Annual and Quarterly Statement Reporting

The NAIC accounting and reporting unit staff are responsible for implementing changes to annual and quarterly statement reporting (blanks and instructions) and risk-based capital formulas as directed by the Capital Adequacy (E) Task Force and the Blanks (E) Working Group. Staff are available to assist regulators and industry with questions related to accounting, annual and quarterly statement reporting, company licensing (UCAA), filing issues and risk-based capital. A list of contacts is provided below.

BLANKS (E) WORKING GROUP

Mary Caswell, Sr. Manager I – Data Quality mcaswell@naic.org 816-783-8409
Calvin Ferguson, Sr. Insurance Reporting Analyst eferguson@naic.org 816-783-8424

CAPITAL ADEQUACY (E) TASK FORCE

Jane Barr, Company Licensing and RBC Manager jbarr@naic.org 816-783-8413

RISK-BASED CAPITAL:

Life and Fraternal Risk-Based Capital
Dave Fleming, Sr. Insurance Reporting Analyst dfleming@naic.org 816-783-8121

Property/Casualty Risk-Based Capital
Eva Yeung, Sr. Insurance Reporting Analyst eyeung@naic.org 816-783-8407

Health Risk-Based Capital
Crystal Brown, Sr. Insurance Reporting Analyst cbrown@naic.org 816-783-8146

UNIFORM CERTIFICATE OF AUTHORITY APPLICATION (UCAA)

Jane Barr, Company Licensing and RBC Manager jbarr@naic.org 816-783-8413
Crystal Brown, Sr. Insurance Reporting Analyst cbrown@naic.org 816-783-8146

ANNUAL AND QUARTERLY STATEMENT REPORTING:

Life/Fraternal Insurance Reporting
Cheryl Manning, Insurance Reporting Analyst III cmanning@naic.org 816-783-8410
Jill Youtsey, Sr. Insurance Reporting Analyst jyoutsey@naic.org 816-783-8419
Dawn Riley, Insurance Reporting Analyst II driley1@naic.org 816-783-8802
Kristi Montanelli, Sr. Insurance Reporting Specialist - Health kmontanelli@naic.org 816-783-8408

Property/Casualty/Title Insurance Reporting
Jean Buckley, Sr. Insurance Reporting Analyst jbuckley@naic.org 816-783-8406
Calvin Ferguson, Sr. Insurance Reporting Analyst eferguson@naic.org 816-783-8424
Cheryl Manning, Insurance Reporting Analyst III cmanning@naic.org 816-783-8410

Health Insurance Reporting
Mary Caswell, Sr. Manager I – Data Quality mcaswell@naic.org 816-783-8409
Kristi Montanelli, Sr. Insurance Reporting Specialist - Health kmontanelli@naic.org 816-783-8408
Calvin Ferguson, Sr. Insurance Reporting Analyst eferguson@naic.org 816-783-8424

Investment Schedules Reporting
Jill Youtsey, Sr. Insurance Reporting Analyst jyoutsey@naic.org 816-783-8419
Kristi Montanelli, Sr. Insurance Reporting Specialist - Health kmontanelli@naic.org 816-783-8408