

**Blanks (E) Working Group
Editorial Revisions to the Blanks and Instructions
Effective 2020 Reporting**

Statement Type:

H = Health; L/F = Life/Fraternal Combined; P/C = Property/Casualty; SA = Separate Accounts; T = Title

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 12/16/2020

2020	Long-Term Care Form 5	<p>CHANGE TO INSTRUCTION</p> <p>Add the requirement to include a grand total page back into the instructions at end of first paragraph for Form 5.</p> <p>Form 5 provides LTC sales and claims experience on a state-by-state basis. These are the state's portion of a number of statistics reported on a nationwide basis elsewhere in these experience forms. Form 5 also includes data on products that include extension of and/or acceleration of LTC benefits on life policies or annuity contracts. <u>In addition, a schedule must be prepared that contains the grand total (GT) for the company.</u></p>	H, L/F, P/C	Annual
2020	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>Add the below back to Note 33. The instruction for 33D was deleted by mistake but the illustration for 33D remained. The instructions were part of the 2019-21BWG adopted by the BWG that modified the disclosure.</p> <p style="padding-left: 40px;">D. Reconcile total life insurance reserves amount disclosed to the appropriate sections of the Aggregate Reserves for Life Policies and Contracts Exhibit (Exhibit 5) of the Life, Accident and Health Annual Statement and the corresponding lines in the Separate Accounts Statement. The reconciliation is a single presentation including all amounts from the sections on Individual Life Insurance and Group Life Insurance.</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 08/27/2020

2020	Long Term Care Reporting Form 1	<p>CHANGE TO BLANK</p> <p>Add reference to footnote (a) in column 2 header. Renumber line numbers on Group section from 1 through 5 to 6 through 10.</p>	H ,L/F, P/C	Annual
2020	Long Term Care Reporting Forms 2 and 3	<p>CHANGE TO BLANK</p> <p>Add reference to footnote (a) in table header.</p>	H, L/F, P/C	Annual
2020	Schedule T	<p>CHANGE TO BLANK</p> <p>Noted during review that the footnote references were not updated when changes were made several years ago. Inserted (b) in column 6 header and changed the (b) to (c) on line 99.</p>	L	Annual
2020	Exhibit of Number of Policies, Contracts, Certificates, Income Payable and Account Values in Force for Supplementary Contracts, Annuities, Accident and Health and Other Policies	<p>CHANGE TO BLANK</p> <p>Document existing crosschecks on the blank page to clarify for users of the exhibit how the count for the line is determined.</p> <p>Supplementary Contracts, Annuities and Deposit Funds Sections</p> <p>9. In force end of year (Line 5 minus Line 8)</p> <p>Accident and Health Insurance Section</p> <p>10. In force end of year (Line 5 minus Line 9)</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>For Note 23F(1)f, modify the narrative of the illustration as shown below and remove the collateral column in the illustration for reciprocal jurisdiction section to match the authorized section of the illustration because collateral not required for authorized and reciprocal jurisdiction reinsurers.</p> <p>f. Total Paid Loss/LAE amounts recoverable (for authorized, reciprocal jurisdiction, unauthorized and certified reinsurers), any amounts more than 90 days overdue (for authorized, reciprocal jurisdiction, unauthorized and certified reinsurers), and for amounts recoverable the collateral held (for authorized, reciprocal jurisdiction, unauthorized and certified reinsurers) as respects amounts recoverable from authorized, reciprocal jurisdiction, unauthorized and certified reinsurers:</p>	P/C, T	Annual
2020	Analysis of Operations by Lines of Business – Summary	<p>CHANGE TO INSTRUCTION</p> <p>Remove two crosschecks as shown below. There is an existing crosschecks for the Exhibit of Number of Policies, Contracts, Certificates, Income Payable and Account Values in Force for Supplementary Contracts, Annuities, Accident and Health and Other Policies which make these two not work for arriving at a direct count.</p> <p>Line 34 – Policies/Certificates in Force End of Year</p> <p>The number provided should be count of direct written policies/certificates in force at the end of the year.</p> <p>The sum of Columns 2 and 3 should equal Line 23, Column 9 of Life Insurance (state page).</p> <p>The sum of Columns 4 and 5 should equal the Exhibit of Number of Policies, Contracts, Certificates, Income Payable and Account Values in Force for Supplementary Contracts, Annuities, Accident and Health and Other Policies Line 9 (Column 1 plus Column 3 for the Supplementary Contracts section) plus Line 9 (sum of Columns 1 through 4 for the Annuities section).</p> <p>Column 6 should equal sum of Column 1, Column 3 and Column 5, Line 10 – Line 3 + Line 8 of the Exhibit of Number of Policies, Contracts, Certificates, Income Payable and Account Values in Force for Supplementary Contracts, Annuities, Accident and Health and Other Policies in the Accident and Health Insurance section.</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 1 Schedule D, Part 2, Section 1 Schedule D, Part 2, Section 2 Schedule BA, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Add new administrative symbol ND*</p> <p><u>ND* Regulatory review for an assessment of regulatory policy for the investment or regulatory reporting instructions to implement applicable policy.</u></p>	H, L/F, P/C, T	Annual
2020	Schedule BA, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Add clarification to the FE code to indicate that the code is limited for use on Schedule BA and reporting entities should consult the P&P manual for details on its use on Schedule BA.</p> <p>Following are valid SVO Administrative Symbols for bonds and preferred stock. Refer to the P&P Manual for the application of these symbols.</p> <p>S Additional or other non-payment risk SYE Additional or other non-payment risk - Year-end carry over YE Year-end carry over FE Filing Exempt_____ (Limited use on this schedule. See P&P Manual for details) RT Regulatory Transaction RTS Regulatory Transaction - SVO Reviewed RTIF Regulatory Transaction - Initial Filing Submitted to SVO RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over F Sub-paragraph D Company – insurer self-designated</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 05/28/2020

2020	Investment Schedules General Instructions	<p>CHANGE TO INSTRUCTION</p> <p>Remove reference to Web address as it is no longer valid and new location can't be found. No alternate is being provided.</p> <p align="center"><u>STOCK EXCHANGE LIST</u></p> <p>This is not a comprehensive list of stock exchanges. If a stock exchange is not listed, refer to www.fixprotocol.org/specifications/exchanges.shtml. If a stock exchange is not found in <u>the list below</u> one of the sources above, use a description or abbreviation that accurately identifies the exchange.</p>	H, L/F, P/C, T	Annual
------	--	---	-------------------	--------

2020	Schedule D, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 33)</u> security number. The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual
------	--------------------	---	-------------------	--------

2020	Schedule D, Part 2, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 27)</u> security number. The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual
------	----------------------------------	---	-------------------	--------

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 2, Section 2	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 24) security number.</u> The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual
2020	Schedule D, Part 3	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 14) security number.</u> The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual
2020	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 26) security number.</u> The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual
2020	Schedule D, Part 5	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report <u>the CUSIP field should be zero-filled and a valid ISIN security number should be reported in (Column 26) security number.</u> The CUSIP field should be zero filled.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 6, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 16) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Annual
2020	Schedule DL, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 11) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Annual
2020	Schedule DL, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 11) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Annual
2020	Schedule S, Part 1, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7.</p> <p>All types of business shown above are as reported in the Analysis of Operations by Lines of Business and the Analysis of Annuity Operations by Lines of Business except as noted below:</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type																												
2020	Schedule S, Part 3, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7.</p> <p>All types of business shown above are as reported in the Analysis of Operations by Lines of Business and the Analysis of Annuity Operations by Lines of Business except as noted below:</p>	L/F	Annual																												
2020	Schedule S, Part 1, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7 to remove “Supplementary Contracts” from the table below because it is no longer reported separately on the Analysis of Operations by Lines of Business.</p> <p>Abbreviations:</p> <table border="1" data-bbox="604 613 1612 997"> <tbody> <tr> <td>IL</td> <td>Industrial Life</td> <td>FA</td> <td>Fixed Annuities</td> </tr> <tr> <td>XXXL</td> <td>XXX Life</td> <td>IA</td> <td>Indexed Annuities</td> </tr> <tr> <td>XXXLO</td> <td>XXX Life Other</td> <td>VA</td> <td>Variable Annuities</td> </tr> <tr> <td>AXXX</td> <td>AXXX Life</td> <td>OA</td> <td>Other Annuities</td> </tr> <tr> <td>CL</td> <td>Credit Life</td> <td>ADB</td> <td>Accidental Death Benefits</td> </tr> <tr> <td>SC</td> <td>Supplementary Contracts</td> <td>DIS</td> <td>Disability Benefits</td> </tr> <tr> <td>OL</td> <td>Other Life</td> <td></td> <td></td> </tr> </tbody> </table> <p>NOTE: The Type of Business Assumed code should be entered in all capital letters.</p>	IL	Industrial Life	FA	Fixed Annuities	XXXL	XXX Life	IA	Indexed Annuities	XXXLO	XXX Life Other	VA	Variable Annuities	AXXX	AXXX Life	OA	Other Annuities	CL	Credit Life	ADB	Accidental Death Benefits	SC	Supplementary Contracts	DIS	Disability Benefits	OL	Other Life			L/F	Annual
IL	Industrial Life	FA	Fixed Annuities																													
XXXL	XXX Life	IA	Indexed Annuities																													
XXXLO	XXX Life Other	VA	Variable Annuities																													
AXXX	AXXX Life	OA	Other Annuities																													
CL	Credit Life	ADB	Accidental Death Benefits																													
SC	Supplementary Contracts	DIS	Disability Benefits																													
OL	Other Life																															

Effective	Table Name	Description	Statement Type	Filing Type																												
2020	Schedule S, Part 3, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7 to remove “Supplementary Contracts” from the table below because it is no longer reported separately on the Analysis of Operations by Lines of Business.</p> <p>Abbreviations:</p> <table border="1" data-bbox="604 396 1608 656"> <tr> <td>IL</td> <td>Industrial Life</td> <td>FA</td> <td>Fixed Annuities</td> </tr> <tr> <td>XXXL</td> <td>XXX Life</td> <td>IA</td> <td>Indexed Annuities</td> </tr> <tr> <td>XXXLO</td> <td>XXX Life Other</td> <td>VA</td> <td>Variable Annuities</td> </tr> <tr> <td>AXXX</td> <td>AXXX Life</td> <td>OA</td> <td>Other Annuities</td> </tr> <tr> <td>CL</td> <td>Credit Life</td> <td>ADB</td> <td>Accidental Death Benefits</td> </tr> <tr> <td>SC</td> <td>Supplementary Contracts</td> <td>DIS</td> <td>Disability Benefits</td> </tr> <tr> <td>OL</td> <td>Other Life</td> <td></td> <td></td> </tr> </table> <p>NOTE: The Type of Business Assumed code should be entered in all capital letters.</p>	IL	Industrial Life	FA	Fixed Annuities	XXXL	XXX Life	IA	Indexed Annuities	XXXLO	XXX Life Other	VA	Variable Annuities	AXXX	AXXX Life	OA	Other Annuities	CL	Credit Life	ADB	Accidental Death Benefits	SC	Supplementary Contracts	DIS	Disability Benefits	OL	Other Life			L/F	Annual
IL	Industrial Life	FA	Fixed Annuities																													
XXXL	XXX Life	IA	Indexed Annuities																													
XXXLO	XXX Life Other	VA	Variable Annuities																													
AXXX	AXXX Life	OA	Other Annuities																													
CL	Credit Life	ADB	Accidental Death Benefits																													
SC	Supplementary Contracts	DIS	Disability Benefits																													
OL	Other Life																															
2020	Schedule S, Part 1, Section 1 (Life Supplement)	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7.</p> <p>All types of business shown above are as reported in the <u>Life State Page – Life Insurance Section of the Life Supplement) Analysis of Operations by Lines of Business</u> and the Analysis of Annuity Operations by Lines of Business except as noted below:</p>	H	Annual																												
2020	Schedule S, Part 3, Section 1 (Life Supplement)	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7.</p> <p>All types of business shown above are as reported in the <u>Life State Page – Life Insurance Section of the Life Supplement) Analysis of Operations by Lines of Business</u> and the Analysis of Annuity Operations by Lines of Business except as noted below:</p>	H	Annual																												

Effective	Table Name	Description	Statement Type	Filing Type																												
2020	Schedule S, Part 1, Section 1 (Life Supplement)	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7 to remove “Supplementary Contracts” from the table below for consistency with the table in the Life/Fraternal instructions because it is no longer reported separately on the Life/Fraternal Analysis of Operations by Lines of Business.</p> <p>Abbreviations:</p> <table border="1" data-bbox="604 427 1608 808"> <tr> <td>IL</td> <td>Industrial Life</td> <td>FA</td> <td>Fixed Annuities</td> </tr> <tr> <td>XXXL</td> <td>XXX Life</td> <td>IA</td> <td>Indexed Annuities</td> </tr> <tr> <td>XXXLO</td> <td>XXX Life Other</td> <td>VA</td> <td>Variable Annuities</td> </tr> <tr> <td>AXXX</td> <td>AXXX Life</td> <td>OA</td> <td>Other Annuities</td> </tr> <tr> <td>CL</td> <td>Credit Life</td> <td>ADB</td> <td>Accidental Death Benefits</td> </tr> <tr> <td>SC</td> <td>Supplementary Contracts</td> <td>DIS</td> <td>Disability Benefits</td> </tr> <tr> <td>OL</td> <td>Other Life</td> <td></td> <td></td> </tr> </table> <p>NOTE: The Type of Business Assumed code should be entered in all capital letters.</p>	IL	Industrial Life	FA	Fixed Annuities	XXXL	XXX Life	IA	Indexed Annuities	XXXLO	XXX Life Other	VA	Variable Annuities	AXXX	AXXX Life	OA	Other Annuities	CL	Credit Life	ADB	Accidental Death Benefits	SC	Supplementary Contracts	DIS	Disability Benefits	OL	Other Life			H	Annual
IL	Industrial Life	FA	Fixed Annuities																													
XXXL	XXX Life	IA	Indexed Annuities																													
XXXLO	XXX Life Other	VA	Variable Annuities																													
AXXX	AXXX Life	OA	Other Annuities																													
CL	Credit Life	ADB	Accidental Death Benefits																													
SC	Supplementary Contracts	DIS	Disability Benefits																													
OL	Other Life																															
2020	Schedule S, Part 3, Section 1 (Life Supplement)	<p>CHANGE TO INSTRUCTION</p> <p>Make the edit below for Column 7 to remove “Supplementary Contracts” from the table below for consistency with the table in the Life/Fraternal instructions because it is no longer reported separately on the Life/Fraternal Analysis of Operations by Lines of Business.</p> <p>Abbreviations:</p> <table border="1" data-bbox="604 1060 1608 1442"> <tr> <td>IL</td> <td>Industrial Life</td> <td>FA</td> <td>Fixed Annuities</td> </tr> <tr> <td>XXXL</td> <td>XXX Life</td> <td>IA</td> <td>Indexed Annuities</td> </tr> <tr> <td>XXXLO</td> <td>XXX Life Other</td> <td>VA</td> <td>Variable Annuities</td> </tr> <tr> <td>AXXX</td> <td>AXXX Life</td> <td>OA</td> <td>Other Annuities</td> </tr> <tr> <td>CL</td> <td>Credit Life</td> <td>ADB</td> <td>Accidental Death Benefits</td> </tr> <tr> <td>SC</td> <td>Supplementary Contracts</td> <td>DIS</td> <td>Disability Benefits</td> </tr> <tr> <td>OL</td> <td>Other Life</td> <td></td> <td></td> </tr> </table> <p>NOTE: The Type of Business Assumed code should be entered in all capital letters.</p>	IL	Industrial Life	FA	Fixed Annuities	XXXL	XXX Life	IA	Indexed Annuities	XXXLO	XXX Life Other	VA	Variable Annuities	AXXX	AXXX Life	OA	Other Annuities	CL	Credit Life	ADB	Accidental Death Benefits	SC	Supplementary Contracts	DIS	Disability Benefits	OL	Other Life			H	Annual
IL	Industrial Life	FA	Fixed Annuities																													
XXXL	XXX Life	IA	Indexed Annuities																													
XXXLO	XXX Life Other	VA	Variable Annuities																													
AXXX	AXXX Life	OA	Other Annuities																													
CL	Credit Life	ADB	Accidental Death Benefits																													
SC	Supplementary Contracts	DIS	Disability Benefits																													
OL	Other Life																															

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule DB, Part D, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Replace “statement value” reference with “Book/Adjusted Carrying Value” reference.</p> <p>Column 5 – Contracts with Book/Adjusted Carrying Value > 0 (i.e., debit balance on balance sheet)</p> <p>On the first line, show the aggregate sum for exchange traded derivatives that have a positive Book/Adjusted Carrying Value.</p> <p>For futures, this equals the sum of the positive cumulative variation margin for highly effective futures (Part B, Section 1, Column 15), plus the sum of the ending balance of all cash deposits with brokers (Part B, Section 1, Broker Name/Net Cash Deposits Footnote – Ending Cash Balance).</p> <p>On subsequent lines, show the sum of the Book/Adjusted Carrying Values of all derivative instruments with the counterparty or central clearinghouse that have a positive <u>Book/Adjusted Carrying Value</u> statement value.</p> <p>Column 6 – Contracts with Book/Adjusted Carrying Value < 0 (i.e., credit balance on balance sheet)</p> <p>On the first line, show the sum of the <u>Book/Adjusted Carrying Value</u> statement values in parentheses () of all exchange traded derivatives that have a negative Book/Adjusted Carrying Value.</p> <p>For futures, this equals the sum of the negative cumulative variation margin for highly effective futures (Part B, Section 1, Column 15).</p> <p>On subsequent lines, show the sum of the Book/Adjusted Carrying Values in parentheses () of all derivative instruments with the counterparty or central clearinghouse that have a negative Book/Adjusted Carrying Value.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule E, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 11 as shown below and move to new location within the column instructions to reflect it doesn't pertain just to the modifier.</p> <p>Column 11 – NAIC Designation Category</p> <p>The <u>NAIC Designation</u> and NAIC Designation Modifier Equivalent should be left blank for the following lines:</p> <ul style="list-style-type: none"> • Sweep Accounts Line 8499999 • Exempt Money Market Mutual Funds – as Identified by the SVO Line 8599999 • All Other Money Market Mutual Funds Line 8699999 • Other Cash Equivalents Line 8799999 	H, L/F, P/C, T	Annual
2020	Exhibit of Premium and Losses	<p>CHANGE TO BLANK</p> <p>Change the description for Column 7 from “Direct Premiums Earned” to “Net Premiums Earned”. The change will make the column consistent with the column on Schedule T and the crosscheck between the schedules.</p>	Title	Annual
2020	Exhibit of Premium and Losses	<p>CHANGE TO INSTRUCTION</p> <p>Change the description for Column 7 from “Direct Premiums Earned” to “Net Premiums Earned”. The change will make the column consistent with the column on Schedule T and the crosscheck between the schedules.</p> <p>Column 7 – <u>Net Direct</u> Premiums Earned</p> <p>Total to agree with Schedule T, Column 7, for the appropriate state.</p>	Title	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Combined Statement	<p>CHANGE TO INSTRUCTION</p> <p>Modify instructions to indicate the footnote for Schedule D, Parts 1 and 2 should not be completed.</p> <p>6. With the exception of Schedule Z, the format to be used is that of the NAIC Annual Statement blank for property/casualty insurers. The specific pages, exhibits, and schedules to be included are as follows:</p> <ul style="list-style-type: none"> Title Page (in part) Assets Liabilities, Surplus and Other Funds Statement of Income Cash Flow Underwriting and Investment Exhibit, Parts 1 through 3 Exhibit of Net Investment Income Exhibit of Capital Gains (Losses) Schedule D, Summary by Country Schedule D, Part 1A, Sections 1 and 2 Schedule D, Parts 1 and 2, Totals (Line 8399999, 8999999 or 9899999) only <u>Note: Do not complete the footnote for Schedule D, Parts 1 and 2</u> Schedule F, Parts 1, 2 and 3, Subtotals and Totals only Schedule H, Parts 1 through 4 only Schedule P except interrogatories Schedule T Schedule Z Insurance Expense Exhibit (Supplemental Filing) 	P/C	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule BA, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Make the changes below to correct line references for Column 7.</p> <p>Column 7 – NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol</p> <p>This column must be completed for those investments included on Lines 0799999, 0899999, 1599999 and 451699999.</p> <p>For Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument, insert the appropriate combination of the NAIC Designation (1 through 6), NAIC Designation Modifier (A through G) and SVO Administrative Symbol. The list of valid SVO Administrative Symbols is shown below.</p> <p style="text-align: center;">*** Detail Eliminated to Conserve Space ***</p> <p>NAIC Designation Modifier:</p> <p>The NAIC Designation Modifier should only be used for securities reported on the lines below if eligible to receive one, as defined in the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> (P&P Manual), otherwise, the field should be left blank.</p> <ul style="list-style-type: none"> • Underlying Characteristics of Bonds Lines 0799999 through 0899999 • Underlying Characteristics of Preferred Stocks Line 431599999 through 441699999 <p>As defined in the P&P Manual, there is not an NAIC Designation Modifier for investments reporting an NAIC Designation 6, therefore, the NAIC Designation Modifier field should be left blank.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Equity and Other Invested Asset Component – Basic Contribution, Reserve Objective and Maximum Reserve Calculations	<p>CHANGE TO INSTRUCTION</p> <p>Update reserve factors as shown below.</p> <p>Line 1 – Unaffiliated Common Stocks – Public</p> <p>Report the book/adjusted carrying value of all publicly issued common stock, including mutual funds (except money market mutual funds appropriately reported on Schedule E, Part 2) in unaffiliated companies in Columns 1 and 4. Multiply Column 4 by the reserve factor calculated for Columns 5, 7 and 9, and report the products in Columns 6, 8 and 10, respectively.</p> <p>The Line 1, Column 7 and 9 reserve factors must be at least 10<u>12.15</u>% but not more than 20<u>24.31</u>%.</p> <p>The reserve factor is equal to 13<u>15.80</u>% times the company’s weighted average portfolio beta. The weighted average portfolio beta is the market value weighted average of four (4) portfolio betas, one from the end of the prior year and the remaining from the first three (3) quarters of the current year. Calculation of this weighted average portfolio beta is illustrated in the following worksheet:</p>	L/F	Annual
2020	Equity and Other Invested Asset Component – Basic Contribution, Reserve Objective and Maximum Reserve Calculations	<p>CHANGE TO INSTRUCTION</p> <p>Update reserve factors as shown below.</p> <p>Lines 65 through 69 – Other Invested Assets with Underlying Characteristics of Common Stocks</p> <p>Report the book/adjusted carrying value of all Schedule BA assets owned where the characteristics of the underlying investments are similar to common stock (Lines 1999999 and 2099999) in Columns 1 and 4. Line 68 should show all Schedule BA assets owned where the characteristics of the underlying investments are similar to subsidiary, controlled or affiliated company common stocks owned and these assets should be valued according to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i>. Categorize these assets consistent with the directions for Pages 32 and 33, Lines 1 through 4, 15 and 16. For Line 65, the reserve factor must be calculated on an individual company basis. It is equal to 13<u>15.80</u>% times the beta factor as discussed in the Pages 32 and 33, Line 1 instructions, and must be at least 10<u>12.15</u>% but not more than 20<u>24.31</u>%. Multiply the amount in Column 4 by the calculated reserve factors in Columns 5, 7 and 9 and report the products in Columns 6, 8 and 10, respectively. For Lines 66 through 69, multiply the amounts in Column 4 by the reserve factors provided in Columns 5, 7 and 9 and report the products in Columns 6, 8 and 10, respectively.</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>The change below was not reflected in proposal 2019-07BWG but was part of the changes adopted by the SAPWG for <i>SSAP No. 100R—Fair Value</i>.</p> <p>20. Fair Value Measurements</p> <p><u>Instruction:</u></p> <p>A. <u>The objective of the disclosure requirements is to provide information about assets and liabilities measured at fair value in the financial statements as well as fair value amounts disclosed in the Notes to Financial Statements or reporting schedules. A reporting entity shall disclose information that helps users of the financial statements to assess both of the following:</u></p> <p style="padding-left: 40px;">For assets and liabilities that are measured and reported at fair value or net asset value (NAV) in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements.</p> <p style="padding-left: 40px;">For fair value measurements in the statement of financial position determined using significant unobservable inputs (Level 3), the effect of the measurements on earnings (or changes in net assets) for the period.</p>	H, L/F, P/C, T	Annual
2020	Schedule BA, Part 1 Schedule D, Part 1 Schedule D, Part 2 Sections 1 & 2	<p>CHANGE TO INSTRUCTION</p> <p>Add the following to the Administrative Symbol List.</p> <p>Z* Regulatory review initiated by either the SVO Director, Financial Condition (E) Committee, Executive (EX) Committee or VOSTF.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type								
2020	Schedule DB, Part A, Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 32 as shown below to match the annual instructions.</p> <p>Column 32 – CDHS Identifier</p> <p>Provide a unique identifier for each Clearly Defined Hedging Strategy (CDHS) reported on this schedule (e.g., 001, 002, etc.). This identifier will also be used for reporting of the CDHS in Column 1 of Schedule DB, Part E.</p> <p>This column should only be used for the following line numbers:</p> <table border="0" data-bbox="829 576 1564 755"> <tr> <td>Purchased Options</td> <td>Lines 0089999999 through 0139999999</td> </tr> <tr> <td>Written Options</td> <td>Lines 0579999999 through 0629999999</td> </tr> <tr> <td>Swaps</td> <td>Lines 1059999999 through 1099999999</td> </tr> <tr> <td>Forwards</td> <td>Lines 1421999999 through 1469999999</td> </tr> </table>	Purchased Options	Lines 0089999999 through 0139999999	Written Options	Lines 0579999999 through 0629999999	Swaps	Lines 1059999999 through 1099999999	Forwards	Lines 1421999999 through 1469999999	H, L/F, P/C, T	Quarterly
Purchased Options	Lines 0089999999 through 0139999999											
Written Options	Lines 0579999999 through 0629999999											
Swaps	Lines 1059999999 through 1099999999											
Forwards	Lines 1421999999 through 1469999999											
2020	Supplemental Exhibits and Schedules Interrogatories	<p>CHANGE TO BLANK</p> <p>Add the word “confidential” to Line 50, new lines 51 and 52 and renumbering subsequent lines. Also assign document identifiers for the documents in Lines 51 and 52.</p> <p>50. Will the <u>confidential</u> Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?</p> <p>51. Will the <u>confidential</u> Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?</p> <p>52. Will the <u>confidential</u> Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?</p>	L/F	Annual								

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 2, Section 2	<p>CHANGE TO INSTRUCTION</p> <p>Make the following changes to the instructions for Column 18.</p> <p>Column 18 – NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol</p> <p>For securities reported on Line 9499999 (Mutual Funds), provide the appropriate NAIC Designation (1 through 6), NAIC Designation Modifier (A through G) and SVO Administrative Symbol combination as assigned by the Securities Valuation Office. For all other common stock, the NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol–NAIC Designation Modifier field should be left blank.</p>	H, L/F, P/C, T	Annual
2020	Schedule D, Part 2, Section 2	<p>CHANGE TO INSTRUCTION</p> <p>Make the following changes to the instructions for Column 18.</p> <p>Column 18 – NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol</p> <p>The NAIC Designation, Designation Modifier and SVO Administrative Symbol Designation Modifier will be shown as one column on the printed schedule but will be twothree sub-columns in the data table.</p> <ul style="list-style-type: none">• NAIC Designation Column 18A• NAIC Designation Modifier Column 18B• SVO Administrative Symbol Column 18C	H, L/F, P/C, T	Annual
2020	Schedule D, Part 2, Section 2	<p>CHANGE TO INSTRUCTION</p> <p>Make the changes below to remove the crosscheck.</p> <p>NAIC Designation Category Footnote:</p> <p>Provide the total book/adjusted carrying value amount by NAIC Designation Category that represents the amount reported in Column 6.</p> <p>The sum of the amounts reported for each NAIC Designation Category in the footnote should equal Line 9499999.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule DA, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 22 as shown below and move to new location within the column instructions to reflect it doesn't pertain just to the modifier.</p> <p>Column 22 – NAIC Designation Category</p> <p>The <u>NAIC Designation</u> and NAIC Designation Modifier Equivalent should be left blank for the following lines:</p> <ul style="list-style-type: none"> • Parent, Subsidiaries and Affiliates – Mortgage Loans Line 8499999 • Parent, Subsidiaries and Affiliates – Other Short-Term Invested Assets Line 8599999 • Mortgage Loans Line 8799999 • Other Short-Term Invested Assets Line 9099999 	H, L/F, P/C, T	Annual
2020	Supplemental Exhibits and Schedules Interrogatories	<p>CHANGE TO BLANK</p> <p>For 2020 show Lines 29, 30, 31 and 32 as struck through and remove from specs data table. Proposal to formally remove the lines will be made for 2021.</p> <p>29. Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?</p> <p>30. Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?</p> <p>31. Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?</p> <p>32. Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?</p>	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2020	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>Make the following changes to Note 32.</p> <p>Instructions:</p> <ul style="list-style-type: none"> • Amount with current surrender charge of 5% or more included in A(1)b, B(1)b and C(1)b (from the tables illustrated below) in the current year that will have less than a 5% surrender charge (and thus be reported in A(1)e, B(1)e and C(1)e (from the tables illustrated below) <u>for the first time within</u> the year subsequent to the balance sheet year (% column is not required). <p>Illustration:</p> <p>A. INDIVIDUAL ANNUITIES:</p> <ol style="list-style-type: none"> (1). Subject to discretionary withdrawal: <ol style="list-style-type: none"> a. With market value adjustment b. At book value less current surrender charge of 5% or more c. At fair value d. Total with market value adjustment or at fair value (total of a through c) e. At book value without adjustment (minimal or no charge or adjustment) (2) Not subject to discretionary withdrawal (3) Total (gross: direct + assumed) (4) Reinsurance ceded (5) Total (net) (3) – (4) (6) Amount included in A(1)b above that will move to A(1)e <u>for the first time within</u> the year after the statement date: <p>B. GROUP ANNUITIES:</p> <ol style="list-style-type: none"> (1) Subject to discretionary withdrawal: <ol style="list-style-type: none"> a. With market value adjustment b. At book value less current surrender charge of 5% or more c. At fair value 	L/F	Annual

Effective	Table Name	Description	Statement Type	Filing Type
		<p>d. Total with market value adjustment or at fair value (total of a through c)</p> <p>e. At book value without adjustment (minimal or no charge or adjustment)</p> <p>(2) Not subject to discretionary withdrawal</p> <p>(3) Total (gross: direct + assumed)</p> <p>(4) Reinsurance ceded</p> <p>(5) Total (net) (3) – (4)</p> <p>(6) Amount included in B(1)b above that will move to B(1)e <u>for the first time within</u> the year after the statement date:</p> <p>C. DEPOSIT-TYPE CONTRACTS (no life contingencies):</p> <p>(1) Subject to discretionary withdrawal:</p> <p>a. With market value adjustment</p> <p>b. At book value less current surrender charge of 5% or more</p> <p>c. At fair value</p> <p>d. Total with market value adjustment or at fair value (total of a through c)</p> <p>e. At book value without adjustment (minimal or no charge or adjustment)</p> <p>(2) Not subject to discretionary withdrawal</p> <p>(3) Total (gross: direct + assumed)</p> <p>(4) Reinsurance ceded</p> <p>(5) Total (net) (3) – (4)</p> <p>(6) Amount included in C(1)b above that will move to C(1)e <u>for the first time within</u> the year after the statement date:</p>		

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 12/17/2019

2020	Exhibit 6	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instructions as shown below to clarify loss/claims adjusting expenses are not to be included on the exhibit.</p> <p>Reserves or other amounts relating to uninsured accident and health plans and the uninsured portion of partially insured accident and health plans should be excluded from this exhibit.</p> <p><u>Do not include amounts for loss/claims adjusting expenses.</u></p> <p>Column 10 – Credit Accident and Health (Group and Individual)</p> <p>Include: Business not exceeding 120 months.</p> <p>Refer to <i>SSAP No. 59—Credit Life and Accident and Health Insurance Contracts</i> for accounting guidance.</p> <p>This column is not applicable to Fraternal Benefit Societies.</p>	L/F	Annual
2020	Exhibit 8	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instructions as shown below to clarify loss/claims adjusting expenses are not to be included on the exhibit.</p> <p>Amounts relating to uninsured accident and health plans and the uninsured portion of partially insured accident and health plans should be excluded from this exhibit.</p> <p><u>Do not include amounts for loss/claims adjusting expenses.</u></p>	L/F	Annual
2020	Schedule D, Parts 3 and 4 Footnote	<p>CHANGE TO BLANK</p> <p>Delete footnote for market indicator to coincide with removal of market indicator and make consistent with annual.</p>	All	Quarterly
2020	Schedule DB, Part E	<p>CHANGE TO BLANK</p> <p>Header originally was for annual referring to December 31. Change to quarterly terminology: Derivatives Hedging Variable Annuity Guarantees as of <i>Current Statement Date</i></p>	All	Quarterly
2020	General Interrogatories Part 1	<p>CHANGE TO BLANK</p> <p>Add N/A as a choice on Line 15.2 to mimic annual statement. This will allow entities that answer NO on line 15.1 (do not enter into hedging transactions) to answer N/A.</p>	All	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 10/22/2019

2020	Schedule D, Part 3	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 10 – NAIC Designation and Administrative Symbol</p> <p>Provide the appropriate combination of NAIC designation (1 through 6) and administrative symbol (see below) at the end of the quarter for each security shown. The list of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Long Term Bond:</p> <p>For Bond Mutual Funds – as Identified by the SVO, enter 1.</p> <p>Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.</p> <p>Following are valid administrative symbols for bonds.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>FE Filing Exempt</p> <p>FM Financially Modeled RMBS/CMBS subject to SSAP 43R</p> <p>YE Year-end carry over</p> <p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>Z Insurer self-designated</p>	H, L/F, P/C, T	Quarterly
------	--------------------	--	-------------------	-----------

Effective	Table Name	Description	Statement Type	Filing Type
		<p>GI General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Preferred Stock:</p> <p>Following are valid administrative symbols for preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>FE Filing Exempt</p> <p>YE Year-end carry over</p> <p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>Z Insurer self-designated</p> <p>GI General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Common Stock:</p> <p>For securities reported on Line 9499999 (Mutual Funds) provide the appropriate NAIC designation (1 through 6) as assigned by the Securities Valuation Office. For all other common stock, the NAIC designation and administrative symbol field should be left blank.</p>		

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule D, Part 4	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 22 – NAIC Designation and Administrative Symbol</p> <p>Provide the appropriate combination of the NAIC designation (1 through 6) and administrative symbol (see below) at date of disposal for each security shown. The list of valid administrative symbols is shown below. Where multiple disposal transactions occurred for the same CUSIP, and those transactions are summarized on one line, enter the appropriate combination of NAIC designation and administrative symbol for the last disposal using the last available designation.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Long Term Bond:</p> <p>For Bond Mutual Funds – as Identified by the SVO, enter 1.</p> <p>Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.</p> <p>Following are valid administrative symbols for bonds. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols</p> <p>S Additional or other non-payment risk</p> <p><u>SYE</u> Additional or other non-payment risk - Year-end carry over</p> <p>FE Filing Exempt</p> <p>FM Financially Modeled RMBS/CMBS subject to SSAP 43R</p> <p>YE Year-end carry over</p> <p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT</u> Regulatory Transaction</p> <p><u>RTS</u> Regulatory Transaction - SVO Reviewed</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
		<p><u>RTIF</u> Regulatory Transaction - Initial Filing Submitted to SVO</p> <p><u>RTSYE</u> Regulatory Transaction - SVO Reviewed - Year-end carry over</p> <p>Z Insurer self-designated</p> <p>GI General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Preferred Stock:</p> <p>Following are valid administrative symbols for preferred stock.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE</u> Additional or other non-payment risk - Year-end carry over</p> <p>FE Filing Exempt</p> <p>YE Year-end carry over</p> <p>IF Initial filing</p> <p>PL Private Letter Rating</p> <p>PLGI Private Letter Rating – General Interrogatory</p> <p><u>RT</u> Regulatory Transaction</p> <p><u>RTS</u> Regulatory Transaction - SVO Reviewed</p> <p><u>RTIF</u> Regulatory Transaction - Initial Filing Submitted to SVO</p> <p><u>RTSYE</u> Regulatory Transaction - SVO Reviewed - Year-end carry over</p> <p>Z Insurer self-designated</p> <p>GI General Interrogatory</p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>* Limited to NAIC Designations 6</p> <p>Common Stock:</p> <p>For securities reported on Line 9499999 (Mutual Funds) provide the appropriate NAIC designation (1 through 6) as assigned by the Securities Valuation Office. For all other common stock, the NAIC designation and administrative symbol field should be left blank.</p>		

Effective	Table Name	Description	Statement Type	Filing Type
2020	Schedule BA, Part 2	<p>CHANGE TO INSTRUCTIONS</p> <p>Add new Administrative Symbols to the List.</p> <p>Column 6 – NAIC Designation and Administrative Symbol</p> <p>This column must be completed for those investments included on Lines 0799999 and 1599999.</p> <p>For Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument, insert the appropriate combination of the NAIC designation (1 through 6) and administrative symbol. The list of valid administrative symbols is shown below.</p> <p>The listing of valid NAIC designation and administrative symbol combinations can be found on the NAIC’s website for the Securities Valuation Office (www.naic.org/svo.htm).</p> <p>Following are valid administrative symbols for bonds and preferred stock. Refer to the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p><u>SYE Additional or other non-payment risk - Year-end carry over</u></p> <p>YE Year-end carry over</p> <p>FE Filing Exempt</p> <p><u>RT Regulatory Transaction</u></p> <p><u>RTS Regulatory Transaction - SVO Reviewed</u></p> <p><u>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</u></p> <p><u>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</u></p> <p>F Sub-paragraph D Company – insurer self-designated</p> <p>The NAIC designation and administrative symbol field should be left blank for those Schedule BA investments which have not been assigned an NAIC designation by the Securities Valuation Office (SVO) pursuant to the policies in the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i>.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 08/20/2019

2020	General Interrogatories, Part 1	<p>CHANGE TO BLANK</p> <p>Make change shown below:</p> <p>17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s <u>invested</u> assets?</p> <p>17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s <u>invested</u> assets?</p>	H, L/F, P/C, T	Quarterly
2020	Schedule D, Part 3	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Quarterly
2020	Schedule D, Part 4	<p>CHANGE TO INSTRUCTIONS</p> <p>Line 8199999 – Affiliated Bank Loans should not be added to the list of line categories for the schedule as affiliated bank loans should be reported on Line 5599999 – Parent, Subsidiaries and Affiliates.</p> <p>SVO Identified Funds line number changes to 8099999.</p>	H, L/F, P/C, T	Quarterly
2020	General Interrogatories, Part 1	<p>CHANGE TO INSTRUCTIONS</p> <p>Make change shown below:</p> <p>17.5097 If the total assets under management of any the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5 are greater than 10% of the reporting entity’s <u>invested</u> assets (<u>Line 12 of the Asset page</u>), answer “YES” to Question 17.5097.</p> <p>17.5098 If the total assets under management of all the firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5 are greater than 50% of the reporting entity’s <u>invested</u> assets (<u>Line 12 of the Asset page</u>), answer “YES” to Question 17.5098. When determining the aggregate total of assets under management, include all firms/individuals unaffiliated with the reporting entity, not just those who manage more than 10% of the reporting entity’s assets.</p>	H, L/F, P/C, T	Quarterly

**Blanks (E) Working Group
Editorial Revisions to the Blanks and Instructions
Effective 2021 Reporting**

Statement Type:

H = Health; L/F = Life/Fraternal Combined; P/C = Property/Casualty; SA = Separate Accounts; T = Title

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 12/16/2020

2021	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 18 – Realized Gain (Loss) on Disposal</p> <p>This should be the difference between the Consideration column amount and the Book/Adjusted Carrying Value at Disposal Date, excluding any portion that is attributable to foreign exchange differences.</p> <p>For SVO Identified Funds (Bond Mutual Funds and Exchange Traded Funds), enter the difference between the consideration, Column 7 and actual cost Column 9 at date of sale.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p style="padding-left: 40px;">For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee, the amount of realized gain (loss) reported is equal to the Par value of the investment (Column 8) less the BACV at the Disposal Date (Column 16).</p> <p>Bonds called <u>or tendered</u> where consideration received is less than par:</p> <p style="padding-left: 40px;">For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Annual
------	--------------------	--	-------------------	--------

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 20 – Bond Interest/Stock Dividends Received During Year</p> <p>For Mutual Funds (including Bond Mutual Funds – as Identified by the SVO and Exchange Traded Funds – as Identified by the SVO), enter the amount of distributions received in cash or reinvested in additional shares.</p> <p>Include: The proportionate share of investment income directly related to the securities reported in this schedule.</p> <p>Report amounts net of foreign withholding tax.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p>For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee; the amount of investment income reported is equal to the total consideration received (Column 7) less the Par value of the investment (Column 8).</p> <p>Bonds <u>or tendered</u> called where consideration received is less than par:</p> <p>For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule D, Part 5	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 18 – Realized Gain (Loss) on Disposal</p> <p>This should be the difference between the Consideration column amount and the Book/Adjusted Carrying Value at Disposal Date, excluding any portion that is attributable to foreign exchange differences.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p>For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee; the amount of realized gain (loss) reported is equal to the Par value of the investment (Column 8) less the BACV at the Disposal Date (Column 11).</p> <p>Bonds called <u>or tendered</u> where consideration received is less than par:</p> <p>For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule D, Part 5	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 20 – Interest and Dividends Received During Year</p> <p>For Mutual Funds (including Bond Mutual Funds – as Identified by the SVO and Exchange Traded Funds – as Identified by the SVO), enter the amount of distributions received in cash or reinvested in additional shares.</p> <p>Include: The proportionate share of investment income directly related to the securities reported in this schedule.</p> <p>Report amounts net of foreign withholding tax.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p>For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee, the amount of investment income reported is equal to the total consideration received (Column 10) less the Par value of the investment (Column 8).</p> <p>Bonds called <u>or tendered</u> where consideration received is less than par:</p> <p>For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 18 – Realized Gain or (Loss) on Disposal</p> <p>This should be the difference between the Consideration column amount and the Book/Adjusted Carrying Value at Disposal Date, excluding any portion that is attributable to foreign exchange differences.</p> <p>For Bond Mutual Funds – as Identified by the SVO and Exchange Traded Funds – as Identified by the SVO, enter the difference between the consideration, Column 7 and actual cost Column 9 at date of sale.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p>For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee; the amount of realized gain (loss) reported is equal to the Par value of the investment (Column 8) less the BACV at the Disposal Date (Column 16).</p> <p>Bonds called <u>or tendered</u> where consideration received is less than par:</p> <p>For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Add the word “tendered” to reference of called bonds and prepayment penalty.</p> <p>Column 20 – Bond Interest/Stock Dividends Received During Year</p> <p>For Mutual Funds (including Bond Mutual Funds – as Identified by the SVO and Exchange Traded Funds – as Identified by the SVO), enter the amount of distributions received in cash or reinvested in additional shares.</p> <p>Include: The proportionate share of investment income directly related to the securities reported in this schedule.</p> <p>Report amounts net of foreign withholding tax.</p> <p>Bonds called <u>or tendered</u> where consideration received exceeds par:</p> <p>For securities sold, redeemed or otherwise disposed of, which generate investment income as a result of a prepayment penalty and/or acceleration fee; the amount of investment income reported is equal to the total consideration received (Column 7) less the Par value of the investment (Column 8).</p> <p>Bonds called <u>or tendered</u> where consideration received is less than par:</p> <p>For securities sold, redeemed or otherwise disposed of, the amount of investment income and realized gain reported shall be calculated in accordance with <i>SSAP No. 26R—Bonds</i>.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2021	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>Add reference to tendered bonds to Note 5Q.</p> <p>Q. Prepayment Penalty and Acceleration Fees</p> <p>For securities sold, redeemed or otherwise disposed as a result of a callable <u>or tender offer</u> feature (including make whole call provisions), disclose the number of CUSIPs sold, disposed or otherwise redeemed and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee for the General Account and Separate Account.</p>	H, L/F, P/C, T	Annual
2021	Jurat	<p>CHANGE TO INSTRUCTION</p> <p>Add the clarification below for signatures.</p> <p>Signatures</p> <p>Complete the Jurat signature requirements in accordance with the requirements of the domiciliary state. Direct any questions concerning signature requirements to that state. At least one statement filed with <u>Consult the requirements of the domiciliary state regarding filing of the statement with</u> must have original signatures and must be manually signed by the appropriate corporate officers <u>with</u>, have the corporate seal affixed thereon where appropriate, and be properly notarized. For statements filed in non-domestic states, facsimile signatures or reproductions of original signatures may be used except where otherwise mandated. If the appropriate corporate officers are incapacitated or otherwise not available due to a personal emergency, the reporting entity should contact the domiciliary state for direction as to who may sign the statement.</p> <p>NOTE: If the United States Manager of a U.S. Branch or the Attorney-in-Fact of a Reciprocal Exchange or Lloyds Underwriters is a corporation, the affidavit should be signed by two (or three) principal officers of the corporation; or, if a partnership, by two (or three) of the principal members of the partnership.</p> <p>For domiciliary jurisdictions that require the reporting entity to submit signatures on the Jurat page as part of the PDF filed with the NAIC see the instructions for submitting a signed Jurat in the General Electronic Filing Directive. The link to that directive can be found at the following Web address:</p> <p><i>www.naic.org/cmte_e_app_blanks.htm</i></p>	H, L/F, P/C, T	Annual

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 08/27/2020

2021	Schedule D, Part 3 Schedule BA, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Add new administrative symbol ND*</p> <p><u>ND* Regulatory review for an assessment of regulatory policy for the investment or regulatory reporting instructions to implement applicable policy.</u></p>	H, L/F, P/C, T	Quarterly
2021	Schedule BA, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Add clarification to the FE code to indicate that the code is limited for use on Schedule BA and reporting entities should consult the P&P manual for details on its use on Schedule BA.</p> <p>Following are valid SVO Administrative Symbols for bonds and preferred stock. Refer to the P&P Manual for the application of these symbols.</p> <p>S Additional or other non-payment risk</p> <p>SYE Additional or other non-payment risk - Year-end carry over</p> <p>YE Year-end carry over</p> <p>FE Filing Exempt <u>(Limited use on this schedule. See P&P Manual for details)</u></p> <p>RT Regulatory Transaction</p> <p>RTS Regulatory Transaction - SVO Reviewed</p> <p>RTIF Regulatory Transaction - Initial Filing Submitted to SVO</p> <p>RTSYE Regulatory Transaction - SVO Reviewed - Year-end carry over</p> <p>F Sub-paragraph D Company – insurer self-designated</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 05/28/2020

2021	Schedule D, Part 3	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 15) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Quarterly
2021	Schedule D, Part 4	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 27) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Quarterly
2021	Schedule DL, Part 1	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 9) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Quarterly
2021	Schedule DL, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Modify the instruction for Column 1 – CUSIP Identification as shown below to better clarify zero filling CUSIP.</p> <p>If no valid CUSIP, CINS or PPN number exists, then report the CUSIP field should be zero-filled <u>and a valid ISIN security number should be reported in (Column 9) security number. The CUSIP field should be zero filled.</u></p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule DB, Part D Section 1	<p>CHANGE TO INSTRUCTION</p> <p>Replace “statement value” reference with “Book/Adjusted Carrying Value” reference.</p> <p>Column 5 – Contracts with Book/Adjusted Carrying Value > 0 (i.e., debit balance on balance sheet)</p> <p>On the first line, show the aggregate sum for exchange traded derivatives that have a positive Book/Adjusted Carrying Value.</p> <p>For futures, this equals the sum of the positive cumulative variation margin for highly effective futures (Part B, Section 1, Column 15), plus the sum of the ending balance of all cash deposits with brokers (Part B, Section 1, Broker Name/Net Cash Deposits Footnote – Ending Cash Balance).</p> <p>On subsequent lines, show the sum of the Book/Adjusted Carrying Values of all derivative instruments with the counterparty or central clearinghouse that have a positive <u>Book/Adjusted Carrying Value</u> statement value.</p> <p>Column 6 – Contracts with Book/Adjusted Carrying Value < 0 (i.e., credit balance on balance sheet)</p> <p>On the first line, show the sum of the <u>Book/Adjusted Carrying Value</u> statement values in parentheses () of all exchange traded derivatives that have a negative Book/Adjusted Carrying Value.</p> <p>For futures, this equals the sum of the negative cumulative variation margin for highly effective futures (Part B, Section 1, Column 15).</p> <p>On subsequent lines, show the sum of the Book/Adjusted Carrying Values in parentheses () of all derivative instruments with the counterparty or central clearinghouse that have a negative Book/Adjusted Carrying Value.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2021	Notes to Financial Statements	<p>CHANGE TO INSTRUCTION</p> <p>The change below was not reflected in proposal 2019-07BWG but was part of the changes adopted by the SAPWG for <i>SSAP No. 100R—Fair Value</i>.</p> <p>20. Fair Value Measurements</p> <p><u>Instruction:</u></p> <p>A. <u>The objective of the disclosure requirements is to provide information about assets and liabilities measured at fair value in the financial statements as well as fair value amounts disclosed in the Notes to Financial Statements or reporting schedules. A reporting entity shall disclose information that helps users of the financial statements to assess both of the following:</u></p> <p style="padding-left: 40px;">For assets and liabilities that are measured and reported at fair value or net asset value (NAV) in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements.</p> <p style="padding-left: 40px;">For fair value measurements in the statement of financial position determined using significant unobservable inputs (Level 3), the effect of the measurements on earnings (or changes in net assets) for the period.</p>	H, L/F, P/C, T	Quarterly
2021	Schedule BA, Part 2 Schedule D, Parts 3 & 4	<p>CHANGE TO INSTRUCTION</p> <p>Add the following to the Administrative Symbol List.</p> <p>Z* Regulatory review initiated by either the SVO Director, Financial Condition (E) Committee, Executive (EX) Committee or VOSTF.</p>	H, L/F, P/C, T	Quarterly

Effective	Table Name	Description	Statement Type	Filing Type
2021	Schedule BA, Part 2	<p>CHANGE TO INSTRUCTION</p> <p>Make the changes below to correct line references for Column 6.</p> <p>Column 6 – NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol</p> <p>NAIC Designation Modifier:</p> <p>The NAIC Designation Modifier should only be used for securities reported on the lines below if eligible to receive one, as defined in the <i>Purposes and Procedures Manual of the NAIC Investment Analysis Office</i> (P&P Manual), otherwise, the field should be left blank.</p> <ul style="list-style-type: none"> • Underlying Characteristics of Bonds Lines 0799999 through 0899999 • Underlying Characteristics of Preferred Stocks Line 131599999 through 441699999 <p>As defined in the P&P Manual, there is not an NAIC Designation Modifier for investments reporting an NAIC Designation 6, therefore, the NAIC Designation Modifier field should be left blank.</p>	H, L/F, P/C, T	Quarterly

**Blanks (E) Working Group
Editorial Revisions to the Blanks and Instructions
Effective 2022 Reporting**

Statement Type:

H = Health; L/F = Life/Fraternal Combined; P/C = Property/Casualty; SA = Separate Accounts; T = Title

Effective	Table Name	Description	Statement Type	Filing Type
-----------	------------	-------------	----------------	-------------

Adopted 12/16/2020

2022	Jurat	<p>CHANGE TO INSTRUCTION</p> <p>Add the clarification below for signatures.</p> <p>Signatures</p> <p>Complete the Jurat signature requirements in accordance with the requirements of the domiciliary state. Direct any questions concerning signature requirements to that state. At least one statement filed with <u>Consult the requirements of</u> the domiciliary state <u>regarding filing of the statement with</u> must have original signatures and must be manually signed by the appropriate corporate officers, <u>have with</u> the corporate seal affixed thereon where appropriate and be properly notarized. For statements filed in non-domestic states, facsimile signatures or reproductions of original signatures may be used except where otherwise mandated. If the appropriate corporate officers are incapacitated or otherwise not available due to a personal emergency, the reporting entity should contact the domiciliary state for direction as to who may sign the statement.</p> <p>NOTE: If the United States Manager of a U.S. Branch or the Attorney-in-Fact of a Reciprocal Exchange or Lloyds Underwriters is a corporation, the affidavit should be signed by two (or three) principal officers of the corporation; or, if a partnership, by two (or three) of the principal members of the partnership.</p> <p>For domiciliary jurisdictions that require the reporting entity to submit signatures on the Jurat page as part of the PDF filed with the NAIC, see the instructions for submitting a signed Jurat in the General Electronic Filing Directive. The link to that directive can be found at the following Web address:</p> <p style="text-align: center;"><i>www.naic.org/cmte_e_app_blanks.htm</i></p>	H, L/F, P/C, T	Quarterly
------	-------	---	-------------------	-----------

This page intentionally left blank.