

Systems Summary Grid

For each primary hardware platform, list the application software products used in each of the insurance business cycles.

Hardware Platform (manufacturer/model)							
Operating System*							
Access Control Software**							
Program Management Software							
Database Management Software							
Hardware Location							
Business User Location(s)							
Individual Responsible							
Process/Application	Product Name and Version	Software Source: Developed Internally Purchased – Not Modified Purchased – Customized Outsourced/Service Center	Developer/Vendor	Application Support: Internal/External (Provider Name)	Date of Initial Implementation	Date of Last Significant Update	Is the data stored in an accessible and readily transferable format? (Y/N/NA)
Policy Management (including premium-transaction processing and policy record management)							
Claim Management (including claim-transaction processing and record management, and reserving)							
Financial Reporting (general ledger and accounting)							N/A
Investment and Fund Management (including investment-transaction processing and record management)							N/A
Reinsurance Management							
Producer Management (including commissions-transaction processing and agent record management)							
Data Warehouse / Data Mart							

NOTE: Make as many copies as necessary to represent every primary hardware platform being used. These might include mainframe, minicomputer and/or network server systems. Additional financially significant applications should be inserted as needed.

* e.g., z/OS, z/VM, Clearpath, OS/400, i5/OS, Windows Server 20XX, Open Enterprise Server, Linux, Unix, AIX, Open Solaris, etc.

**e.g., RACF, Top Secret, ACF2, BSafe, Active Directory, eDirectory, Solaris.

Systems Summary Grid — Sample

For each primary hardware platform, list the application software products used in each of the insurance business cycles.

Hardware Platform (manufacturer/model)	IBM AS/400 Model 840						
Operating System	OS/400 v4r3						
Access Control Software	OS/400 and Client Access/400						
Program Management Software	Job Scheduler for AS/400						
Database Management Software	DB2 Universal Database for AS/400						
Hardware Location	Company's home office						
Business User Location(s)	Company's home office						
Individual Responsible	John Smith, VP - Underwriting						
Process/Application	Product Name and Version	Software Source: Developed Internally Purchased – Not modified Purchased – Customized Outsourced/Service Center	Developer/Vendor	Application Support: Internal / External (Provider Name)	Date of Initial Implementation	Date of Last Significant Update	Is the data stored in an accessible and readily transferable format? (Y/N/NA)
Policy Management (including premium-transaction processing and policy record management)	PMS v6r2	Developed internally	By company, using Cobol, C++	Internal	09/1987	10/1999	Y
Claim Management (including claim-transaction processing and record management, and reserving)	Not on this platform						N/A
Financial Reporting (general ledger and accounting)	Not on this platform						N/A
Investment and Fund Management (including investment-transaction processing and record management)	Not on this platform						N/A
Reinsurance Management	Not on this platform						N/A
Producer Management (including commissions-transaction processing and agent record management)	PMS v6r2	Developed internally		Internal	09/1987	10/1999	Y
Data Warehouse / Data Mart	Oracle Database	Developed internally		Internal	09/1987	10/1999	Y

NOTE: This page is for informational purposes only — it does not have to be returned.