AGENDA

1. Review and Consider Adoption of Regulatory Guidance for Closing Continuum Actions, Aug. 31 Draft, for Inclusion in the Reference Documents of the Market Regulation Handbook — Director Bruce R. Ramge (NE)

2. Review and Consider Adoption of Revisions to Chapter 2 — Continuum of Regulatory Responses, July 13 Draft, for Inclusion in the Market Regulation Handbook — Director Bruce R. Ramge (NE)

3. Review and Discuss Revisions to Chapter 19 — Conducting the Life and Annuity Examination Regarding Actuarial Guideline XLIX — The Application of the Life Illustrations Model Regulation to Policies with Index-Based Interest (AG 49), July 18 Draft, for Inclusion in the Market Regulation Handbook — Rhonda Ahrens (NE)
   • Center for Economic Justice (CEJ) — Aug. 30 Comments

4. Discuss an April 26 Proposed Compliance Risk Assessment Methodology Outline — Shelly Schuman (INS Regulatory Insurance Services—INS) and Tanya Sherman (INS)
   • CEJ — Aug. 30 Comments (See Attachment 3)
   • CEJ — July 19 Comments

5. Discuss a Proposal Revised March 29 for Process Review Methodology — Director Bruce R. Ramge (NE)
   • CEJ — Aug. 30 Comments (See Attachment 3)
   • NorthStarExams LLC — July 20 Comments
   • CEJ — July 19 Comments (See Attachment 4)

6. Discuss Any Other Matters Brought Before the Working Group — Director Bruce R. Ramge (NE)

7. Adjournment