

Record Layout

2022 Data in 2022 PC Format - Product Code IP

File Name: X2022090

SCHEDULE NAME: GENERAL INTERROGATORIES - PART 1 - INVESTMENT

PAGE NUMBER: 027.2

COLUMN NUMBER	COLUMN NAME	FORMAT	LENGTH
1	COCODE	NUMERIC	5
2	LINE_NO	ALPHA	10
3	YES_NO_RESPONSE	ALPHA	3
4	NUMERIC_RESPONSE	NUMERIC	14
5	NATURE_OF_RESTRICTION	ALPHA	300
6	DESCR_OF_RESTRICTION	ALPHA	300
7	AMT_OF_RESTRICTION	NUMERIC	14
8	NM_OF_CUSTODIAN	ALPHA	50
9	CUSTODIAN_ADDRESS	ALPHA	300
10	NM_AGREEMENT	ALPHA	50
11	LOCATION	ALPHA	300
12	OLD_CUSTODIAN	ALPHA	50
13	NEW_CUSTODIAN	ALPHA	50
14	DTE_OF_CHANGE	ALPHA	11
15	CENTRAL_REGISTRATION_DEPOSITOR	ALPHA	300
16	NM_S	ALPHA	50
17	FULL_CUSIP	ALPHA	9
18	NM_OF_MUTUAL_FUND	ALPHA	50
19	BOOK_ADJUSTED_CARRYING_VAL	NUMERIC	14
20	NM_OF_MUTUAL_FUND_FROM_ABOVE	ALPHA	50
21	NM_OF_SIGNIFICANT_HOLDING_OF_T	ALPHA	50
22	AMT_MUTUAL_FUNDS_BOOK_ADJUST	NUMERIC	14
23	DTE_OF_VAL	ALPHA	11
24	STMT_ADMTD_VAL	NUMERIC	14
25	FAIR_VAL	NUMERIC	14
26	EXCESS_OF_STMT_OVR_FAIR_VAL_OR	NUMERIC	14
27	EXPLANATION	ALPHA	300
28	AFFILIATION	ALPHA	1
29	LEI	ALPHA	20
30	REGISTERED_WITH	ALPHA	50
31	INVEST_MANAGEMENT_AGREEMENT_FI	ALPHA	2
32	SCHEDULE_FOR_CRYPTOCURRENCIES	ALPHA	50
33	NM_OF_CRYPTOCURRENCY	ALPHA	50
34	IMMEDIATELY_CONVERTED_DIRECTLY	ALPHA	30
35	ACCEPTED_FOR_PAYMENT_OF_PREM	ALPHA	3

The following column/row intersections either do not exist or do not have values on the Annual Statement.

COLUMN	LINE NUMBER
YES_NO_RESPONSE	25.02 25.03 25.04 25.05 25.091 25.092 25.093 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 28.2 29.0101 29.0201 29.0401 29.0501 29.0601 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.3 33.2 38.2 39.3001

Record Layout

2022 Data in 2022 PC Format - Product Code IP

NUMERIC_RESPONSE	25.01 25.02 25.03 25.06 25.07 25.08 26.1 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NATURE_OF_RESTRICTION	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
DESCR_OF_RESTRICTION	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
AMT_OF_RESTRICTION	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_OF_CUSTODIAN	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
CUSTODIAN_ADDRESS	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_AGREEMENT	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
LOCATION	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
OLD_CUSTODIAN	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001

Record Layout

2022 Data in 2022 PC Format - Product Code IP

NEW_CUSTODIAN	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
DTE_OF_CHANGE	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
CENTRAL_REGISTRATION_DEPOSITOR	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_S	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0597 29.0598 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
FULL_CUSIP	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_OF_MUTUAL_FUND	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
BOOK_ADJUSTED_CARRYING_VAL	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_OF_MUTUAL_FUND_FROM_ABOVE	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
NM_OF_SIGNIFICANT_HOLDING_OF_T	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001

Record Layout

2022 Data in 2022 PC Format - Product Code IP

AMT_MUTUAL_FUNDS_BOOK_ADJUST	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
DTE_OF_VAL	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
STMT_ADMTD_VAL	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
FAIR_VAL	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
EXCESS_OF_STMT_OVR_FAIR_VAL_OR	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
EXPLANATION	25.01 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.03 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 32.1 32.2 33.1 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
AFFILIATION	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
LEI	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
REGISTERED_WITH	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001

Record Layout

2022 Data in 2022 PC Format - Product Code IP

INVEST_MANAGEMENT_AGREEMENT_FI	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22 39.3001
SCHEDULE_FOR_CRYPTOCURRENCIES	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 39.1 39.21 39.22 39.3001
NM_OF_CRYPTOCURRENCY	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22
IMMEDIATELY_CONVERTED_DIRECTLY	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22
ACCEPTED_FOR_PAYMENT_OF_PREM	25.01 25.02 25.03 25.04 25.05 25.06 25.07 25.08 25.091 25.092 25.093 26.1 26.21 26.22 26.23 26.24 26.25 26.26 26.27 26.28 26.29 26.30 26.31 26.32 26.3001 27.1 27.2 27.3 27.41 27.42 27.43 27.5 28.1 28.2 29 29.0101 29.0201 29.03 29.0401 29.0501 29.0597 29.0598 29.0601 30.1 30.2001 30.2999 30.3001 31.1 31.2 31.3 31.4 32.1 32.2 32.3 33.1 33.2 34 35 36 37 38.1 38.2 39.1 39.21 39.22

VALID LINE NUMBERS

LINE NUMBER	LINE DESCRIPTION
25.01	Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 25.03) (investment)
25.02	If no, give full and complete information relating thereto (investment)
25.03	For security lending programs, provide a description of the program including value for collateral and amount of loaned securities and whether collateral is carried on or off-balance sheet (an alternative is to reference Note 17 where this information is also provided)
25.04	For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions.
25.05	For the reporting entity's securities lending program, report amount of collateral for other programs.
25.06	Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract?
25.07	Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%?
25.08	Does the reporting entity of the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending?
25.091	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.
25.092	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.
25.093	Total payable for securities lending reported on the liability page.

Record Layout

2022 Data in 2022 PC Format - Product Code IP

- 26.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force (Exclude securities subject to GI 21.1 and 25.03) (investment)
- 26.21 If yes, state the amount subject to repurchase agreements (investments)
- 26.22 If yes, state the amount subject to reverse repurchase agreements (investment)
- 26.23 If yes, state the amount subject to dollar repurchase agreements
- 26.24 If yes, state the amount subject to reverse dollar repurchase agreements (investment)
- 26.25 If yes, state the amount placed under option agreements (investment)
- 26.26 If yes, state the amount of letter stock or securities restricted as to sale - excluding FHLB capital stock (investment)
- 26.27 If yes, state the amount subject to FHLB capital stock (investment)
- 26.28 If yes, state the amount on deposit with states (investment)
- 26.29 If yes, state the amount on deposit with other regulatory bodies (investment)
- 26.30 If yes, state the amount pledged as collateral - excluding collateral pledged to an FHLB (investment)
- 26.31 If yes, state the amount pledged as collateral to FHLB - including assets backing funding agreements (investment)
- 26.32 If yes, state amount for other (investment)
- 26.3001 For category 26.26 provide nature of restriction, description and amount (investment)
- 27.1 Does the reporting entity have any hedging transactions reported on Schedule DB (investment)
- 27.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state (investment)
- 27.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Life/Frat only
- 27.41 If the response to 27.3 is YES, does the reporting entity utilize special accounting provision of SSAP No. 108? Life/Frat only
- 27.42 If the response to 27.3 is YES, does the reporting entity utilize permitted accounting practice? Life/Frat only
- 27.43 If the response to 27.3 is YES, does the reporting entity utilize other accounting guidance? Life/Frat only
- 27.5 By responding YES to 27.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the requirements. Life/Frat only
- 28.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity (investment)
- 28.2 If yes, state the amount thereof at December 31 of the current year (investment)
- 29 Excluding items in Sch E-Part 3, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company? (investment)
- 29.0101 For all agreements that comply with the requirements of the NAIC, complete (investment)
- 29.0201 For all agreements that do not comply with the requirements of the NAIC, provide the name, location and explanation (investment)
- 29.03 Have there been any changes, including name changes, in the custodian(s) identified during the current year? (investment)
- 29.0401 If yes, give full and complete information relating thereto (investment)
- 29.0501 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. (investment)
- 29.0597 For those firms/individuals listed in the table for Question 29.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? (investment)
- 29.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 29.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets? (investment)

Record Layout

2022 Data in 2022 PC Format - Product Code IP

29.0601	For those firms or individuals listed in the table for 29.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information. (investment)
30.1	Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 (Section 5 (b) (1))?
30.2001	If yes, complete the following:
30.2999	Total
30.3001	For each mutual fund listed in the table above, complete the following schedule:
31.1	Bonds
31.2	Preferred stocks
31.3	Totals
31.4	Describe the sources or methods utilized in determining the fair value.
32.1	Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D?
32.2	If the answer to 30.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source?
32.3	If the answer to 30.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D.
33.1	Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed:
33.2	If no, list exceptions
34	Has the reporting entity self-designated 5GI securities per the criteria listed?
35	Has the reporting entity self-designated PLGI securities per the criteria listed?
36	Has the reporting entity assigned FE to Schedule BA non registered private funds that complied with criteria listed?
37	Has the reporting entity rolled/renewed short term or cash equivalent investments per the criteria listed?
38.1	Does the reporting entity directly hold cryptocurrencies?
38.2	If response to 38.1 is yes, on what schedule are they reported?
39.1	Does the reporting entity directly or indirectly accept cryptocurrencies as payment for premiums on policies?
39.21	Held directly Cryptocurrencies
39.22	Immediately converted to US dollars Cryptocurrencies
39.3001	If 38.1 or 39.1 is yes, list all cryptocurrencies accepted for payment of premiums or held directly.