MARKET CONDUCT EXAMINATION GUIDELINES (D) WORKING GROUP
Tuesday, March 30, 2021
2:00 – 3:00 p.m. ET / 1:00 – 2:00 p.m. CT / 12:00 p.m. – 1:00 p.m. MT / 11:00 – 10:00 a.m. PT

AGENDA

1. Hear Opening Remarks—Director Bruce R. Ramge (NE)

2. Discuss Potential 2021 Working Group Tasks—Director Bruce R. Ramge (NE) Attachment 1

3. Review New Draft Title Standardized Data Requests (SDRs) for Inclusion in the Reference Documents of the Market Regulation Handbook—Director Bruce R. Ramge (NE) Attachment 2
   a. Title In Force SDR, March 23 Draft
   b. Title Claims SDR, March 23 Draft

4. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

5. Adjournment