Valid Designation, Designation Modifier and Administrative Symbol Combinations for 2020 Annual Reporting

Schedule D — Long-Term Bonds:

For the "FM" administrative symbol, only the combinations highlighted in yellow will be assigned by SVO. Until new RBC factors are created for each designation and designation modifier combination, the FM securities are being mapped to a single designation modifier for each designation. This will be updated to all designation modifiers when there are new factors are created for each designation and designation modifier combination.

Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
						6	
	1.A	2.A	3.A	4.A	5.A		A
	1.B	2.B	3.B	4.B	5.B		B
	1.C	2.C	3.C	4.C	5.C		C
	1.D						D
	1.E						E
	1.F						F
	1.G						G
S						6 S	
	1.A S	2.A S	3.A S	4.A S	5.A S		A
	1.B S	2.B S	3.B S	4.B S	5.B S		B
	1.C S	2.C S	3.C S	4.C S	5.C S		C
	1.D S						D
	1.E S						E
	1.F S						F
	1.G S						G
SYE						6 SYE	
	1.A SYE	2.A SYE	3.A SYE	4.A SYE	5.A SYE		A
	1.B SYE	2.B SYE	3.B SYE	4.B SYE	5.B SYE		B
	1.C SYE	2.C SYE	3.C SYE	4.C SYE	5.C SYE		C
	1.D SYE						D
	1.E SYE						E
	1.F SYE						F
	1.G SYE						G
FE						6 FE	
	1.A FE	2.A FE	3.A FE	4.A FE	5.A FE		A
	1.B FE	2.B FE	3.B FE	4.B FE	5.B FE		B
	1.C FE	2.C FE	3.C FE	4.C FE	5.C FE		C
	1.D FE						D
	1.E FE						E
	1.F FE						F
	1.G FE						G
FM						6 FM	
	1.A FM	2.A FM	3.A FM	4.A FM	5.A FM		A
	1.B FM	2.B FM	3.B FM	4.B FM	5.B FM		B
	1.C FM	2.C FM	3.C FM	4.C FM	5.C FM		C
	1.D FM						D
	1.E FM						E
	1.F FM						F
	1.G FM						G

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Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
YE						6 YE	
	1.A YE	2.A YE	3.A YE	4.A YE	5.A YE		**A**
	1.B YE	2.B YE	3.B YE	4.B YE	5.B YE		**B**
	1.C YE	2.C YE	3.C YE	4.C YE	5.C YE		**C**
	1.D YE						**D**
	1.E YE						**E**
	1.F YE						**F**
	1.G YE						**G**
IF						6 IF	
	1.A IF	2.A IF	3.A IF	4.A IF	5.A IF		**A**
	1.B IF	2.B IF	3.B IF	4.B IF	5.B IF		**B**
	1.C IF	2.C IF	3.C IF	4.C IF	5.C IF		**C**
	1.D IF						**D**
	1.E IF						**E**
	1.F IF						**F**
	1.G IF						**G**
PL						6 PL	
	1.A PL	2.A PL	3.A PL	4.A PL	5.A PL		**A**
	1.B PL	2.B PL	3.B PL	4.B PL	5.B PL		**B**
	1.C PL	2.C PL	3.C PL	4.C PL	5.C PL		**C**
	1.D PL						**D**
	1.E PL						**E**
	1.F PL						**F**
	1.G PL						**G**
PLGI						6 PLGI	
	1.A PLGI	2.A PLGI	3.A PLGI	4.A PLGI	5.A PLGI		**A**
	1.B PLGI	2.B PLGI	3.B PLGI	4.B PLGI	5.B PLGI		**B**
	1.C PLGI	2.C PLGI	3.C PLGI	4.C PLGI	5.C PLGI		**C**
	1.D PLGI						**D**
	1.E PLGI						**E**
	1.F PLGI						**F**
	1.G PLGI						**G**
RT						6 RT	
RTS						6 RTS	
	1.A RTS	2.A RTS	3.A RTS	4.A RTS	5.A RTS		**A**
	1.B RTS	2.B RTS	3.B RTS	4.B RTS	5.B RTS		**B**
	1.C RTS	2.C RTS	3.C RTS	4.C RTS	5.C RTS		**C**
	1.D RTS						**D**
	1.E RTS						**E**
	1.F RTS						**F**
	1.G RTS						**G**
RTIF						6 RTIF	
	1.A RTIF	2.A RTIF	3.A RTIF	4.A RTIF	5.A RTIF		**A**
	1.B RTIF	2.B RTIF	3.B RTIF	4.B RTIF	5.B RTIF		**B**
	1.C RTIF	2.C RTIF	3.C RTIF	4.C RTIF	5.C RTIF		**C**
	1.D RTIF						**D**
	1.E RTIF						**E**
	1.F RTIF						**F**
	1.G RTIF						**G**

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Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
RTSYE						6 RTSYE	
	1.A RTSYE	2.A RTSYE	3.A RTSYE	4.A RTSYE	5.A RTSYE		**A**
	1.B RTSYE	2.B RTSYE	3.B RTSYE	4.B RTSYE	5.B RTSYE		**B**
	1.C RTSYE	2.C RTSYE	3.C RTSYE	4.C RTSYE	5.C RTSYE		**C**
	1.D RTSYE						**D**
	1.E RTSYE						**E**
	1.F RTSYE						**F**
	1.G RTSYE						**G**
GI					5.B GI		**B**
F						6 F	
	1.A F	2.A F	3.A F	4.A F	5.A F		**A**
	1.B F	2.B F	3.B F	4.B F	5.B F		**B**
	1.C F	2.C F	3.C F	4.C F	5.C F		**C**
	1.D F						**D**
	1.E F						**E**
	1.F F						**F**
	1.G F						**G**
Z	1.A Z	2.A Z	3.A Z	4.A Z	5.A Z		**A**
	1.B Z	2.B Z	3.B Z	4.B Z	5.B Z		**B**
	1.C Z	2.C Z	3.C Z	4.C Z	5.C Z		**C**
	1.D Z						**D**
	1.E Z						**E**
	1.F Z						**F**
	1.G Z						**G**
*****						6 *	
Z*						6 Z*	
	1.A Z*	2.A Z*	3.A Z*	4.A Z*	5.A Z*		**A**
	1.B Z*	2.B Z*	3.B Z*	4.B Z*	5.B Z*		**B**
	1.C Z*	2.C Z*	3.C Z*	4.C Z*	5.C Z*		**C**
	1.D Z*						**D**
	1.E Z*						**E**
	1.F Z*						**F**
	1.G Z*						**G**
ND*						6 ND*	
	1.A ND*	2.A ND*	3.A ND*	4.A ND*	5.A ND*		**A**
	1.B ND*	2.B ND*	3.B ND*	4.B ND*	5.B ND*		**B**
	1.C ND*	2.C ND*	3.C ND*	4.C ND*	5.C ND*		**C**
	1.D ND*						**D**
	1.E ND*						**E**
	1.F ND*						**F**
	1.G ND*						**G**

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Schedule D — Preferred Stocks:

Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
						6	
	1.A	2.A	3.A	4.A	5.A		A
	1.B	2.B	3.B	4.B	5.B		B
	1.C	2.C	3.C	4.C	5.C		C
	1.D						D
	1.E						E
	1.F						F
	1.G						G
S						6 S	
	1.A S	2.A S	3.A S	4.A S	5.A S		A
	1.B S	2.B S	3.B S	4.B S	5.B S		B
	1.C S	2.C S	3.C S	4.C S	5.C S		C
	1.D S						D
	1.E S						E
	1.F S						F
	1.G S						G
SYE						6 SYE	
	1.A SYE	2.A SYE	3.A SYE	4.A SYE	5.A SYE		A
	1.B SYE	2.B SYE	3.B SYE	4.B SYE	5.B SYE		B
	1.C SYE	2.C SYE	3.C SYE	4.C SYE	5.C SYE		C
	1.D SYE						D
	1.E SYE						E
	1.F SYE						F
	1.G SYE						G
FE						6 FE	
	1.A FE	2.A FE	3.A FE	4.A FE	5.A FE		A
	1.B FE	2.B FE	3.B FE	4.B FE	5.B FE		B
	1.C FE	2.C FE	3.C FE	4.C FE	5.C FE		C
	1.D FE						D
	1.E FE						E
	1.F FE						F
	1.G FE						G
YE						6 YE	
	1.A YE	2.A YE	3.A YE	4.A YE	5.A YE		A
	1.B YE	2.B YE	3.B YE	4.B YE	5.B YE		B
	1.C YE	2.C YE	3.C YE	4.C YE	5.C YE		C
	1.D YE						D
	1.E YE						E
	1.F YE						F
	1.G YE						G
IF						6 IF	
	1.A IF	2.A IF	3.A IF	4.A IF	5.A IF		A
	1.B IF	2.B IF	3.B IF	4.B IF	5.B IF		B
	1.C IF	2.C IF	3.C IF	4.C IF	5.C IF		C
	1.D IF						D
	1.E IF						E
	1.F IF						F
	1.G IF						G

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Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
PL						6 PL	
	1.A PL	2.A PL	3.A PL	4.A PL	5.A PL		**A**
	1.B PL	2.B PL	3.B PL	4.B PL	5.B PL		**B**
	1.C PL	2.C PL	3.C PL	4.C PL	5.C PL		**C**
	1.D PL						**D**
	1.E PL						**E**
	1.F PL						**F**
	1.G PL						**G**
PLGI						6 PLGI	
	1.A PLGI	2.A PLGI	3.A PLGI	4.A PLGI	5.A PLGI		**A**
	1.B PLGI	2.B PLGI	3.B PLGI	4.B PLGI	5.B PLGI		**B**
	1.C PLGI	2.C PLGI	3.C PLGI	4.C PLGI	5.C PLGI		**C**
	1.D PLGI						**D**
	1.E PLGI						**E**
	1.F PLGI						**F**
	1.G PLGI						**G**
RT						6 RT	
RTS						6 RTS	
	1.A RTS	2.A RTS	3.A RTS	4.A RTS	5.A RTS		**A**
	1.B RTS	2.B RTS	3.B RTS	4.B RTS	5.B RTS		**B**
	1.C RTS	2.C RTS	3.C RTS	4.C RTS	5.C RTS		**C**
	1.D RTS						**D**
	1.E RTS						**E**
	1.F RTS						**F**
	1.G RTS						**G**
RTIF						6 RTIF	
	1.A RTIF	2.A RTIF	3.A RTIF	4.A RTIF	5.A RTIF		**A**
	1.B RTIF	2.B RTIF	3.B RTIF	4.B RTIF	5.B RTIF		**B**
	1.C RTIF	2.C RTIF	3.C RTIF	4.C RTIF	5.C RTIF		**C**
	1.D RTIF						**D**
	1.E RTIF						**E**
	1.F RTIF						**F**
	1.G RTIF						**G**
RTSYE						6 RTSYE	
	1.A RTSYE	2.A RTSYE	3.A RTSYE	4.A RTSYE	5.A RTSYE		**A**
	1.B RTSYE	2.B RTSYE	3.B RTSYE	4.B RTSYE	5.B RTSYE		**B**
	1.C RTSYE	2.C RTSYE	3.C RTSYE	4.C RTSYE	5.C RTSYE		**C**
	1.D RTSYE						**D**
	1.E RTSYE						**E**
	1.F RTSYE						**F**
	1.G RTSYE						**G**
GI					5.B GI		**B**
F						6 F	
	1.A F	2.A F	3.A F	4.A F	5.A F		**A**
	1.B F	2.B F	3.B F	4.B F	5.B F		**B**
	1.C F	2.C F	3.C F	4.C F	5.C F		**C**
	1.D F						**D**
	1.E F						**E**
	1.F F						**F**
	1.G F						**G**

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Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
Z	1.A Z	2.A Z	3.A Z	4.A Z	5.A Z		**A**
	1.B Z	2.B Z	3.B Z	4.B Z	5.B Z		**B**
	1.C Z	2.C Z	3.C Z	4.C Z	5.C Z		**C**
	1.D Z						**D**
	1.E Z						**E**
	1.F Z						**F**
	1.G Z						**G**
*****						6 *	
Z*						6 Z*	
	1.A Z*	2.A Z*	3.A Z*	4.A Z*	5.A Z*		**A**
	1.B Z*	2.B Z*	3.B Z*	4.B Z*	5.B Z*		**B**
	1.C Z*	2.C Z*	3.C Z*	4.C Z*	5.C Z*		**C**
	1.D Z*						**D**
	1.E Z*						**E**
	1.F Z*						**F**
	1.G Z*						**G**
ND*						6 ND*	
	1.A ND*	2.A ND*	3.A ND*	4.A ND*	5.A ND*		**A**
	1.B ND*	2.B ND*	3.B ND*	4.B ND*	5.B ND*		**B**
	1.C ND*	2.C ND*	3.C ND*	4.C ND*	5.C ND*		**C**
	1.D ND*						**D**
	1.E ND*						**E**
	1.F ND*						**F**
	1.G ND*						**G**

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Schedule D — Common Stocks:
(Mutual Funds, Unit Investment Trusts and Closed-End Funds)

Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
						6	
	1.A	2.A	3.A	4.A	5.A		A
	1.B	2.B	3.B	4.B	5.B		B
	1.C	2.C	3.C	4.C	5.C		C
	1.D						D
	1.E						E
	1.F						F
	1.G						G
YE						6 YE	
	1.A YE	2.A YE	3.A YE	4.A YE	5.A YE		A
	1.B YE	2.B YE	3.B YE	4.B YE	5.B YE		B
	1.C YE	2.C YE	3.C YE	4.C YE	5.C YE		C
	1.D YE						D
	1.E YE						E
	1.F YE						F
	1.G YE						G
Z*						6 Z*	
	1.A Z*	2.A Z*	3.A Z*	4.A Z*	5.A Z*		A
	1.B Z*	2.B Z*	3.B Z*	4.B Z*	5.B Z*		B
	1.C Z*	2.C Z*	3.C Z*	4.C Z*	5.C Z*		C
	1.D Z*						D
	1.E Z*						E
	1.F Z*						F
	1.G Z*						G
ND*						6 ND*	
	1.A ND*	2.A ND*	3.A ND*	4.A ND*	5.A ND*		A
	1.B ND*	2.B ND*	3.B ND*	4.B ND*	5.B ND*		B
	1.C ND*	2.C ND*	3.C ND*	4.C ND*	5.C ND*		C
	1.D ND*						D
	1.E ND*						E
	1.F ND*						F
	1.G ND*						G

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Schedule DA, Part 1 — Short-Term Bonds

Designation Modifier	NAIC Designation					
	1	2	3	4	5	6
						6
A	1.A	2.A	3.A	4.A	5.A	
B	1.B	2.B	3.B	4.B	5.B	
C	1.C	2.C	3.C	4.C	5.C	
D	1.D					
E	1.E					
F	1.F					
G	1.G					

Schedule E, Part 2 — Cash Equivalent Bonds

Designation Modifier	NAIC Designation					
	1	2	3	4	5	6
						6
A	1.A	2.A	3.A	4.A	5.A	
B	1.B	2.B	3.B	4.B	5.B	
C	1.C	2.C	3.C	4.C	5.C	
D	1.D					
E	1.E					
F	1.F					
G	1.G					

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Schedule BA — Bonds & Preferred Stocks:

Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
						6	
	1.A	2.A	3.A	4.A	5.A		A
	1.B	2.B	3.B	4.B	5.B		B
	1.C	2.C	3.C	4.C	5.C		C
	1.D						D
	1.E						E
	1.F						F
	1.G						G
S						6 S	
	1.A S	2.A S	3.A S	4.A S	5.A S		A
	1.B S	2.B S	3.B S	4.B S	5.B S		B
	1.C S	2.C S	3.C S	4.C S	5.C S		C
	1.D S						D
	1.E S						E
	1.F S						F
	1.G S						G
SYE						6 SYE	
	1.A SYE	2.A SYE	3.A SYE	4.A SYE	5.A SYE		A
	1.B SYE	2.B SYE	3.B SYE	4.B SYE	5.B SYE		B
	1.C SYE	2.C SYE	3.C SYE	4.C SYE	5.C SYE		C
	1.D SYE						D
	1.E SYE						E
	1.F SYE						F
	1.G SYE						G
YE						6 YE	
	1.A YE	2.A YE	3.A YE	4.A YE	5.A YE		A
	1.B YE	2.B YE	3.B YE	4.B YE	5.B YE		B
	1.C YE	2.C YE	3.C YE	4.C YE	5.C YE		C
	1.D YE						D
	1.E YE						E
	1.F YE						F
	1.G YE						G
FE						6 FE	
	1.A FE	2.A FE	3.A FE	4.A FE	5.A FE		A
	1.B FE	2.B FE	3.B FE	4.B FE	5.B FE		B
	1.C FE	2.C FE	3.C FE	4.C FE	5.C FE		C
	1.D FE						D
	1.E FE						E
	1.F FE						F
	1.G FE						G
RT						6 RT	
RTS						6 RTS	
	1.A RTS	2.A RTS	3.A RTS	4.A RTS	5.A RTS		A
	1.B RTS	2.B RTS	3.B RTS	4.B RTS	5.B RTS		B
	1.C RTS	2.C RTS	3.C RTS	4.C RTS	5.C RTS		C
	1.D RTS						D
	1.E RTS						E
	1.F RTS						F
	1.G RTS						G

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Administrative Symbol	NAIC Designation						Designation Modifier
	1	2	3	4	5	6	
RTIF						6 RTIF	
	1.A RTIF	2.A RTIF	3.A RTIF	4.A RTIF	5.A RTIF		**A**
	1.B RTIF	2.B RTIF	3.B RTIF	4.B RTIF	5.B RTIF		**B**
	1.C RTIF	2.C RTIF	3.C RTIF	4.C RTIF	5.C RTIF		**C**
	1.D RTIF						**D**
	1.E RTIF						**E**
	1.F RTIF						**F**
	1.G RTIF						**G**
RTSYE						6 RTSYE	
	1.A RTSYE	2.A RTSYE	3.A RTSYE	4.A RTSYE	5.A RTSYE		**A**
	1.B RTSYE	2.B RTSYE	3.B RTSYE	4.B RTSYE	5.B RTSYE		**B**
	1.C RTSYE	2.C RTSYE	3.C RTSYE	4.C RTSYE	5.C RTSYE		**C**
	1.D RTSYE						**D**
	1.E RTSYE						**E**
	1.F RTSYE						**F**
	1.G RTSYE						**G**
F						6 F	
	1.A F	2.A F	3.A F	4.A F	5.A F		**A**
	1.B F	2.B F	3.B F	4.B F	5.B F		**B**
	1.C F	2.C F	3.C F	4.C F	5.C F		**C**
	1.D F						**D**
	1.E F						**E**
	1.F F						**F**
	1.G F						**G**
Z*						6 Z*	
	1.A Z*	2.A Z*	3.A Z*	4.A Z*	5.A Z*		**A**
	1.B Z*	2.B Z*	3.B Z*	4.B Z*	5.B Z*		**B**
	1.C Z*	2.C Z*	3.C Z*	4.C Z*	5.C Z*		**C**
	1.D Z*						**D**
	1.E Z*						**E**
	1.F Z*						**F**
	1.G Z*						**G**
ND*						6 ND*	
	1.A ND*	2.A ND*	3.A ND*	4.A ND*	5.A ND*		**A**
	1.B ND*	2.B ND*	3.B ND*	4.B ND*	5.B ND*		**B**
	1.C ND*	2.C ND*	3.C ND*	4.C ND*	5.C ND*		**C**
	1.D ND*						**D**
	1.E ND*						**E**
	1.F ND*						**F**
	1.G ND*						**G**

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