June 15, 2021

To: Alien Insurer Contacts/Representatives

From: NAIC International Insurers Department

Re: NAIC *Quarterly Listing of Alien Insurers*

The following details outline the application requirements and fee to the NAIC International Insurers Department (IID) for application to the NAIC [*Quarterly Listing of Alien Insurers*](https://www.naic.org/prod_serv_alpha_listing.htm#quarterly_alien).

**Application Required Documentation**

* IID Financial Filing Report (Microsoft Access)
* IID TRIA Filing Report (Microsoft Access)
* 3 Years of Audited Financial Reports
* Actuarial Report (including Loss Reserves Certification)
* Business Plan
  + 3 Year Financial Projections
  + 3 Year Surplus Lines and Global Premium Projections
* Biographical Affidavits (All key officers/directors)
* Certificate of Authority
* Standard Trust Form Agreement
* Any Other Supplement Documents (e.g., Articles of Incorporation)

Applications for Quarterly Listing - submission of all required documentation and payment of the application fee must be made before an application is considered (**See** [IID Plan of Operation](https://content.naic.org/sites/default/files/inline-files/%283%29%202019%20FINAL%20IID%20Plan%20of%20Operation.pdf)***, Section I – Application Process***). The application fee is $10,124.

Questions may be directed to Andy Daleo, Senior P/C Financial Analysis Manager, [adaleo@naic.org](mailto:adaleo@naic.org).