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*Implementation Plan adopted Market Regulation and Consumer Affairs (D) Committee – Nov. 30, 2016*

*Implementation Plan adopted by the Market Regulation Certification (D) Working Group – Nov. 3, 2016*

*Guidelines and Checklist adopted by the Market Regulation and Consumer Affairs (D) Committee – Aug. 27, 2016*

*Guidelines and Checklist adopted by the Market Regulation Certification (D) Working Group – July 28, 2016*

- A fully certified jurisdiction may qualify for financial incentives. (Details will be determined and approved by the Executive (EX) Committee.)

***Future Revisions***

- Future revisions of the market regulation certification standards will be made from time to time by the Market Regulation Certification (D) Working Group with approval of the Market Regulation and Consumer Affairs (D) Committee and NAIC membership. New standards will be added only as necessary and added no more frequently than once per year. Revised sets of standards will constitute a new “certification tier.” An effective date will be specified for each new requirement within a tier. Self-certified and fully certified jurisdictions that comply with a previous tier of requirements will not be measured on compliance to new tier requirements until the first annual self-assessment audit or the five-year re-certification review (whichever occurs first) after the effective date of the new requirement.