshire
Jim Mealer, Vice Chair	Missouri	Cliff Day	New Jersey
Damion Hughes	Colorado	Robert McLaughlin	New York
Kurt Swan	Connecticut	Angela Dingus	Ohio
Lee Backus/Sharon Shipp	District of Columbia	Joel Sander	Oklahoma
Mark Ossi/Debra Peirce	Georgia	Constance Arnold	Pennsylvania
Doug Ommen	Iowa	Christina Rouleau	Vermont
Russell Hamblen	Kentucky	Julie Fairbanks	Virginia
Rich Bradley	Massachusetts	Jeanette Plitt	Washington
Paul Hanson	Minnesota	Mark Hooker	West Virginia
Peggy Willard-Ross	Nevada	Rebecca Rebholz	Wisconsin

AGENDA

1. Consider Adoption of its April 27 Minutes—*Director Bruce R. Ramge (NE)* Attachment 1
2. Review and Consider for Adoption Revisions to Operations/Management Standard 2 of Chapter 21—Conducting the Medicare Supplement Examination, April 25 Draft, in the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 2
3. Review and Consider for Adoption Closing Continuum Actions, March 1 Draft, for Inclusion in the Reference Documents of the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 3
 - Texas – May 19 Comments on Closing Continuum Actions and Chapter 2—Continuum of Regulatory Responses
 - Nebraska – May 22 Comments and Alternative Draft
4. Review and Discuss Proposed New Standardized Data Requests, June 7 Draft, for Inclusion in the Reference Documents of the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 4
 - Life Claims
 - Life Declinations
 - Life In Force
 - Life Replacements
5. Review and Discuss Revisions to Chapter 13—Standardized Data Requests, June 7 Draft, for Inclusion in the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 5
6. Discuss an April 26 Proposed Compliance Risk Assessment Methodology Outline—*Shelly Schuman/Tanya Sherman (INS Regulatory Insurance Services Inc.)* Attachment 6
7. Discuss a Proposal Revised March 29 for Process Review Methodology—*Don Koch (NorthStarExams LLC)* Attachment 7
 - Don Koch April 28 Comments
8. Discuss Any Other Matters Brought Before the Working Group—*Director Bruce R. Ramge (NE)*

9. Adjournment

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