Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP
Tuesday, October 31, 2017
2:30 p.m. ET / 1:30 p.m. CT / 12:30 p.m. MT / 11:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair
Nebraska

Win Pugsley
New Hampshire

Jim Mealer, Vice Chair
Missouri

Cliff Day
New Jersey

Damion Hughes
Colorado

Robert McLaughlin
New York

Kurt Swan
Connecticut

Angela Dingus
Ohio

Lee Backus/Sharon Shipp
District of Columbia

Joel Sander
Oklahoma

Mark Ossi/Debra Peirce
Georgia

Constance Arnold
Pennsylvania

Doug Ommen
Iowa

Christina Rouleau
Vermont

Russell Hamblen
Kentucky

Julie Fairbanks
Virginia

Rich Bradley
Massachusetts

Jeanette Plitt
Washington

Paul Hanson
Minnesota

Mark Hooker
West Virginia

Peggy Willard-Ross
Nevada

Rebecca Rebholz
Wisconsin

AGENDA

1. Consider Adoption of its Sept. 12 Minutes—Director Bruce R. Ramge (NE)  Attachment 1
2. Review and Consider for Adoption Revisions to Chapter 19—Conducting the Life and Annuity Examination Regarding Actuarial Guideline XLIX—The Application of the Life Illustrations Model Regulation to Policies with Index-Based Interest (AG 49), Oct. 27 Draft, for Inclusion in the Market Regulation Handbook—Rhonda Ahrens (NE)  Attachment 2
   • American Council of Life Insurers (ACLI) – Sept. 12 Comments
   • Annuity Claims
   • Annuity In Force
   • Annuity New Business Declinations
   • Annuity Payment, Withdrawal and Surrender
   • Annuity Plan Code
   • Annuity Replacement
4. Discuss an April 26 Proposed Compliance Risk Assessment Methodology Outline—Shelly Schuman (INS Regulatory Insurance Services—INS) and Tanya Sherman (INS)  Attachment 4
5. Discuss a Proposal Revised March 29 for Process Review Methodology—Director Bruce R. Ramge (NE)  Attachment 5
6. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)
7. Adjournment

© 2017 National Association of Insurance Commissioners