March 9, 2021

To: Lloyd’s Syndicates

From: NAIC International Insurers Department

Re: NAIC *Quarterly Listing of Alien Insurers*

The following details outline the annual filing due date and the payment of fees to the NAIC International Insurers Department (IID) for continued listing or application to the NAIC [*Quarterly Listing of Alien Insurers*](https://www.naic.org/prod_serv_alpha_listing.htm#quarterly_alien).

The filing deadline for submitting YE2020 IID renewals which includes the comprehensive annual filing (being revamped as of the present date), audit and actuarial reports, any supplemental documents, and the renewal payment is June 30, 2021.

**Annual Renewal Schedule of Late Fees**

The following fees are only for the YE2020 renewal season. The payment of all fees must be made through [OPT*ins*](http://www.optins.org/alien_insurers.htm). No wire transfers will be accepted.

|  |  |  |  |
| --- | --- | --- | --- |
| **Date Filed** | **Renewal**  **Fee** | **Late Filing Penalty** | **Total**  **Fees** |
| June 30 | $5,062 | - | $5,062 |
| July 1-4 | $5,062 | $506 | $5,568 |
| “ “ 5-9 | $5,062 | $759 | $5,821 |
| “ “ 10-14 | $5,062 | $1,012 | $6,074 |
| “ “ 15-31 | $5,062 | $1,266 | $6,328 |
| After July 31 | \* | \* | \* |

**\*Annual renewals submitted more than 30 days late or after July 31, 2021 may result in delisting (**[**IID Plan of Operation**](https://content.naic.org/sites/default/files/inline-files/%283%29%202019%20FINAL%20IID%20Plan%20of%20Operation.pdf)***, Section V – De-Listing and VI – Process for Reconsideration of De-Listing*).**

Applications for Quarterly Listing - submission of all required documentation and payment of the application fee must be made before an application is considered (**See** [IID Plan of Operation](https://content.naic.org/sites/default/files/inline-files/%283%29%202019%20FINAL%20IID%20Plan%20of%20Operation.pdf)***, Section I – Application Process***). The application fee is $10,124.

Questions may be directed to Andy Daleo, Senior P/C Financial Analysis Manager, [adaleo@naic.org](mailto:adaleo@naic.org), and Jason Farr, Sr. Reinsurance & IID Financial Analyst, JFarr@naic.org.