

Date: 3/23/18

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Thursday, April 5, 2018

2:00 p.m. ET / 1:00 p.m. CT / 12:00 p.m. MT / 11:00 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair	Nebraska	Peggy Willard-Ross	Nevada
Jim Mealer, Vice Chair	Missouri	Win Pugsley	New Hampshire
Melissa Grisham/Ryan James/ Russ Galbraith	Arkansas	Cliff Day	New Jersey
Damion Hughes	Colorado	Sylvia Lawson	New York
Kurt Swan	Connecticut	Angela Dingus	Ohio
Lee Backus/Sharon Shipp	District of Columbia	Joel Sander	Oklahoma
Mark Ossi/Debra Peirce	Georgia	Constance Arnold	Pennsylvania
Doug Ommen	Iowa	Christina Rouleau	Vermont
Russell Hamblen	Kentucky	Julie Fairbanks	Virginia
Richard Bradley	Massachusetts	Jeanette Plitt	Washington
Paul Hanson	Minnesota	Mark Hooker	West Virginia
		Rebecca Rebholz	Wisconsin

AGENDA

1. Review and Discuss Standardized Data Requests, March 27 Draft, for Inclusion in the Reference Documents of the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 1
 - Annuity Claims
 - Annuity In Force
 - Annuity New Business Declinations
 - Annuity Payment, Withdrawal and Surrender
 - Annuity Plan Code
 - Annuity Replacement
2. Review Procedures, March 27 Draft, for Updating the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 2
3. Discuss Any Other Matters Brought Before the Working Group—*Director Bruce R. Ramge (NE)*
4. Adjournment

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