Date: 10/6/16

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP

Wednesday, November 2, 2016
3:30 p.m. ET/2:30 p.m. CT/1:30 p.m. MT/12:30 p.m. PT

ROLL CALL

Bruce R. Ramge, Chair  Nebraska  Cliff Day  New Jersey
Jim Mealer, Vice Chair  Missouri  Robert McLaughlin  New York
Damion Hughes  Colorado  Tracy Biehn  North Carolina
Kurt Swan  Connecticut  Angela Dingus  Ohio
Lee Backus/Sharon Shipp  District of Columbia  Joel Sander  Oklahoma
Mark Ossi/Debra Peirce  Georgia  Constance Arnold  Pennsylvania
Doug Ommen  Iowa  Christina Rouleau  Vermont
Russ Hamblen  Kentucky  Julie Fairbanks  Virginia
John Turchi  Massachusetts  Jeanette Plitt  Washington
Paul Hanson  Minnesota  Mark Hooker  West Virginia
Peggy Willard-Ross  Nevada  Cari Lee/Marcia Zimmer  Wisconsin
Win Pugsley  New Hampshire

AGENDA

1. Consider Adoption of its Sept. 14 Minutes—Director Bruce R. Ramge (NE))  Attachment 1

2. Consider Adoption of the Report of the Standardized Data Request (D) Subgroup
—Russ Hamblen (KY)  Attachment 2

3. Review and Discuss Draft Health Reform Market Conduct Examination Standards –
—Director Bruce R. Ramge (NE)
   • Oct. 28 Draft Redline
   • Oct. 28 Draft Redline Changes Accepted  Attachment 3

4. Review and Discuss Draft New Standardized Data Requests (SDRs) for Inclusion in the Market
Regulation Handbook  Reference Documents—Director Bruce R. Ramge (NE)  Attachment 4
   • Producer, Sept. 1 Draft
   • Marketing and Sales, Sept. 1 Draft
   • Commission, Sept. 1 Draft
   • WV Sept. 14 Comments
   • Complaint, Sept. 1 Draft

5. Discuss a Proposed Market Regulation Handbook Chapter on Process Review
Methodology—Don Koch (NorthStarExams LLC)  Attachment 5

6. Review and Discuss Proposed Market Regulation Handbook Revisions Received from the Market
Information Systems Research and Development (D) Working Group—Mark Hooker (WV)  Attachment 6

7. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

8. Adjournment

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