# Appendix 2: Mortality Claims Questionnaire

MORTALITY CLAIMS QUESTIONNAIRE

*The purpose of this mortality claims questionnaire is for a company to respond to the questions whether or not it is submitting death claim data as specified. If the company is not submitting death claim data as specified, provide the additional detail requested.*

## Fill out this questionnaire for your individual life business and submit in addition to policy-level information.

Company NAIC Company Code

Name Date

# MORTALITY CLAIMS

1. If the data is provided using a reporting run-out that is other than six months, what run-out period was used? *mm/dd/yyyy*
2. The death claim amounts are to be for the total face amount and on a gross basis (before reinsurance). The data is based on:
   1. Total face amount (for policies that include the cash value in addition to the face amount as a death benefit, use only the face amount) as specified OR

Other (describe):

If not as specified, indicate time period for which this occurred: *mm/dd/yyyy- mm/dd/yyyy*

* 1. Gross basis (before reinsurance) as specified OR

Other (describe):

If not as specified, indicate time period for which this occurred: *mm/dd/yyyy- mm/dd/yyyy*

Is this the same basis used for face amounts included in the study data?  Yes  No

1. The date that the termination is reported is to be used for the termination reported date. The date that the termination actually occurred is to be used for the actual termination date. What dates are used for death claims in the study data with respect to?

|  |  |  |
| --- | --- | --- |
| 1. Termination reported date | Reported date | Other (describe): |
| If not reported date, indicate basis for dates provided | [Describe other here] | |
| b) Actual termination date for death claims: | Date of death | Other (describe): |
| If not date of death, indicate basis for dates provided | [Describe other here] | |

1. Death claims pending at the end of the observation period but paid during the subsequent six months following the observation year are to be included in the data submission. Claims that are still pending at the end of the six month run out are to be included.

Are such pending claims included in the study data?  Yes  No

If no indicate time period for which this occurred:

1. The face amounts and death claim amounts are to be included without capping by amount. Are the face amounts and death claims/exposures included without capping by amount?

Yes  No

If No, describe how face amounts and death claims are capped and at what amount the capping is being done.

1. For death claims on policies issued before 1990:

Are death claims matched up to a corresponding in-force policy?

Yes  No

If no, indicate approach used:

1. Please briefly describe any other unique aspects of the death claims data that are not covered above.