Date: Revised 3/10/2016

Conference Call

MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP
March 15, 2016
2:30 p.m. ET/1:30 p.m. CT/12:30 p.m. MT/11:30 a.m. PT

ROLL CALL

Bruce R. Ramge, Chair Nebraka
Jim Mealer, Vice Chair Missouri
Damion Hughes Colorado
Kurt Swan Connecticut
Lee Backus/Sharon Shipp District of Columbia
Mark Ossi/Debra Peirce Georgia
Doug Ommen Iowa
Lori Cunningham Kentucky
John Turchi Massachusetts
Paul Hanson Minnesota
Peggy Willard-Ross Nevada
Win Pugsley New Hampshire

Cliff Day New Jersey
Robert McLaughlin New York
Tracy Biehn North Carolina
Angela Dingus Ohio
Joel Sander Oklahoma
Constance Arnold Pennsylvania
Christina Rouleau Vermont
Julie Fairbanks Virginia
Jeanette Plitt Washington
Mark Hooker West Virginia
Cari Lee/Marcia Zimmer Wisconsin

AGENDA

1. Consider Adoption of its Feb. 10 Minutes—Director Bruce R. Ramge (NE) Attachment 1

   • New York March 7, 2016, Comments
   • New York March 8, 2016, Comments

3. Consider Adoption of the Standardized Data Request (D) Subgroup Report—Russ Hamblen (KY) Attachment 3

4. Discuss Any Other Matters Brought Before the Working Group—Director Bruce R. Ramge (NE)

5. Adjournment

G:\MKTREG\DATA\D Working Groups\D WG 2016 MCES (PCW)\2016 Agendas\03-15.docx