Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Wednesday, December 6, 2017
1:30 p.m. ET / 12:30 p.m. CT / 11:30 a.m. MT / 10:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair Nebrska Win Pugsley New Hampshire
Jim Mealer, Vice Chair Missouri Cliff Day New Jersey
Damion Hughes Colorado Sylvia Lawson New York
Kurt Swan Connecticut Angela Dingus Ohio
Lee Backus/Sharon Shipp District of Columbia Joel Sander Oklahoma
Mark Ossi/Debra Peirce Georgia Constance Arnold Pennsylvania
Doug Ommen Iowa Christina Rouleau Vermont
Russell Hamblen Kentucky Julie Fairbanks Virginia
Rich Bradley Massachusetts Jeanette Plitt Washington
Paul Hanson Minnesota Mark Hooker West Virginia
Peggy Willard-Ross Nevada Rebecca Rebholz Wisconsin

NAIC Support Staff: Petra Wallace/Lois E. Alexander

AGENDA

1. Review and Discuss Standardized Data Requests, Oct. 24 Draft, for Inclusion in the Reference Documents of the Market Regulation Handbook—Director Bruce R. Ramge (NE)
   - Annuity Claims
   - Annuity In Force
   - Annuity New Business Declinations
   - Annuity Payment, Withdrawal and Surrender
   - Annuity Plan Code
   - Annuity Replacement
     - American Council of Life Insurers (ACLI) – Nov. 27 Comments
     - ACLI Claims SDR Nov. 27 Revisions
     - ACLI In Force SDR Nov. 27 Revisions
     - ACLI New Business Declinations SDR Nov. 27 Revisions
     - ACLI Payment Withdrawal and Surrender SDR Nov. 27 Revisions
     - ACLI Plan Code SDR Nov. 27 Revisions
     - ACLI Replacement SDR Nov. 27 Revisions

2. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

3. Adjournment

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