MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP
Thursday, April 5, 2018
2:00 p.m. ET / 1:00 p.m. CT / 12:00 p.m. MT / 11:00 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair  Nebraska  Peggy Willard-Ross  Nevada
Jim Mealer, Vice Chair  Missouri  Win Pugsley  New Hampshire
Melissa Grisham/Ryan James/  Arkansas  Cliff Day  New Jersey
Russ Galbraith  
Damion Hughes  Colorado  Angela Dingus  Ohio
Kurt Swan  Connecticut  Joel Sander  Oklahoma
Lee Backus/Sharon Shipp  District of Columbia  Constance Arnold  Pennsylvania
Mark Ossi/Debra Peirce  Georgia  Christina Rouleau  Vermont
Doug Ommen  Iowa  Julie Fairbanks  Virginia
Russell Hamblen  Kentucky  Jeanette Plitt  Washington
Richard Bradley  Massachusetts  Mark Hooker  West Virginia
Paul Hanson  Minnesota  Rebecca Rebholz  Wisconsin

AGENDA

1. Review and Discuss Standardized Data Requests, March 27 Draft, for Inclusion in the Reference Documents of the Market Regulation Handbook—Director Bruce R. Ramge (NE)  Attachment 1
   - Annuity Claims
   - Annuity In Force
   - Annuity New Business Declinations
   - Annuity Payment, Withdrawal and Surrender
   - Annuity Plan Code
   - Annuity Replacement

2. Review Procedures, March 27 Draft, for Updating the Market Regulation Handbook—Director Bruce R. Ramge (NE)  Attachment 2

3. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

4. Adjournment

G:\MKTREG\DATA\D Working Groups\D WG 2018 MCES (PCW)\2018 Agendas\04-05.docx