

Date: 11/28/17

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Wednesday, December 6, 2017

1:30 p.m. ET / 12:30 p.m. CT / 11:30 a.m. MT / 10:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair	Nebraska	Win Pugsley	New Hampshire
Jim Mealer, Vice Chair	Missouri	Cliff Day	New Jersey
Damion Hughes	Colorado	Sylvia Lawson	New York
Kurt Swan	Connecticut	Angela Dingus	Ohio
Lee Backus/Sharon Shipp	District of Columbia	Joel Sander	Oklahoma
Mark Ossi/Debra Peirce	Georgia	Constance Arnold	Pennsylvania
Doug Ommen	Iowa	Christina Rouleau	Vermont
Russell Hamblen	Kentucky	Julie Fairbanks	Virginia
Rich Bradley	Massachusetts	Jeanette Plitt	Washington
Paul Hanson	Minnesota	Mark Hooker	West Virginia
Peggy Willard-Ross	Nevada	Rebecca Rebholz	Wisconsin

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Review and Discuss Standardized Data Requests, Oct. 24 Draft, for Inclusion in the Reference Documents of the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 1
 - Annuity Claims
 - Annuity In Force
 - Annuity New Business Declinations
 - Annuity Payment, Withdrawal and Surrender
 - Annuity Plan Code
 - Annuity Replacement
 - American Council of Life Insurers (ACLI) – Nov. 27 Comments
 - ACLI Claims SDR Nov. 27 Revisions
 - ACLI In Force SDR Nov. 27 Revisions
 - ACLI New Business Declinations SDR Nov. 27 Revisions
 - ACLI Payment Withdrawal and Surrender SDR Nov. 27 Revisions
 - ACLI Plan Code SDR Nov. 27 Revisions
 - ACLI Replacement SDR Nov. 27 Revisions
2. Discuss Any Other Matters Brought Before the Working Group—*Director Bruce R. Ramge (NE)*
3. Adjournment

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