NAIC BLANKS (E) WORKING GROUP

Blanks Agenda Item Submission Form

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<tr>
<th>CONTACT PERSON:</th>
<th>Dan Daveline</th>
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<td>TELEPHONE:</td>
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<td>EMAIL ADDRESS:</td>
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<td>ON BEHALF OF:</td>
<td>Staff Support Group Capital Calculation (E) Working Group</td>
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<tr>
<td>NAME:</td>
<td>Dan Daveline</td>
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<td>TITLE:</td>
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<td>AFFILIATION:</td>
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DATE: 01/28/2020

FOR NAIC USE ONLY

Agenda Item # 2021-02BWG
Year 2021
Changes to Existing Reporting [ X ]
New Reporting Requirement [ ]

REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT

No Impact [ ]
Modifies Required Disclosure [ ]

DISPOSITION

[ ] Rejected For Public Comment
[ ] Referred To Another NAIC Group
[ X ] Received For Public Comment
[ ] Adopted Date
[ ] Rejected Date
[ ] Deferred Date
[ ] Other (Specify)

BLANK(S) TO WHICH PROPOSAL APPLIES

[ X ] ANNUAL STATEMENT
[ ] QUARTERLY STATEMENT
[ X ] BLANK

[ ] Life, Accident & Health/Fraternal
[ X ] Property/Casualty
[ X ] Health

Separate Accounts [ X ] Title
Protected Cell [ ] Other
Health (Life Supplement)

Anticipated Effective Date: Annual 2021

IDENTIFICATION OF ITEM(S) TO CHANGE

Add questions to the General Interrogatories, Part 1 regarding depository institution holding companies as it pertains to the group capital calculation. Additionally, modify the terminology in the first two questions for consistency with the new questions, which has been modified to consider that many insurers that are part of a depository institution holding company are savings and loan holding companies, which is picked up with the broader terminology compared to the more specific term of bank holding company.

REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE**

To assist state regulators in identifying depository institution holding companies significantly engaged in insurance activities that would be subject to the Federal Reserve’s Building Block Approach to group capital, thereby prompting the communication necessary to exempt the holding company from the group capital calculation.

NAIC STAFF COMMENTS

Comment on Effective Reporting Date: 

Other Comments:

** This section must be completed on all forms.

Revised 7/18/2018
GENERAL INTERROGATORIES

8.1 Is the company a subsidiary of a depositary institution holding company (DIHC) bank holding company or a DIHC itself, regulated by the Federal Reserve Board?  
[ ] Yes  [ ] No

8.2 If response to 8.1 is yes, please identify the name of the DIHC bank holding company.  

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?  
[ ] Yes  [ ] No

8.4 If response to 8.3 is yes, please provide the names and locations (city and state of the main office) of any affiliates regulated by a federal banking agency:

<table>
<thead>
<tr>
<th>Affiliate Name</th>
<th>Location (City, State)</th>
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<th>4</th>
<th>5</th>
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8.5 Is the reporting entity a depositary institution holding company with significant insurance operations as defined by the Board of Governors of Federal Reserve or a subsidiary of such company?  
[ ] Yes  [ ] No

8.6 If response to 8.5 is no, is the reporting entity a company or subsidiary of a company that has otherwise been subject to the Federal Reserve’s capital rule?  
[ ] Yes  [ ] No

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  

Detail Eliminated to Conserve Space