

Frequently Asked Questions

FILING DEADLINES

The filing deadlines for the components of the 2017 annual electronic filings that may be submitted to the NAIC are as follows.

- Mar. 1, 2018 Annual Statement Filing (Property, Life, Health, Fraternal, Title)
 - Bail Bond Supplement (Property)
 - Director and Officer Insurance Coverage Supplement (Property)
 - Financial Guaranty Insurance Exhibit (Property)
 - Medicare Part D Coverage Supplement (Property, Life, Health, Fraternal)
 - Medicare Supplement Insurance Experience Exhibit (Property, Life, Health, Fraternal)
 - Premiums Attributed to Protected Cells Exhibit (Property)
 - Reinsurance Summary Supplemental Filing for General Interrogatory 9 (Property)
 - Risk-Based Capital Report (Property, Life, Health, Fraternal)
 - Separate Accounts Statement Filing (Life, Fraternal)
 - Supplement A to Schedule T (Medical Professional Liability Supplement) (Property)
 - Supplemental Life data due March 1 (Health) (**Note:** All Supplemental Life data is due March 1, with the exception of the following items, which are due April 1: Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit; Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit; Analysis of Annuity Operations by Lines of Business; Analysis of Increase in Annuity Reserves During the Year.)
 - Supplemental Property/Casualty data due March 1 (Health) (**Note:** All Supplemental Property/Casualty data is due March 1, with the exception of the following item, which is due April 1: Insurance Expense Exhibit.)
 - Supplemental Schedule O (Life)
 - Supplemental Schedule for Reinsurance Counterparty Reporting



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- Exception – Asbestos and Pollution Contracts (Property)
- Trusted Surplus Statement (Property, Life, Fraternal)
 - Workers' Compensation Carve-Out Supplement (Life)
 - Actuarial Certification Regarding the Use of 2001 Preferred Class Tables Required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities (Life, Fraternal) (PDF file only)
 - Actuarial Certification Related to the Reserves Required by Actuarial Guideline XLIII (Life, Fraternal) (PDF file only)
 - Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities (Life, Fraternal) (PDF file only)
 - Actuarial Certifications Related to Hedging Required by Actuarial Guideline XLIII (Life, Fraternal) (PDF file only)
 - Actuarial Opinion (Property, Life, Health, Fraternal, Title) (PDF file only)
 - Actuarial Opinion (Statement) on Non-Guaranteed Elements as Required in Interrogatory 3 to Exhibit 5 (Life, Health Life Supplement, Fraternal) (PDF file only)
 - Actuarial Opinion on Participating and Non-Participating Policies as Required in Interrogatories 1 and 2 to Exhibit 5 (Life, Health Life Supplement, Fraternal) (PDF file only)
 - Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit (Life, Fraternal) (PDF file only)
 - Actuarial Opinion on Synthetic Guaranteed Investment Contracts (Life, Fraternal) (PDF file only)
 - Actuarial Opinion on X-Factors (Life, Fraternal) (PDF file only)
 - Actuarial Opinion Required by the Modified Guaranteed Annuity Model Regulation (Life, Fraternal) (PDF file only)
 - C-3 RBC Certifications Required Under C-3 Phase I (Life, Fraternal) (PDF file only – to be filed with Risk-Based Capital Report)
 - C-3 RBC Certifications Required Under C-3 Phase II (Life, Fraternal) (PDF file only – to be filed with Risk-Based Capital Report)
 - Financial Officer Certification Related to Clearly Defined Hedging Strategy Required by Actuarial Guideline XLIII (Life, Fraternal) (PDF file only)
 - Management Certification That the Valuation Reflects Management's Intent Required by Actuarial Guideline XLIII (Life, Fraternal) (PDF file only)
 - Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXV (Life, Fraternal) (PDF file only)
 - Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Average Market Value) (Life, Fraternal) (PDF file only)
 - Reasonableness and Consistency of Assumptions Certification Required by

- Actuarial Guideline XXXVI (Updated Market Value) (Life, Fraternal) (PDF file only)
 - Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method Required by Actuarial Guideline XXXVI (Life, Fraternal) (PDF file only)
 - Reasonableness of Assumptions Certification Required by Actuarial Guideline XXXV (Life, Fraternal) (PDF file only)
 - Reinsurance Attestation Supplement (Property) (PDF file only)
 - Relief from the Five-year Rotation Requirement for Lead Audit Partner (Property, Life, Health, Fraternal, Title) (PDF file only)
 - Relief from the One-year Cooling Off Period for Independent CPA (Property, Life, Health, Fraternal, Title) (PDF file only)
 - Relief from the Requirements for Audit Committees (Property, Life, Health, Fraternal, Title) (PDF file only)
- Apr. 1, 2018
- Accident and Health Policy Experience Exhibit (Property, Life, Health, Fraternal)
 - Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (Life)
 - Analysis of Annuity Operations by Lines of Business (Life, Fraternal)
 - Analysis of Increase in Annuity Reserves During the Year (Life, Fraternal)
 - Credit Insurance Experience Exhibit (Property, Life)
 - Cybersecurity and Identity Theft Insurance Coverage Supplement (Property)
 - Insurance Expense Exhibit (Property)
 - Interest Sensitive Life Insurance Products Report (Life, Fraternal)
 - Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (Life)
 - Long-Term Care Experience Reporting Forms (Property, Life, Health, Fraternal)
 - Supplemental Health Care Exhibit – Parts 1, 2, and 3 (Property, Life, Health, Fraternal)
 - Supplemental Health Care Exhibit’s Expense Allocation Report (Property, Life, Health, Fraternal)
 - Supplemental Investment Risks Interrogatories (Property, Life, Health, Fraternal, Title)
 - Supplemental Life data due April 1 (Health) (Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit; Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit; Analysis of Annuity Operations by Lines of Business; Analysis of Increase in Annuity Reserves During the Year)
 - Supplemental Property/Casualty data due April 1 (Health) (Insurance Expense Exhibit)



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- Supplemental XXX/AXXX Reinsurance Exhibit (Life, Fraternal)
- Management's Discussion and Analysis (Property, Life, Health, Fraternal, Title) (PDF file only)
- May 1, 2018
 - Combined Annual Statement Filing (Property)
 - Combined Insurance Expense Exhibit (Property)