### NAIC BLANKS (E) WORKING GROUP

**Blanks Agenda Item Submission Form**

<table>
<thead>
<tr>
<th>Date: 12/17/2021</th>
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</thead>
</table>

**Contact Person:**

**Telephone:**

**Email Address:**

**On Behalf Of:**

**Name:** Dale Bruggeman

**Title:** Chair SAPWG

**Affiliation:** Ohio Department of Insurance

**Address:** 50W. Town St., 3rd Fl., Ste. 300

Columbus, OH 43215

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**FOR NAIC USE ONLY**

<table>
<thead>
<tr>
<th>Agenda Item #</th>
<th>Year</th>
</tr>
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<tbody>
<tr>
<td>2022-02BWG</td>
<td>2022</td>
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</tbody>
</table>

**Changes to Existing Reporting:** [ X ]

**New Reporting Requirement:** [

**Reviewed for Accounting Practices and Procedures Impact:**

- No Impact [ X ]
- Modifies Required Disclosure [ ]

**Disposition:**

- [ ] Rejected For Public Comment
- [ ] Referred To Another NAIC Group
- [ X ] Received For Public Comment
- [ ] Adopted
- [ ] Rejected
- [ ] Deferred
- [ ] Other (Specify)

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**Blank(s) to Which Proposal Applies**

- [ X ] Annual Statement
- [ X ] Instructions
- [ ] Crosschecks

- [ X ] Life, Accident & Health/ Fraternal
- [ X ] Property/Casualty
- [ X ] Health

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**Reason, Justification For and/or Benefit of Change**

**Blank(s) to Which Proposal Applies**

Add four new electronic only columns to Schedule D, Part 6, Section 1 for Prior Year Book/ Adjusted Carrying Value (Column 16), Prior Year Nonadmitted Amount (Column 17), Prior Year Sub-2 Verified Value (Column 18) and Prior Year VISION Filing Number (Column 19).

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**Identificaton of Item(s) to Change**

Per the Statutory Accounting Principles (E) Working Group’s (SAPWG) related agenda item (Ref 2021-22), the addition of these electronic only columns will help regulators 1) ensure Sub-1 and Sub-2 filings are being submitted by reporting entities, and 2) identify situations where the NAIC approved value varies significantly from the value reported on Schedule D, Part 6, Section 1.

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**NAIC Staff Comments**

Comment on Effective Reporting Date: 

Other Comments:

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**This section must be completed on all forms.**

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ANNUAL STATEMENT INSTRUCTIONS – LIFE/FRATERNAL, HEALTH, PROPERTY AND TITLE

SCHEDULE D – PART 6 – SECTION 1

VALUATION OF SHARES OF SUBSIDIARY, CONTROLLED OR AFFILIATED COMPANIES

If a reporting entity has any common stock or preferred stock reported for any of the following required categories or subcategories, it shall report the subtotal amount of the corresponding category or subcategory, with the specified subtotal line number appearing in the same manner and location as the pre-printed total or grand total line and number:

| Column 12 through Column 19 will be electronic only. ** |

Column 12 – Legal Entity Identifier (LEI)

Provide the 20-character Legal Entity Identifier (LEI) for any issuer as assigned by a designated Local Operating Unit. If no LEI number has been assigned, leave blank.

Column 15 – ISIN Identification

The International Securities Identification Numbering (ISIN) system is an international standard set up by the International Organization for Standardization (ISO). It is used for numbering specific securities, such as stocks, bonds, options and futures. ISIN numbers are administered by a National Numbering Agency (NNA) in each of their respective countries, and they work just like serial numbers for those securities. Record the ISIN number only if no valid CUSIP, CINS or PPN exists to report in Column 1.

Column 16 – Prior Year Book/Adjusted Carrying Value

This should equal the Book/Adjusted Carrying Value amount reported in the prior year annual statement for each specific security.

Column 17 – Prior Year Nonadmitted Amount

Provide the amount nonadmitted, if any, included in Column 10 of the prior year Annual Statement.

Column 18 – Prior Year Sub-2 Verified Value

If per SSAP No. 97 or by direction of the domiciliary regulator, the SCA is required to be filed with the NAIC, provide the prior year’s Sub-2 “Total Value Claimed.”

Column 19 – Prior Year VISION Filing Number

If per SSAP No. 97 or by direction of the domiciliary regulator, the SCA is required to be filed with the NAIC, provide the prior year NAIC VISION filing number.