

# 2020 Courses of Interest to International Regulators



NAIC Education & Training is pleased to offer several courses that appeal to a global audience. Additional information can be found online at [education.naic.org](http://education.naic.org). Please contact us with any questions at [education@naic.org](mailto:education@naic.org) or 816-783-8200.

## Classroom

Traditional classroom setting. Classroom courses held at the NAIC Central Office may have a maximum of 30 students; classroom courses open to the public held in other locations generally have no limitations on the number of registrations. For regulator-only courses, the number of international regulators is limited to no more than two from a country, with a maximum of four international regulators—until the registration deadline closes. If space remains, additional international regulators will be accepted on a space-available basis.

COURSES	AUDIENCE	LEVEL	DATE	LOCATION
Practical Manager	Regulator Only	Senior	October 27-28	Kansas City
Encompasses proven organizational leadership methods and reflects NAIC's own unique leadership philosophy. Master best practices in the areas of hiring, performance management and change management, among others.				

## Internet-Based, Instructor-Led

Length ranges from one to three weeks. These are facilitated, asynchronous, online courses requiring 2-3 hours of time per day during the course. Participants will have access to coursework 24 hours a day, seven days a week. Depending on the course, activities may include listening to lectures, reading, small group work, online discussions and other activities. Enrollment is limited to allow for a quality learning experience. For regulator-only courses, the number of international regulators is limited to no more than two from a country, with a maximum of four international regulators—until the registration deadline closes. If space remains, additional international regulators will be accepted on a space-available basis.

COURSES	AUDIENCE	LEVEL	DATE	LOCATION
Basic Reinsurance	Public	Basic	June 15-22 October 5-13	Online

Explains the intricacies of the reinsurance industry, the types and forms of reinsurance, how risk is transferred, what is involved in reinsurance contracts, and how to apply statutory reporting requirements.

Company Licensing Application Review Process	Regulator Only	Intermediate	March 16-30 July 13-27 September 10-24 November 9-23	Online
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Provides a detailed look at the process for reviewing a Uniform Certificate of Authority Application (UCAA) and includes content for all application types; Primary, Redomestication, Expansion, and Corporate Amendments.

Regulating for Solvency	Regulator Only	Intermediate	August 17-31 October 5-20	Online
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Covers the scope of regulatory authority; financial reporting, analysis, and examinations; receivership and guaranty funds, special functions such as P&C, actuarial, investment risk, and reinsurance; and accreditation.

## Internet-Based, Instructor-Led Continued

COURSES	AUDIENCE	LEVEL	DATE	LOCATION
P&C Annual Statement Preparation	Public	Intermediate	September 14-28	Online
Gain a fundamental understanding of statutory accounting principles, statutory filing requirements and risk-based capital.				
Health Annual Statement Preparation	Public	Intermediate	September 21 - October 5	Online
Learn the Health Annual Statement completion process while experiencing a walk through of the various schedules and exhibits.				
Risk-Focused IT Review	Regulator Only	Intermediate	October 13-27	Online
Provides an overview of the process and tools regulators can use to complete the review of a company's information technology (IT) system when conducting a financial examination.				
Regulating Risk Retention Groups	Public	Intermediate	October 19 - November 2	Online
Equips you with the skills and strategies needed to effectively regulate and understand the operations of Risk Retention Groups (RRGs), including how to apply the standard analysis techniques for the traditional insurance company when working with a Risk Retention Group.				

## Webinar

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COURSES	AUDIENCE	LEVEL	DATE	LOCATION
Accreditation Update	Regulator Only	Basic	October 27-28	Webinar
Covers changes to the accreditation standards including Part A: Laws and Regulations, Part B: Regulatory Practices and Procedures, and Part D: Primary Licensing, Redomestications and Change of Control.				
Annual Insurance Industry Results & Risk Alerts	Regulator Only	Basic	June 2 June 4	Webinar
Provides an overview of the 2019 full year results, trends, developments and current/prospective risks related to the U.S. Property & Casualty/Title Insurance Industry, as well as the Life/Health Industry.				
Financial Analysis Solvency Tools (FAST) Enhancements	Regulator Only	Basic	January 30 February 11	Webinar
Outlines updates to financial analysis solvency tools available for annual 2020 filing reviews.				
Financial Exam Handbook Updates & Hot Topics	Regulator Only	Basic	February 20 February 25	Webinar
Presents updates to the Financial Condition Examiners Handbook as well as any hot financial examination issues.				

## Internet-Based, Non Instructor-Led

Online unfacilitated courses that include listening to lectures, reading materials, taking quizzes and completing assignments. For courses that are available to begin any Monday, please give two weeks notice when enrolling.

COURSES	AUDIENCE	LEVEL	DATE	LOCATION
Basic Fraud	Public	Basic	Available the first Monday of each month	Online

Learn basic terms and concepts, how to use available fraud databases provided by the NAIC and other online search tools in their investigations, and how to investigate agent and consumer fraud.

Best Practices in Company Licensing	Regulator Only	Basic	February 10-17 April 20-27 August 3-10 November 2-9	Online
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Provides an overview of the Uniform Certificate of Authority Application (UCAA) Company Licensing process. You will learn about best practices for submitting and reviewing Primary, Expansion, and Corporate Amendment licensing applications, as well as the securities and safeguards around the UCAA process.

Climate Change & Risk-Focused Examinations	Regulator Only	Intermediate	Available any Monday	Online
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Explores how solvency risks can arise in an insurer's underwriting, investment, and reinsurance practices as a result of this impact.

Core Legal	Public	Basic	Available any Monday	Online
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Designed primarily for attorneys with less than five years of experience in insurance regulation or compliance who wish to develop a better—or broader—understanding of their role within the state regulatory framework.

Corporate Governance	Public	Intermediate	Available any Monday	Online
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Offers a vital look into the interrelationship of the regulatory policies that affect the insurance industry and the interpretations of compliance of these policies by the insurers.

Effective Coaching Techniques for Financial Regulators	Regulator Only	Basic	Available any Monday	Online
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Offers insight into the importance of coaching in the workplace and those points to consider when giving feedback or receiving feedback.

Financial Analysis	Regulator Only	Intermediate	Available any Monday	Online
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Helps analysts learn techniques for effective financial analysis, methods that employ the solvency monitoring tools and support the procedures outlined in the Financial Analysis Handbook.

Foundations of Insurance Regulation	Regulator Only	Basic	Available the first Monday of each month	Online
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An introductory course for non-financial regulators, provides a comprehensive introduction to various aspects of insurance, i.e., risk, indemnity, insurable interest, pooling.

## Internet-Based, Non Instructor-Led Continued

COURSES	AUDIENCE	LEVEL	DATE	LOCATION
Introduction to Critical Thinking	Regulator Only	Basic	Available any Monday	Online
Explores what it means to be a critical thinker. It will cover the components of the thought process, ways to evaluate how we think, and the role inquiry plays in critical thinking.				
Introduction to Financial Regulation	Regulator Only	Basic	Available the first Monday of each month	Online
Overview of basic insurance principles, annual statements, assets and liabilities, SAP and GAAP, financial analysis, examinations, accreditations, Risk-Based Capital and reinsurance are covered.				
Introduction to Insurance Industry	Public	Basic	Available any Monday	Online
Provides both new regulators and industry professionals with a working knowledge of insurance, this course also offers a balanced, systems-oriented view of how industry professionals and regulators work together to protect and meet the needs of consumers.				
Introduction to Statutory Accounting Principles	Public	Basic	Available any Monday	Online
Serves as a foundational introduction to the NAIC's SAP series of learning events. This course is designed for those who are new to statutory accounting or have very limited (two years or less) experience with the application of statutory accounting principles.				
Producer Licensing	Public	Basic	February 10-17 October 19-26	
Takes you from the beginning of producer licensing through the integration of current policies and processes and up to initiatives that are on the horizon.				
Regulation of Insurance Products	Regulator Only	Basic	Available the first Monday of each month	Online
Learn how insurers price the products they sell, evaluate property and casualty rates, and monitor sales of life insurance. Teaches the use of such regulatory efficiency tools as SERFF, the review standards checklist, uniform product naming standards and filing metrics.				
Risk-Focused Examinations	Regulator Only	Basic	Available any Monday	Online
Teaches you the fundamental principles and processes associated with risk-focused examinations. After completing this course, you will be able to better help your state conduct effective financial examinations by focusing your procedures on the areas of greatest risk.				
Risk-Focused Exams for Actuaries	Public	Intermediate	Available any Monday	Online