**NAIC BLANKS (E) WORKING GROUP**

Blanks Agenda Item Submission Form

<table>
<thead>
<tr>
<th>BLANK(S) TO WHICH PROPOSAL APPLIES</th>
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<tbody>
<tr>
<td>[ X ] ANNUAL STATEMENT</td>
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<td>[ X ] QUARTERLY STATEMENT</td>
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<td>[ X ] Life, Accident &amp; Health/Fraternal</td>
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<td>[ X ] Property/Casualty</td>
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<td>[ X ] Health</td>
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<td>[ X ] INSTRUCTIONS</td>
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<td>[ ] SEPARATE ACCOUNTS</td>
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<td>[ ] PROTECTED CELL</td>
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<td>[ ] Health (Life Supplement)</td>
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<td>[ ] CROSSCHECKS</td>
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**IDENTIFICATION OF ITEM(S) TO CHANGE**

Add a code for foreign mutual funds to Schedule D, Part 2, Section 2 Column 3. Add instruction for foreign open-end investment funds to be included as mutual funds in the Investment Schedule General Instructions.

**REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE**

The purpose of this proposal is to modify the instructions for changes to SSAP No. 30R—Unaffiliated Common Stock being adopted by the Statutory Accounting Principles (E) Working Group.

**NAIC STAFF COMMENTS**

Comment on Effective Reporting Date: ____________________________

Other Comments: ____________________________

**This section must be completed on all forms.**

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Revised 7/18/2018
ANNUAL STATEMENT INSTRUCTIONS – LIFE/Fraternal, Health, Property and Title

SCHEDULE D – PART 2 – SECTION 2

COMMON STOCKS OWNED DECEMBER 31 OF CURRENT YEAR

Detail Eliminated to Conserve Space

Column 3 — Code

Enter “#” in this column for all foreign (non-SEC registered) open-end registered investment funds.
Enter “^” in this column for all assets that are bifurcated between the insulated separate account filing and the non-insulated separate account filing.

If common stocks are not under the exclusive control of the company as shown in the General Interrogatories, they are to be identified by placing one of the codes identified in the Investment Schedules General Instructions in this column.

If the security is foreign mutual fund and is not under the exclusive control of the company, the “#” should appear first, immediately followed by the appropriate code (identified in the Investment Schedules General Instructions).

Separate Account Filing Only:

If the asset is a bifurcated asset between the insulated separate account filing and the non-insulated separate account filing, the “^” should appear first and may be used simultaneously with the “#” with the “^” preceding the “#”, immediately followed by the appropriate code (identified in the Investment Schedules General Instructions).

Detail Eliminated to Conserve Space
General Classifications Common Stock Only:

Refer to SSAP No. 30—Unaffiliated Common Stock and SSAP No. 97—Investments in Subsidiary, Controlled and Affiliated Entities.

Industrial and Miscellaneous (Unaffiliated):

All unaffiliated common stocks that are not mutual funds or money market mutual funds. Include Public Utilities, Banks, Trusts and Insurance Companies.

Mutual Funds:

All investments in shares of funds regulated as mutual funds by the U.S. Securities and Exchange Commission. This definition does not include closed funds or hedge funds. Foreign (non-SEC registered) open-end investment funds governed and authorized in accordance with regulations established by the applicable foreign jurisdiction. Other foreign funds are excluded.

Parent, Subsidiaries and Affiliates:

Defined by SSAP No. 97—Investments in Subsidiary, Controlled and Affiliated Entities.
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