

Blanks (E) Working Group
Editorial Revisions to the Blanks and Instructions
Effective 2019 Reporting

Statement Type:

H = Health; **L/F** = Life/Fraternal Combined; **P/C** = Property/Casualty; **SA** = Separate Accounts; **T** = Title

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 10/22/2019

2019	General Interrogatories, Part 2	CHANGE TO BLANK The options for answering Questions 32.1 and 32.2 are reversed. 32.1 should be Yes or No. 32.2 should be Yes, No or N/A.	L/F	Annual
2019	Notes to Financial Statement	CHANGE TO INSTRUCTIONS Change the Schedule DB, Part E column reference in Note 8B(2)b crosscheck in the illustration. b. Total Deferred Balance * * Should agree to Column 48 <u>19</u> of Schedule DB, Part E	H, L/F, P/C, T	Annual
2019	Schedule DB, Part E	CHANGE TO BLANK Column 9, Total Line should be XXX.	H, L/F, P/C, T, SA	Annual, Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2019	Schedule D, Part 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 6 – NAIC Designation and Administrative Symbol</p> <p>Provide the appropriate NAIC designation (1 through 6) and administrative symbol combination for each security. The list of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>For Bond Mutual Funds – as Identified by the SVO, enter 1.</p> <p>Following are valid administrative symbols for bonds. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk <u>SYE Additional or other non-payment risk - Year-end carry over</u> FE Filing Exempt FM Financially Modeled RMBS/CMBS subject to SSAP 43R YE Year-end carry over IF Initial filing PL Private Letter Rating PLGI Private Letter Rating – reported on General Interrogatory <u>RT Regulatory Transaction</u> <u>RTS Regulatory Transaction - SVO Reviewed</u> <u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u> <u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u> Z Insurer self-designated GI General Interrogatory F Sub-paragraph D Company – insurer self-designated * Limited to NAIC Designations 6</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Schedule D, Part 2, Section 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 20 – NAIC Designation and Administrative Symbol</p> <p>Provide the appropriate combination of NAIC designation (1 through 6) and administrative symbol for each security. The list of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Exchange Traded Funds should be reported as perpetual securities.</p> <p>Following are valid administrative symbols for preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk assigned by the SVO or SSG</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>FE Filing Exempt assigned by the SVO</p> <p>YE Year-end carry over assigned by the SVO</p> <p>IF Initial filing - insurer reported designation with Admin Symbol assigned by the SVO</p> <p>PL Private Letter Rating assigned by the SVO</p> <p>PLGI Private Letter Rating – insurer assigned and reported on General Interrogatory</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>Z Insurer assigned and reported subject to limitation</p> <p>GI Insurer assigned and reported on General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6 – insurer assigned</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Schedule BA, Part 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 7 – NAIC Designation and Administrative Symbol</p> <p>This column must be completed for those investments included on Lines 0799999 and 1599999.</p> <p>For Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument, insert the appropriate combination of the NAIC designation (1 through 6) and administrative symbol. The List of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Following are valid administrative symbols for bonds and preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>YE Year-end carry over</p> <p>FE Filing Exempt</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>The NAIC designation and administrative symbol field should be left blank for those Schedule BA investments which have not been assigned an NAIC designation by the Securities Valuation Office (SVO) pursuant to the policies in the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i>.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 08/20/2019

2019	Schedule D, Part 1A, Section 2	<p>CHANGE TO BLANK</p> <p>Make the following changes for consistency with the bond categories for Schedule D, Part 1.</p> <p>Add the “Unaffiliated” to the description of Section 10.</p> <p>Add line for “Affiliated Bank Loans” and add “Unaffiliated” to the existing line for bank loans to Sections 11 through 14 of the schedule.</p> <p>Add lines for “Affiliated bank loans – issued” and “Affiliated bank loans – acquired” to Sections 8 of the schedule.</p> <p>Because the number of lines in some to the sections exceed 9, the format of the line numbers is changed from X.X to X.XX.</p>	H, L/F, P/C, T, SA	Annual
2019	Schedule D – Summary by Country	<p>CHANGE TO BLANK</p> <p>For Lines 8 through 11, The description of bonds should be modified to refer to “Unaffiliated Bank Loans”.</p>	H, L/F, P/C, T, SA	Annual
2019	General Interrogatories, Part 1	<p>CHANGE TO BLANK</p> <p>Make change shown below:</p> <p>28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s <u>invested</u> assets?</p> <p>28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity’s <u>invested</u> assets?</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Summary Investment Schedule	CHANGE TO BLANK Modify the line description for Line 12 to read “Other Invested Assets (Page 2, Line11)”. Line 12 is not a write-in line on this schedule.	H, L/F, P/C, T	Annual
2019	Summary Investment Schedule	CHANGE TO INSTRUCTIONS Modify the line description as shown below. Line 12 is not a write-in line on this schedule. The include statement should read similar to the prior year. Line 12 – Aggregate Write-ins for Other Invested Assets <u>(Page 2, Line11)</u> Include: <u>The value of all other invested assets that have not been included in Lines 1 to 11 above. Enter the total of the write-ins listed in schedule Details of Write-ins Aggregated at Line 12 for Invested Assets</u> Column 1 should equal the amount reported in Line 11, Column 1, Page 2, Assets Column 3 should equal the amount reported in Line 11, Column 3, Page 2, Assets	H, L/F, P/C, T	Annual
2019	Schedule D, Part 1	CHANGE TO INSTRUCTIONS For Column 6 delete the “AM” administrative symbol for the list in the instructions.	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Schedule D, Part 1A, Section 2	<p>CHANGE TO INSTRUCTIONS</p> <p>Make the changes below to reflect reporting of affiliated and unaffiliated bank loans on the schedule.</p> <p>Section 10. <u>Unaffiliated</u> Bank Loans</p> <p>Lines 6599999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 7; and Schedule E, Part 2, Column 7.</p> <p>For each major section the following subgroups, which are described in the Investment Schedules General Instructions, shall be presented by maturity category:</p> <p>Sections 1 through 8:</p> <ul style="list-style-type: none"> • Issuer Obligations • Residential Mortgage-Backed Securities • Commercial Mortgage-Backed Securities • Other Loan-Backed and Structured Securities <p>Section 8:</p> <ul style="list-style-type: none"> • <u>Issuer Obligations</u> • <u>Residential Mortgage-Backed Securities</u> • <u>Commercial Mortgage-Backed Securities</u> • <u>Other Loan-Backed and Structured Securities</u> • <u>Affiliated Bank Loans – Issued</u> • <u>Affiliated Bank Loans – Acquired</u> <p>Section 9:</p> <ul style="list-style-type: none"> • Exchange Traded Funds – as Identified by the SVO • Bond Mutual Funds – as Identified by the SVO <p>Section 10:</p> <ul style="list-style-type: none"> • <u>Unaffiliated</u> Bank Loans – Issued • <u>Unaffiliated</u> Bank Loans – Acquired <p>Sections 11 through 14:</p> <ul style="list-style-type: none"> • Issuer Obligations • Residential Mortgage-Backed Securities • Commercial Mortgage-Backed Securities • Other Loan-Backed and Structured Securities • SVO Identified Funds • <u>Affiliated Bank Loans</u> • <u>Unaffiliated</u> Bank Loans 	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Schedule D – Summary by Country	<p>CHANGE TO INSTRUCTIONS</p> <p>Modify instruction as shown below</p> <p>Lines 8 through 11 – Bonds – Industrial and Miscellaneous, SVO Identified Funds, <u>Unaffiliated</u> Bank Loans and Hybrid Securities (Unaffiliated)</p> <p>Include: Bond Mutual Funds – as Identified by the SVO and Exchange Traded Funds – as Identified by the SVO reported in Schedule D, Part 1.</p> <p><u>Unaffiliated</u> Bank Loans</p>	H, L/F, P/C, T	Annual
2019	Schedule D, Part 3	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Annual
2019	Schedule D, Part 4	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Annual
2019	Schedule D, Part 5	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	General Interrogatories, Part 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Make change shown below:</p> <p>28.0597 If the total assets under management of any the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 28.05 are greater than 10% of the reporting entity’s <u>invested</u> assets (Line 12 of the Asset page), answer “YES” to Question 28.0597.</p> <p>28.0598 If the total assets under management of all the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 28.05 are greater than 50% of the reporting entity’s <u>invested</u> assets (Line 12 of the Asset page), answer “YES” to Question 28.0598. When determining the aggregate total of assets under management, include all firms/individuals unaffiliated with the reporting entity not just those who manage more than 10% of the reporting entity’s assets.</p>	H, L/F, P/C, T	Annual

Adopted 06/24/2019

2019	Notes to Financial Statement	<p>CHANGE TO INSTRUCTIONS</p> <p>For Note 33, change the wording of the 1st paragraph of the instruction and the associated illustration as shown below:</p> <p>Disclose the amounts of account value, cash value and reserve for the breakouts of life insurance by withdrawal characteristics, separately for General Account products, <u>Separate Account with Guarantees products</u> and Separate Account Nonguaranteed products, as follows:</p> <p style="text-align: center;"> Account Value Cash Value Separate Account – <u>Guaranteed and</u> Nonguaranteed Reserve </p>	L/F	Annual
------	------------------------------	---	-----	--------

Effective	Table Name	Description	Statement Type	Filing Type
2019	Notes to Financial Statement	<p>CHANGE TO INSTRUCTIONS</p> <p>For Note 33, change the wording of 33F as shown below:</p> <p>F. Reconcile total life insurance reserves amount disclosed to the appropriate sections of the Aggregate Reserves for Life Policies and Contracts Exhibit (Exhibit 5) of the Life, Accident and Health Annual Statement and the corresponding lines in the Separate Accounts Statement. The reconciliation is a single presentation including all amounts from the sections on Individual Annuities, Group Annuities, and Deposit-Type Contracts <u>Individual Life Insurance and Group Life Insurance</u>.</p>	L/F	Annual
2019	Analysis of Operations by Lines of Business – Summary	<p>CHANGE TO INSTRUCTIONS</p> <p>Modify the instruction for Column 8 as shown below to clarify reporting of workers’ compensation and aviation reinsurance.</p> <p>Column 8 – Other Lines of Business</p> <p><u>A company that is engaged in one or more insurance businesses (other than life business e.g., workers’ compensation, aviation reinsurance) that cannot be reported in the columns on pages for Individual Life Insurance, Group Life Insurance, Individual Annuities, Group Annuities and Accident and Health shall add the amounts for each additional line of business and shall enter the total in Column 8.</u></p> <p>Include Any Business that is not reported in Columns 4 through 7.</p> <p>Column 8, Line 21 should agree with Exhibit 1 Part 2, Line 31, Column 11.</p>	L/F	Annual
2019	Analysis of Operations by Lines of Business – A&H	<p>CHANGE TO BLANKS</p> <p>Add “for life and accident and health contracts” to line 19 to make uniform with other pages including Summary.</p>	L/F	Annual
2019	Analysis of Operations by Lines of Business – A&H	<p>CHANGE TO BLANKS</p> <p>Add “for life and accident and health contracts” to line 12 to make uniform with other pages including Summary.</p>	SA	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Exhibit 6 – Aggregate Reserves for Accident and Health Contracts	CHANGE TO BLANKS Footnote requiring actuarial valuation statement removed inadvertently in proposal 2018-22BWG. Adding back to blank: <u>(b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.</u>	L/F	Annual
2019	General Interrogatories Part 2	CHANGE TO BLANKS Remove (s) after “date” on line 35.2 as only one date is allowed.	L/F	Annual
2019	Analysis of Increase in Reserves – Group Life Insurance	CHANGE TO BLANKS Remove XXX in column 6-Variable Universal Life, line 9-Tabular cost. Entry blocked in error.	L/F	Annual

Adopted 04/06/2019

2019	Supplemental Interrogatories	CHANGE TO BLANKS Add clarifying language as to what is expected in 1 st and 3 rd quarters for line 8 – PBR Exemption: The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	L/F	Quarterly
2019	General Interrogatories	CHANGE TO BLANKS Line 4: Add clarifying language that the merger/history form is only filed with the annual statement. If yes, complete and file the merger history data file with the NAIC <u>for the annual filing corresponding to this period.</u>	L/F, H, P/C, T	Quarterly
2019	Analysis of Operations – Individual Life	CHANGE TO BLANKS Proposal 2018-22: Remove Fraternal column – now in Summary	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Analysis of Operations Summary	CHANGE TO BLANK SEPA line 13 for Increase in Liab for DepType Contracts – should it be XXXd on all but the Other LOB?	SA	Annual
2019	Analysis of Operations Summary	CHANGE TO BLANKS Proposal 2018-26: Update details of write-in lines for SepA Summary	SA	Annual
2019	Analysis of Operations – Individual Life	CHANGE TO BLANKS Proposal 2018-22: Remove Footnote c – credit life	SA	Annual
2019	Analysis of Operations – Group Life	CHANGE TO BLANKS Proposal 2018-22: Remove Footnote c – credit life	SA	Annual
2019	Analysis of Operations – Group Annuities	CHANGE TO BLANKS Proposal 2018-22: Remove XXX on line 1.2, column 1 (Column 6 is open, so Total must be enterable – same as individual page and general account.)	SA	Annual
2019	Analysis of Operations – A&H	CHANGE TO BLANKS Proposal 2018-22: Remove XXX on line 10 – Subtotal of lines 6.1 to 9.3	SA	Annual
2019	Analysis of Increase in Reserves – A&H	CHANGE TO BLANKS Proposal 2018-22: Add Details of write-in lines for lines 06 and 13.	SA	Annual
2019	Schedule D, Part 2, Section 2	CHANGE TO BLANKS Remove footnote a at the bottom of the page.	L/F, H, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Exhibit 6 – Analysis of Increase in Reserves – A&H	Change to Blank: Previous footnote inadvertently missed in proposal 2018-22BWG. Adding back into exhibit: <u>(b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.</u>	L/F	Annual
2019	Analysis of Operations – Summary	Change to Blank: XXX out Line 18, column 6 – A&H as no entries permitted on detail schedule.	L/F	Annual
2019	Schedule D, Part 1, Section 1	CHANGE TO INSTRUCTIONS Column 8 – Book/Adjusted Carrying Value The chart below details the appropriate valuation method for this column. The <i>Purposes & Procedures Manual of the NAIC Investment Analysis Office and SSAP No. 97—Investments in Subsidiary, Controlled and Affiliated Entities</i> may allow other valuation methods for preferred stock investments in Subsidiary, Controlled or Affiliated (SCA) companies.	L/F, H, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Accident & Health Policy Experience Exhibit	<p>CHANGE TO INSTRUCTIONS</p> <p>Modify the instructions for Column 5, 6 and 7 as shown below.</p> <p>Column 5 – Number of Policies or Certificates as of Dec. 31</p> <p>This is the number of individual policies or group certificates issued to individuals covered under a group policy in force as of December 31 of the reporting year. It is not the number of persons covered under individual policies or group certificates. Reasonable approximations are allowed when exact information is not administratively available to the reporting entity. <u>For Administrative Services Only or Administrative Services Contracts, include number of persons covered. See SSAP No. 47—Uninsured Plans.</u></p> <p>Column 6 – Number of Covered Lives</p> <p>This is the total number of lives insured, including dependents, under individual policies and group certificates as of December 31 of the reporting year. Reasonable approximations are allowed when exact information is not administratively available to the reporting entity. <u>For Administrative Services Only or Administrative Services Contracts, include number of lives covered. See SSAP No. 47—Uninsured Plans.</u></p> <p>Column 7 – Member Months</p> <p>The sum of total number of lives insured on a pre-specified day of each month of the reported year. Reasonable approximations are allowed when exact information is not administratively available to the reporting entity. <u>For Administrative Services Only or Administrative Services Contracts, include number of lives covered for each month of the reported year. See SSAP No. 47—Uninsured Plans.</u></p>	L/F, H, P/C	Annual
2019	Appendix - Definitions of Lines of Business	<p>CHANGE TO INSTRUCTIONS</p> <p>Add below definition of Self-Funded.</p> <p><u>Self-Funded Members:</u></p> <p><u>Persons covered under Administrative Services Only or Administrative Services Contracts. See SSAP No. 47—Uninsured Plans.</u></p>	H	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2019	Appendix -Definitions of Lines of Business	<p>CHANGE TO INSTRUCTIONS</p> <p>Modify definition of member as shown below.</p> <p><u>Members:</u></p> <p>A person who has enrolled as a subscriber or an eligible dependent of a subscriber and for whom the health organization has accepted the responsibility for the provision of health services as may be contracted for. <u>For Administrative Services Only or Administrative Services Contracts see Self-Funded Members.</u></p>	H	Annual

Adopted 11/15/2018

2019	Analysis of Operations Summary of Operations	<p>CHANGE TO BLANK</p> <p>Add ", refunds to members" to line 33 to be consistent with 2019 quarterly changes from 2018-18BWG.</p>	L/F	Annual
2019	Exhibit 1, Part 2	<p>CHANGE TO BLANK AND INSTRUCTIONS</p> <p>Revise table name and first header to coincide with other changes from 2018-18BWG:</p> <p><u>POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED...</u></p>	L/F	Annual
2019	Summary Investment Schedule	<p>CHANGE TO BLANK AND INSTRUCTIONS</p> <p>From 2018-02BWG, line numbers 1.1 and 1.10 are the same; the format of the line numbers now includes a leading zero after the decimal through 1.09: i.e., 1.01, 1.02, etc.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 06/24/2019

2019	Schedule T	<p>CHANGE TO INSTRUCTIONS</p> <p>Column 1 – Active Status</p> <p>Use the following codes to identify the Reporting Entity's status for each state or territory reported in the schedule as of the end of the reporting period. Enter the code that applies to the Reporting Entity's status in the state or territory. <u>Each line must have an entry in order to subtotal to Footnote (a).</u></p> <p>L – Licensed or Chartered (Licensed Carrier and Domiciled Risk Retention Groups referred to in some states as admitted.)</p> <p>R – Registered (Non-domiciled Risk Retention Groups)</p> <p>E – Eligible (Reporting Entities eligible or approved to write Surplus Lines in the state. In some states referred to as nonadmitted.)</p> <p>Q – Qualified (Qualified or Accredited Reinsurer)</p> <p>N – None of the above (Not allowed to write business in the state <u>or none of the above codes apply</u>)</p>	H, L/F, T	Quarterly
------	------------	--	-----------	-----------

Effective	Table Name	Description	Statement Type	Filing Type								
2019	Schedule T	<p>CHANGE TO INSTRUCTIONS</p> <p>Column 1 – Active Status</p> <p>Use the following codes to identify the Reporting Entity's status for each state or territory reported in the schedule as of the end of the reporting period. Enter the code that applies to the Reporting Entity's status in the state or territory. <u>Each line must have an entry in order to subtotal to Footnote (a).</u></p> <p>L – Licensed or Chartered (Licensed Carrier and Domiciled Risk Retention Groups referred to in some states as admitted.) R – Registered (Non-domiciled Risk Retention Groups) E – Eligible (Reporting Entities eligible or approved to write Surplus Lines in the state. In some states referred to as nonadmitted.) Q – Qualified (Qualified or Accredited Reinsurer) D – DSLI (Domestic Surplus Lines Insurer (DSLI) – Reporting Entities authorized to write Surplus Lines in the state of domicile) N – None of the above (Not allowed to write business in the state <u>or none of the above codes apply</u>)</p>	P/C	Quarterly								
2019	Schedule DB, Part C, Section 1	<p>CHANGE TO BLANK AND INSTRUCTIONS</p> <p>Part C was missed on proposal 2018-06BWG and should have been included to make 10 digit line numbers.</p>	H, LF, P/C, T	Quarterly								
2019	General Interrogatories, Part 2	<p>CHANGE TO BLANK</p> <p>Add table to the Blank. Was left out of the proposal 2018-18BWG but is part of the blank in the 2018 Fraternal Quarterly Blank.</p> <p>6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?</p> <table><tr><th><u>Date</u></th><th><u>Outstanding Lien Amount</u></th></tr><tr><td></td><td>\$</td></tr><tr><td></td><td>\$</td></tr><tr><td></td><td>\$</td></tr></table>	<u>Date</u>	<u>Outstanding Lien Amount</u>		\$		\$		\$	L/F	Quarterly
<u>Date</u>	<u>Outstanding Lien Amount</u>											
	\$											
	\$											
	\$											

Blanks (E) Working Group

Editorial Revisions to the Blanks and Instructions

Effective 2020 Reporting

Statement Type:

H = Health; **L/F** = Life/Fraternal Combined; **P/C** = Property/Casualty; **SA** = Separate Accounts; **T** = Title

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 10/22/2019

2020	Schedule D, Part 3	<div><div>CHANGE TO INSTRUCTIONS</div><div>Add new Administrative Symbols to the List.</div><div>Column 10 – NAIC Designation and Administrative Symbol</div><div>Provide the appropriate combination of NAIC designation (1 through 6) and administrative symbol (see below) at the end of the quarter for each security shown. The list of valid administrative symbols is shown below.</div><div>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</div><div>Long Term Bond:</div><div>For Bond Mutual Funds – as Identified by the SVO, enter 1.</div><div>Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.</div><div>Following are valid administrative symbols for bonds.</div><div><div>SAdditional or other non-payment risk</div><div><u>SYEAdditional or other non-payment risk - Year-end carry over</u></div><div>FEFiling Exempt</div><div>FMMFinancially Modeled RMBS/CMBS subject to SSAP 43R</div><div>YEYear-end carry over</div></div></div>	H, L/F, P/C, T	Quarterly
------	--------------------	--	-------------------	-----------

Effective	Table Name	Description	Statement Type	Filing Type
		<p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>Z Insurer self-designated</p> <p>GI General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Preferred Stock:</p> <p>Following are valid administrative symbols for preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>FE Filing Exempt</p> <p>YE Year-end carry over</p> <p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>Z Insurer self-designated</p> <p>GI General Interrogatory</p>		

Effective	Table Name	Description	Statement Type	Filing Type
		<p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Common Stock:</p> <p>For securities reported on Line 9499999 (Mutual Funds) provide the appropriate NAIC designation (1 through 6) as assigned by the Securities Valuation Office. For all other common stock, the NAIC designation and administrative symbol field should be left blank.</p>		
2020	Schedule D, Part 4	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 22 – NAIC Designation and Administrative Symbol</p> <p>Provide the appropriate combination of the NAIC designation (1 through 6) and administrative symbol (see below) at date of disposal for each security shown. The list of valid administrative symbols is shown below. Where multiple disposal transactions occurred for the same CUSIP, and those transactions are summarized on one line, enter the appropriate combination of NAIC designation and administrative symbol for the last disposal using the last available designation.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Long Term Bond:</p> <p>For Bond Mutual Funds – as Identified by the SVO, enter 1.</p> <p>Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.</p> <p>Following are valid administrative symbols for bonds. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols</p> <p>S Additional or other non-payment risk</p> <p><u>SYE</u> Additional or other non-payment risk - Year-end carry over</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
		FE Filing Exempt FM Financially Modeled RMBS/CMBS subject to SSAP 43R YE Year-end carry over IF Initial filing PL Private Letter Rating PLGI Private Letter Rating – General Interrogatory <u>RT Regulatory Transaction</u> <u>RTS Regulatory Transaction - SVO Reviewed</u> <u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u> <u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u> Z Insurer self-designated GI General Interrogatory F Sub-paragraph D Company – insurer self-designated * Limited to NAIC Designations 6 Preferred Stock: Following are valid administrative symbols for preferred stock. S Additional or other non-payment risk <u>SYE Additional or other non-payment risk - Year-end carry over</u> FE Filing Exempt YE Year-end carry over IF Initial filing PL Private Letter Rating PLGI Private Letter Rating – General Interrogatory <u>RT Regulatory Transaction</u> <u>RTS Regulatory Transaction - SVO Reviewed</u> <u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u> <u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u> Z Insurer self-designated GI General Interrogatory		

Effective	Table Name	Description	Statement Type	Filing Type
		<p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Common Stock:</p> <p>For securities reported on Line 9499999 (Mutual Funds) provide the appropriate NAIC designation (1 through 6) as assigned by the Securities Valuation Office. For all other common stock, the NAIC designation and administrative symbol field should be left blank.</p>		
2020	Schedule BA, Part 2	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 6 – NAIC Designation and Administrative Symbol</p> <p>This column must be completed for those investments included on Lines 0799999 and 1599999.</p> <p>For Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument, insert the appropriate combination of the NAIC designation (1 through 6) and administrative symbol. The list of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Following are valid administrative symbols for bonds and preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>YE Year-end carry over</p> <p>FE Filing Exempt</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
		<p><u>RTIF</u> Regulatory Transaction - Initial Filing Submitted to SVO</p> <p><u>RTSYE</u> Regulatory Transaction - SVO Reviewed - Year-end carry over</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>The NAIC designation and administrative symbol field should be left blank for those Schedule BA investments which have not been assigned an NAIC designation by the Securities Valuation Office (SVO) pursuant to the policies in the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i>.</p>		

Adopted 08/20/2019

2020	General Interrogatories, Part 1	<p>CHANGE TO BLANK</p> <p>Make change shown below:</p> <p>17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s <u>invested</u> assets?</p> <p>17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s <u>invested</u> assets?</p>	H, L/F, P/C, T	Quarterly
2020	Schedule D, Part 3	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 4	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Quarterly
2020	General Interrogatories, Part 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Make change shown below:</p> <p>17.5097 If the total assets under management of any the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5 are greater than 10% of the reporting entity's <u>invested</u> assets (<u>Line 12 of the Asset page</u>), answer “YES” to Question 17.5097.</p> <p>17.5098 If the total assets under management of all the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5 are greater than 50% of the reporting entity's <u>invested</u> assets (<u>Line 12 of the Asset page</u>), answer “YES” to Question 17.5098. When determining the aggregate total of assets under management, include all firms/individuals unaffiliated with the reporting entity, not just those who manage more than 10% of the reporting entity's assets.</p>	H, L/F, P/C, T	Quarterly