Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP
Friday, March 3, 2017
12:30 p.m. ET/11:30 a.m. CT/10:30 a.m. MT/9:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair
Jim Mealer, Vice Chair
Damion Hughes
Kurt Swan
Lee Backus/Sharon Shipp
Mark Ossi/Debra Peirce
Doug Ommen
Russ Hamblen
Rich Bradley
Paul Hanson
Peggy Willard-Ross
Win Pugsley
Nebraska
Missouri
Colorado
Connecticut
District of Columbia
Georgia
Iowa
Kentucky
Massachusetts
Minnesota
Nevada
New Hampshire
Cliff Day
Robert McLaughlin
Tracy Biehn
Angela Dingus
Joel Sander
Constance Arnold
Christina Rouleau
Julie Fairbanks
Jeanette Plitt
Mark Hooker
Cari Lee/Marcia Zimmer
New Jersey
New York
North Carolina
Ohio
Oklahoma
Pennsylvania
Vermont
Virginia
Washington
Wisconsin

AGENDA

1. Review 2017 Working Group Tasks—Director Bruce R. Ramge (NE)

2. Review and Discuss Proposed New Content Re: Closing Continuum Actions, March 1, 2017 Draft, for Inclusion in the Market Regulation Handbook—Director Bruce R. Ramge (NE)
   • California Dec. 29, 2016, Comments

3. Discuss Proposed Market Regulation Handbook Substantive Revisions Received from the Market Information Systems Research and Development (D) Working Group—Director Bruce R. Ramge (NE)

4. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

5. Adjournment