# Agenda Item Submission Form

**DATE:** 11/12/2019

**CONTACT PERSON:**

**TELEPHONE:**

**EMAIL ADDRESS:**

**ON BEHALF OF:**

**NAME:** Dale Bruggeman

**TITLE:** Chair SAPWG

**AFFILIATION:** Ohio Department of Insurance

**ADDRESS:** 50W. Town St., 3rd Fl., Ste. 300

Columbus, OH 43215

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## BLANK(S) TO WHICH PROPOSAL APPLIES

| [ X ] ANNUAL STATEMENT | [ X ] INSTRUCTIONS | [ ] CROSSCHECKS |
| [ ] QUARTERLY STATEMENT | [ X ] SEPARATE ACCOUNTS | [ X ] TITLE |
| [ X ] Life, Accident & Health/Fraternal | [ ] PROTECTED CELL | [ ] OTHER |
| [ X ] Property/Casualty | [ ] HEALTH (LIFE SUPPLEMENT) |

**Anticipated Effective Date:** Annual 2020

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**IDENTIFICATION OF ITEM(S) TO CHANGE**

Modify the instruction and blank for Supplemental Investment Risk Interrogatories Question 14.01.

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**REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE**

The purpose of this proposal is to clarify that Interrogatories 14.06 through 14.15 are to be completed regardless of the answer to Supplemental Investment Risk Interrogatories Question 14.01.

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**NAIC STAFF COMMENTS**

Comment on Effective Reporting Date:

Other Comments:

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**FOR NAIC USE ONLY**

Agenda Item # 2019-29BWG

**Year:** 2020

Changes to Existing Reporting [ X ]

New Reporting Requirement [ ]

**REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT**

No Impact [ X ]

Modifies Required Disclosure [ ]

**DISPOSITION**

[ ] Rejected For Public Comment

[ ] Referred To Another NAIC Group

[ ] Received For Public Comment

[ X ] Adopted Date 05/28/2020

[ ] Rejected Date

[ ] Deferred Date

[ ] Other (Specify)
Line 14.06 through 14.15 – These lines should be completed even if the answer to Question 14.01 is “YES.”

Report the investments held in the ten largest fund managers, with allocation between funds that are diversified or non-diversified in accordance with the meaning of the Investment Company Act of 1940. This should include all “funds” regardless of the type of fund (private placement, mutual fund, exchange-traded fund, closed-end fund, money market mutual fund, etc), reporting schedule or underlying investments captured in a fund.

14. Amounts and percentages of the reporting entity’s total admitted assets held in nonaffiliated, privately placed equities:

14.01 Are assets held in nonaffiliated, privately placed equities less than 2.5% of the reporting entity’s total admitted assets? Yes [ ] No [ ]

If response to 14.01 above is yes, responses are not required for 14.02 through 14.05 through the remainder of Interrogatory 14.