

Date: 10/11/17

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Tuesday, October 31, 2017

2:30 p.m. ET / 1:30 p.m. CT / 12:30 p.m. MT / 11:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair	Nebraska	Win Pugsley	New Hampshire
Jim Mealer, Vice Chair	Missouri	Cliff Day	New Jersey
Damion Hughes	Colorado	Robert McLaughlin	New York
Kurt Swan	Connecticut	Angela Dingus	Ohio
Lee Backus/Sharon Shipp	District of Columbia	Joel Sander	Oklahoma
Mark Ossi/Debra Peirce	Georgia	Constance Arnold	Pennsylvania
Doug Ommen	Iowa	Christina Rouleau	Vermont
Russell Hamblen	Kentucky	Julie Fairbanks	Virginia
Rich Bradley	Massachusetts	Jeanette Plitt	Washington
Paul Hanson	Minnesota	Mark Hooker	West Virginia
Peggy Willard-Ross	Nevada	Rebecca Rebholz	Wisconsin

AGENDA

1. Consider Adoption of its Sept. 12 Minutes—*Director Bruce R. Ramge (NE)* Attachment 1
2. Review and Consider for Adoption Revisions to Chapter 19—*Conducting the Life and Annuity Examination Regarding Actuarial Guideline XLIX—The Application of the Life Illustrations Model Regulation to Policies with Index-Based Interest (AG 49), Oct. 27 Draft, for Inclusion in the Market Regulation Handbook—Rhonda Ahrens (NE)* Attachment 2
 - American Council of Life Insurers (ACLI) – Sept. 12 Comments
3. Review and Discuss Standardized Data Requests, Oct. 24 Draft, for Inclusion in the Reference Documents of the *Market Regulation Handbook—Director Bruce R. Ramge (NE)* Attachment 3
 - Annuity Claims
 - Annuity In Force
 - Annuity New Business Declinations
 - Annuity Payment, Withdrawal and Surrender
 - Annuity Plan Code
 - Annuity Replacement
4. Discuss an April 26 Proposed Compliance Risk Assessment Methodology Outline Attachment 4
—*Shelly Schuman (INS Regulatory Insurance Services—INS) and Tanya Sherman (INS)*
5. Discuss a Proposal Revised March 29 for Process Review Methodology Attachment 5
—*Director Bruce R. Ramge (NE)*
6. Discuss Any Other Matters Brought Before the Working Group—*Director Bruce R. Ramge (NE)*
7. Adjournment

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