Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP
Wednesday, June 14, 2017
2:00 p.m. ET / 1:00 p.m. CT / 12:00 p.m. MT / 11:00 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair Nebraksa
Jim Mealer, Vice Chair Missouri
Damion Hughes Colorado
Kurt Swan Connecticut
Lee Backus/Sharon Shipp District of Columbia
Mark Ossi/Debra Peirce Georgia
Doug Ommen Iowa
Russell Hamblen Kentucky
Rich Bradley Massachusetts
Paul Hanson Minnesota
Peggy Willard-Ross Nevada
Win Pugsley New Hampshire
Cliff Day New Jersey
Robert McLaughlin New York
Angela Dinges Ohio
Joel Sander Oklahoma
Constance Arnold Pennsylvania
Christina Rouleau Vermont
Julie Fairbanks Virginia
Jeanette Plitt Washington
Mark Hooker West Virginia
Rebecca Rebholz Wisconsin

AGENDA

1. Consider Adoption of its April 27 Minutes—Director Bruce R. Ramge (NE) Attachment 1

2. Review and Consider for Adoption Revisions to Operations/Management Standard 2 of Chapter 21—Conducting the Medicare Supplement Examination, April 25 Draft, in the Market Regulation Handbook—Director Bruce R. Ramge (NE) Attachment 2

3. Review and Consider for Adoption Closing Continuum Actions, March 1 Draft, for Inclusion in the Reference Documents of the Market Regulation Handbook—Director Bruce R. Ramge (NE) Attachment 3
   • Texas – May 19 Comments on Closing Continuum Actions and Chapter 2—Continuum of Regulatory Responses
   • Nebraska – May 22 Comments and Alternative Draft

4. Review and Discuss Proposed New Standardized Data Requests, June 7 Draft, for Inclusion in the Reference Documents of the Market Regulation Handbook—Director Bruce R. Ramge (NE) Attachment 4
   • Life Claims
   • Life Declinations
   • Life In Force
   • Life Replacements

5. Review and Discuss Revisions to Chapter 13—Standardized Data Requests, June 7 Draft, for Inclusion in the Market Regulation Handbook—Director Bruce R. Ramge (NE) Attachment 5

6. Discuss an April 26 Proposed Compliance Risk Assessment Methodology Outline—Shelly Schuman/Tanya Sherman (INS Regulatory Insurance Services Inc.) Attachment 6

7. Discuss a Proposal Revised March 29 for Process Review Methodology—Don Koch (NorthStarExams LLC) Attachment 7
   • Don Koch April 28 Comments

8. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)
9. Adjournment