

**VISION Filing Fees by Product Line, Description and Code  
Effective as of January, 1, 2023**

Product Line	Description	PS Code	2023 Fee
Appeal	SVO Appeals - Annual	36101	\$ 2,650.00
Appeal	SVO Appeals - Initial	36100	\$ 2,650.00
Appeal	SVO Appeals Additional Issue	36104	\$ 375.00
Appeal	SVO Appeals Expired Info Request	36103	\$ 375.00
Appeal	SVO Appeals Rejection	36102	\$ 375.00
Corporate	Corp A/U Complex Not Rated	20066	\$ 2,500.00
Corporate	Corp A/U Issuer CRP Rated	20043	\$ 625.00
Corporate	Corp A/U Issuer Rated Add Issue	20045	\$ 375.00
Corporate	CORP A/U NOT RATED	20023	\$ 1,800.00
Corporate	Corp A/U Not Rated Foreign	20023F	\$ 2,000.00
Corporate	CORP A/U RATED	20002	\$ 375.00
Corporate	Corp Additional Issue Defeased	20069	\$ 375.00
Corporate	Corp Annual Defeased	20068	\$ 1,400.00
Corporate	Corp Annual DIPS	20061	\$ 1,800.00
Corporate	Corp Annual Expired Info Request	20040	\$ 375.00
Corporate	Corp Annual/Initial Reinstatement	20022	\$ 5,000.00
Corporate	Corp Initial Complex Credit Not in VOS	20065	\$ 7,500.00
Corporate	Corp Initial Defeased	20067	\$ 1,400.00
Corporate	Corp Initial DIPS	20060	\$ 5,000.00
Corporate	Corp Initial Expired Info Request	20030	\$ 375.00
Corporate	Corp Initial Issuer CRP Rated	20046	\$ 1,800.00
Corporate	CORP INITIAL ISSUER NOT IN VOS	20021	\$ 5,000.00
Corporate	Corp Initial Issuer Not in VOS Foreign	20021F	\$ 6,000.00
Corporate	Corp Initial Issuer Rated Add Issue	20047	\$ 1,800.00
Corporate	CORP INITIAL NOT RATED	20026	\$ 1,800.00
Corporate	Corp Initial Not Rated Foreign	20026F	\$ 2,000.00
Corporate	CORP INITIAL RATED	20000	\$ 375.00
Corporate	Corp Material Change	20062	\$ 500.00
Corporate	Corp Non-Billable Filing	20042	\$ -
Corporate	Corp Rejected Filing	20041	\$ 375.00
Corporate	Corp Renumbering Request	20064	\$ -
Corporate	Regulatory Transactions - Annual Update	RTS001	\$ 5,000.00
Corporate	Regulatory Transactions - Initial	RTS002	\$ 10,000.00
Corporate	SCH BA A/U Issuer CRP Rated	23622	\$ 625.00
Corporate	SCH BA A/U Issuer Rated Add Issue	23623	\$ 375.00
Corporate	SCH BA Annual Not Rated	23604	\$ 1,800.00
Corporate	SCH BA Annual Rated	23603	\$ 375.00
Corporate	SCH BA Initial Expired Info Request	23620	\$ 375.00
Corporate	SCH BA Initial Issuer CRP Rated	23624	\$ 1,800.00
Corporate	SCH BA Initial Issuer Rated Add Issue	23625	\$ 1,800.00
Corporate	SCH BA Initial Not Rated	23601	\$ 1,800.00

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Corporate	SCH BA Initial NotinVOS	23602	\$ 5,000.00
Corporate	SCH BA Initial Rated	23600	\$ 375.00
Corporate	SCH BA Material Change	23615	\$ 525.00
Corporate	SCH BA Non-Billable Filing	23627	\$ -
Corporate	SCH BA Rejected Filings	23626	\$ 375.00
Corporate	SCH BA Renumbering Request	23617	\$ -
Counterparty Derivatives	Counterparty Derivative Expired Info Request	17004	\$ 375.00
Counterparty Derivatives	Counterparty Derivative- Non Billable	17005	\$ -
Counterparty Derivatives	Counterparty Derivative Rejection	17003	\$ 375.00
Counterparty Derivatives	Counterparty Derivatives Annua	17002	\$ 625.00
Counterparty Derivatives	Counterparty Derivatives Initi	17001	\$ 2,700.00
ETF	Exchange Traded Funds (Annuals Non Insurers)	35700	\$ 1,500.00
ETF	Structured Annual ETFs	22166	\$ 1,800.00
ETF	Structured Initial ETFs	22165	\$ 2,600.00
ETF - License Fee	Exchange Traded Funds (Sponsors License)	35701	\$ 1,500.00
Money Market Fund	Mutual Funds Annuals	16002	\$ 675.00
Money Market Fund	Mutual Funds Expired Info Request	16004	\$ 375.00
Money Market Fund	Mutual Funds Initials	16001	\$ 900.00
Money Market Fund	Mutual Funds Non-Billable Filing	16005	\$ -
Money Market Fund	Mutual Funds Rejection	16003	\$ 375.00
Municipal	Muni A/R Issuer CRP Rated	21043	\$ 625.00
Municipal	Muni A/R Issuer Rated Add Issue	21060	\$ 375.00
Municipal	MUNI A/R NOT RATED	21023	\$ 1,800.00
Municipal	Muni A/R VOS Not Rated Foreign	21023F	\$ 1,900.00
Municipal	MUNI A/U RATED	21002	\$ 375.00
Municipal	Muni Additionl Issue Pre-Refunded	21066	\$ 375.00
Municipal	Muni Annual Expired Info Request	21040	\$ 375.00
Municipal	Muni Annual Lottery	21057	\$ 750.00
Municipal	Muni Annual Lottery Add Issue	21055	\$ 375.00
Municipal	Muni Annual Pre-Refunded	21059	\$ 1,400.00
Municipal	Muni Annual/Initial Reinstatement	21022	\$ 5,000.00
Municipal	Muni Complex Annual	21071	\$ 2,700.00
Municipal	Muni Complex Initial	21070	\$ 7,500.00
Municipal	Muni Initial Expired Info Request	21030	\$ 375.00
Municipal	Muni Initial Issuer CRP Rated	21044	\$ 1,800.00
Municipal	Muni Initial Issuer Not in VOS	21021	\$ 5,000.00
Municipal	Muni Initial Issuer Not in VOS Foreign	21021F	\$ 6,000.00
Municipal	Muni Initial Issuer Rated Add Issue	21045	\$ 1,800.00
Municipal	Muni Initial Lottery	21056	\$ 1,400.00
Municipal	MUNI INITIAL NOT RATED	21024	\$ 1,800.00
Municipal	Muni Initial Not Rated Foreign	21024F	\$ 1,900.00

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Municipal	Muni Initial Pre-Refunded	21058	\$ 1,400.00
Municipal	MUNI INITIAL RATED	21000	\$ 375.00
Municipal	Muni Material Change	21061	\$ 525.00
Municipal	Muni Non-Billable Filing	21065	\$ -
Municipal	Muni Rejected Filing	21064	\$ 375.00
Municipal	Muni Renumbering Request	21063	\$ -
Private Letter Rating	Private Letter Expired Info Request	26003	\$ 400.00
Private Letter Rating	Private Letter Initial	26001	\$ 400.00
Private Letter Rating	Private Letter Non-Billable Filing	26005	\$ -
Private Letter Rating	Private Letter Rejected Filing	26004	\$ 400.00
Private Letter Rating	Private Letter Subsequent	26002	\$ 400.00
QUSFI	Qualified US Financial Institutions - Annual	15006	\$ 950.00
QUSFI	Qualified US Financial Institutions - Initial	15005	\$ 1,600.00
RTAS	Reg Treatment Analysis Svc - Corp (Insurer)	25001	\$ 15,750.00
RTAS	Reg Treatment Analysis Svc - Corp (Non Insurer)	25000	\$ 25,000.00
RTAS	Reg Treatment Analysis Svc - ETF (Insurer)	25004	\$ 15,750.00
RTAS	Reg Treatment Analysis Svc - ETF (Non Insurer)	25003	\$ 25,000.00
RTAS	Reg Treatment Analysis Svc - Phase 1	25999	\$ 5,000.00
RTAS	Reg Treatment Analysis Svc - Reduced Rate	25998	\$ 12,500.00
Sovereign	FOREIGN/SUPRA INITIAL NOT RAT	20506	\$ 1,800.00
Sovereign	Foreign/Supra Annual Expired Info Request	20531	\$ 375.00
Sovereign	Foreign/Supra Annual Issuer Add Issue	20533	\$ 375.00
Sovereign	Foreign/Supra AU CRP Rated	20504	\$ 625.00
Sovereign	FOREIGN/SUPRA AU NOT RATED	20503	\$ 1,800.00
Sovereign	FOREIGN/SUPRA AU RATED	20502	\$ 375.00
Sovereign	Foreign/Supra Init IssrNtinVOS	20501	\$ 5,000.00
Sovereign	Foreign/Supra Initial Expired Info Request	20530	\$ 375.00
Sovereign	Foreign/Supra Initial Issuer Add Issue	20532	\$ 1,800.00
Sovereign	Foreign/Supra Initial Issuer CRP Rated	20526	\$ 1,800.00
Sovereign	FOREIGN/SUPRA INITIAL RATED	20500	\$ 375.00
Sovereign	Foreign/Supra Material Change	20540	\$ 525.00
Sovereign	Foreign/Supra Non-Billable Filing	20544	\$ -
Sovereign	Foreign/Supra Rejected Filing	20543	\$ 375.00
Sovereign	Foreign/Supra Renumbering Request	20542	\$ -
Sovereign	Sovereign-Foreign A/U Not Rated	20503F	\$ 1,800.00
Sovereign	Sovereign-Foreign Initial Issuer Not in VOS	20501F	\$ 5,000.00
Sovereign	Sovereign-Foreign Initial Not Rated	20506F	\$ 1,800.00
Structured Security	Military Housing Bonds Annual	22029	\$ 1,800.00
Structured Security	Military Housing Bonds Annual Additional	22032	\$ 375.00
Structured Security	Military Housing Bonds Annual Rated	22033	\$ 375.00
Structured Security	Military Housing Bonds Initial	22003	\$ 5,000.00

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Product Line	Description	PS Code	2023 Fee
Structured Security	SSG Annual Mortgage Reference	22164	\$ -
Structured Security	SSG Initial Mortgage Reference	22163	\$ -
Structured Security	SSG Rejected Filing	22168	\$ 375.00
Structured Security	Str Annual Structured Settlement	22162	\$ 600.00
Structured Security	Str Annual Structured Settlement Add Issue	22172	\$ 375.00
Structured Security	Str Initial Expired Info Request	22043	\$ 375.00
Structured Security	Str Initial Structured Settlement	22161	\$ 1,800.00
Structured Security	Str Non-Billable Filing	22051	\$ -
Structured Security	STRUC CTL A/U NOT RATED	20008	\$ 1,800.00
Structured Security	STRUC CTL A/U RATED	20007	\$ 375.00
Structured Security	STRUC INITIAL RATED	22000	\$ 375.00
Structured Security	STRUCT A/U NOT RATED	22111	\$ 1,800.00
Structured Security	STRUCT A/U RATED	22010	\$ 375.00
Structured Security	STRUCT CTL ADDITIONAL NOTES	22020	\$ 375.00
Structured Security	STRUCT CTL BOND INITIAL	22101	\$ 1,800.00
Structured Security	Struct CTL Complex Annual	25991	\$ 2,700.00
Structured Security	Struct CTL Complex Initial	25990	\$ 7,500.00
Structured Security	STRUCT CTL LEASE INITIAL	22102	\$ 2,575.00
Structured Security	STRUCT EQTY-LNKD A/U NOTRTD	22124	\$ 1,800.00
Structured Security	STRUCT EQTY-LNKD INITIAL NTRAT	22122	\$ 1,800.00
Structured Security	Struct GLF Annual Not Rated	22105	\$ 3,450.00
Structured Security	Struct GLF Annual Rated	22106	\$ 525.00
Structured Security	Struct GLF Inital Not Rated	22103	\$ 7,500.00
Structured Security	Struct GLF Initial Rated	22104	\$ 2,575.00
Structured Security	Struct Intl Filing NtRat NtVOS	22040	\$ 1,800.00
Structured Security	STRUCT REPLICATION A/U	22013	\$ 375.00
Structured Security	Struct Replication Collateral	22160	\$ 375.00
Structured Security	STRUCT REPLICATION INITIAL	22112	\$ 1,800.00
Structured Security	STRUCT RMBS A/U NON-GTD	22014	\$ 600.00
Structured Security	Structured Material Change	22169	\$ 525.00
Structured Security	Structured Rejected Filing	22167	\$ 375.00
Structured Security	Structured Renumbering Request	22171	\$ -
Working Capital Finance Investment	Working Capital Fin Inv	25006	\$ 10,000.00
Working Capital Finance Investment	Working Capital Fin Inv - Annual	25008	\$ 1,800.00
Working Capital Finance Investment	Working Capital Fin Inv - Prev	25007	\$ 5,000.00