

**NAIC BLANKS (E) WORKING GROUP**

**Blanks Agenda Item Submission Form**

CONTACT PERSON: <u>Pat Allison</u> TELEPHONE: <u>816-783-8528</u> EMAIL ADDRESS: <u>pallison@naic.org</u> ON BEHALF OF: <u>LATF</u> NAME: <u>Mike Boerner, Chair</u> TITLE: _____ AFFILIATION: _____ ADDRESS: _____ _____ _____	DATE: <u>03/30/2020</u>	<b>FOR NAIC USE ONLY</b>
	Agenda Item # <u>2020-24BWG MOD</u> Year <u>2021</u> Changes to Existing Reporting [ X ] New Reporting Requirement [ ]	<b>REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT</b>
	No Impact [ X ] Modifies Required Disclosure [ ]	<b>DISPOSITION</b>
	[ ] Rejected For Public Comment [ ] Referred To Another NAIC Group [ ] Received For Public Comment [ X ] Adopted Date <u>08/27/2020</u> [ ] Rejected Date _____ [ ] Deferred Date _____ [ ] Other (Specify) _____	

**BLANK(S) TO WHICH PROPOSAL APPLIES**

- |   |   |                                      |
|---|---|--------------------------------------|
| <input checked="" type="checkbox"/> ANNUAL STATEMENT                  | <input checked="" type="checkbox"/> INSTRUCTIONS  | <input type="checkbox"/> CROSSCHECKS |
| <input type="checkbox"/> QUARTERLY STATEMENT                          | <input checked="" type="checkbox"/> BLANK         |                                      |
| <input checked="" type="checkbox"/> Life, Accident & Health/Fraternal | <input type="checkbox"/> Separate Accounts        | <input type="checkbox"/> Title       |
| <input type="checkbox"/> Property/Casualty                            | <input type="checkbox"/> Protected Cell           | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Health                                       | <input type="checkbox"/> Health (Life Supplement) |                                      |

Anticipated Effective Date: Annual 2021

**IDENTIFICATION OF ITEM(S) TO CHANGE**

Remove questions 29,30, 31 and 32 from the Supplemental Exhibits and Schedules Interrogatories. Renumber the remaining questions. Remove the instructions related to these actuarial filings.

**REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE\*\***

Certifications for business subject to Actuarial Guideline XLIII will be provided in the PBR Actuarial Report as required by VM-31.

**NAIC STAFF COMMENTS**

Comment on Effective Reporting Date: \_\_\_\_\_

Other Comments:

\*\* This section must be completed on all forms.

**ANNUAL STATEMENT BLANK – LIFE/FRATERNAL**

**SUPPLEMENTAL EXHIBITS AND SCHEDULES  
INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

Responses  
Responses

**MARCH FILING**

- 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....
- 2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....
- 3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....
- 4. Will an actuarial opinion be filed by March 1? .....



**SUPPLEMENTAL EXHIBITS AND SCHEDULES  
INTERROGATORIES**

- 26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....
- 27. Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? .....
- 28. Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? .....
- 29. Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? .....
- 30. Will the Workers' Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies) .....
- 31. Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? .....
- 32. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? .....
- 33. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? .....
- 34. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? .....
- 35. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1? .....
- 36. Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1? .....

**APRIL FILING**

- 37. Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1? .....
- 38. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? .....
- 39. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) .....
- 40. Will the Accident and Health Policy Experience Exhibit be filed by April 1? .....
- 41. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? .....
- 42. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1? .....
- 43. Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30? .....
- 44. Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1? .....
- 45. Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1? .....
- 46. Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? .....
- 47. Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? .....
- 48. Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? .....

**AUGUST FILING**

- 49. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? .....

**Explanation:**

**Bar code:**

**Detail Eliminated to Conserve Space**

**ACTUARIAL CERTIFICATION RELATED TO THE USE OF 2001 PREFERRED CLASS TABLES  
REQUIRED BY THE MODEL REGULATION PERMITTING THE RECOGNITION OF PREFERRED  
MORTALITY TABLES FOR USE IN DETERMINING MINIMUM RESERVE LIABILITIES**

For all reporting entities that use the 2001 Preferred Class Tables permitted by the *Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities (#815)*.

**Detail Eliminated to Conserve Space**