

FINANCIAL EXAMINERS HANDBOOK (E) TECHNICAL GROUP

The Financial Examiners Handbook (E) Technical Group meets via conference call throughout the year to consider proposed changes to the NAIC *Financial Condition Examiners Handbook* (Handbook). Regulators, contractors and interested parties are welcome to submit proposals via the submission form below. Each proposal requires its own submission form. All sections of the proposal form must be completed. Proposals should be submitted by **June 1** to be considered for inclusion in the following year Handbook. Submissions will be reviewed by the Technical Group and considered for adoption and implementation in the next Handbook edition. Please note, as part of the guidance adoption and annual publication processes, the Examination Unit reviews the Handbook for minor changes (i.e., page numbers, consistency, updates for changes to SSAPs and model laws/regulations, etc.); however, if specific items appear to be overlooked, proposals are welcome. The Technical Group reserves the right to defer, reject, or refer any proposal.

Proposals should be sent to the following NAIC staff support:

Elise Klebba

Email: eklebba@naic.org

Phone: 816-783-8645

FCEH SUBMISSION FORM

NAME:	DATE OF SUBMISSION:
TITLE:	STATE/COMPANY:
EMAIL ADDRESS:	TELEPHONE:

HANDBOOK SECTIONS TO WHICH PROPOSAL APPLIES (Check all that apply)

- | | |
|---|---|
| <input type="checkbox"/> Introduction | <input type="checkbox"/> Section 3 – Examination Repositories |
| <input type="checkbox"/> Section 1 – General Examination Guidance | <input type="checkbox"/> Section 4 – Examination Exhibits |
| <input type="checkbox"/> Section 2 – Risk-Focused Examination Process | <input type="checkbox"/> Other |

DESCRIPTION OF ITEM(S) TO CHANGE

Include applicable section(s) and page number(s) and other relevant identifiers as necessary. For submissions that include proposed revisions to Handbook guidance, include attachments using the “track changes” feature (if available).

REASON FOR AND/OR BENEFIT OF CHANGE