



2021 VISION Filing Fees by Detail Product Code  
Effective as of January 1, 2021

PRODUCT LINE	PS CODE	DESCRIPTION	2021 Fee
Appeals	36100	SVO Appeals Initial	\$ 2,575
Appeals	36101	SVO Appeals Annual	\$ 2,575
Appeals	36102	SVO Appeals Rejection	\$ 325
Appeals	36103	SVO Appeals Expired Info Request	\$ 325
Appeals	36104	SVO Appeals Additional Issue	\$ 325
Regulator Treatment Analysis Service	25000	Advance Rating Serv - Corp (Non Insurer)	\$ 25,000
Regulator Treatment Analysis Service	25001	Advance Rating Serv - Corp (Insurer)	\$ 15,750
Regulator Treatment Analysis Service	25003	Advance Rating Serv - ETF (Non Insurer)	\$ 25,000
Regulator Treatment Analysis Service	25004	Advance Rating Serv - ETF (Insurer)	\$ 15,750
Regulatory Transaction	RTS001	Regulatory Transactions - Annual Update	\$ 5,000
Regulatory Transaction	RTS002	Regulatory Transactions - Initial	\$ 10,000
Corporate	20000	Corp Initial Rated	\$ 325
Corporate	20002	Corp Annual Rated	\$ 325
Corporate	20021	Corp Initial Issuer Not in VOS	\$ 5,000
Corporate	20021F	Corp Initial Issuer Not in VOS Foreign	\$ 5,500
Corporate	20023	Corp Annual Not Rated	\$ 1,725
Corporate	20023F	Corp Annual Not Rated Foreign	\$ 1,850
Corporate	20026	Corp Initial Not Rated	\$ 1,725
Corporate	20026F	Corp Initial Not Rated Foreign	\$ 1,850
Corporate	20030	Corporate Initial Expired Info Request	\$ 325
Corporate	20040	Corporate Annual Expired Info Request	\$ 325
Corporate	20041	Corp Rejected Filing	\$ 325
Corporate	20042	Corp Non-Billable Filing	\$ -
Corporate	20043	Corp Annual Issuer CRP Rated	\$ 575
Corporate	20045	Corp Annual Issuer Rated Add Issue	\$ 275
Corporate	20046	Corp Initial Issuer CRP Rated	\$ 1,725
Corporate	20047	Corp Initial Issuer Rated Add Issue	\$ 1,725
Corporate	20060	Corp Initial DIPS	\$ 5,000
Corporate	20061	Corp Annual DIPS	\$ 1,725
Corporate	20062	Corp Material Change	\$ 500
Corporate	20064	Corp Renumbering Request	\$ -
Corporate	20065	Corp Initial Complex Credit Not in VOS	\$ 7,500
Corporate	20066	Corp Initial Complex Credit in VOS	\$ 7,500
Corporate	23600	SCH BA Initial Rated	\$ 325
Corporate	23601	SCH BA Initial Not Rated	\$ 1,725
Corporate	23602	SCH BA Initial NotinVOS	\$ 5,000
Corporate	23603	SCH BA Annual Rated	\$ 325
Corporate	23604	SCH BA Annual VOS Not Rated	\$ 1,725
Corporate	23615	SCH BA Material Change	\$ 500
Corporate	23617	SCH BA Renumbering Request	\$ -
Corporate	23620	SCH BA Expired Info Request	\$ 325
Corporate	23622	SCH BA Annual Issuer CRP Rated	\$ 575
Corporate	23623	SCH BA Annual Issuer Rated Add Issue	\$ 275
Corporate	23624	SCH BA Initial Issuer CRP Rated	\$ 1,725



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Corporate	23625	SCH BA Initial Issuer Rated Add Issue	\$ 1,725
Corporate	23626	SCH BA Rejected Filngs	\$ 325
Corporate	23627	SCH BA Non-Billable Filing	\$ -
Counterpart Derivative	17001	Counterparty Derivative Initials	\$ 2,575
Counterpart Derivative	17002	Counterparty Derivative Annuals	\$ 500
Counterpart Derivative	17003	Counterparty Derivative Rejection	\$ 325
Counterpart Derivative	17004	Counterparty Derivative Expired Info Request	\$ 325
Counterpart Derivative	17005	Counterparty Derivative- Non Billable	\$ -
Exchange License Fee	35701	Exchange Traded Funds (Sponsors License)	\$ 1,350
Exchange Traded Funds	35700	Exchange Traded Funds (Annuals Non Insurers)	\$ 1,350
Municipal	21000	Muni Initial Rated	\$ 325
Municipal	21002	Muni Annual Rated	\$ 325
Municipal	21021	Muni Initial Issuer Not in VOS	\$ 5,000
Municipal	21021F	Muni Initial Issuer Not in VOS Foreign	\$ 5,500
Municipal	21023	Muni A/R VOS Not Rated	\$ 1,725
Municipal	21023F	Muni A/R VOS Not Rated Foreign	\$ 1,850
Municipal	21024	Muni Initial Not Rated	\$ 1,725
Municipal	21024F	Muni Initial Not Rated Foreign	\$ 1,850
Municipal	21030	Muni Initial Expired Info Request	\$ 325
Municipal	21040	Muni Annual Expired Info Request	\$ 325
Municipal	21043	Muni A/R Issuer CRP Rated	\$ 575
Municipal	21044	Muni Initial Issuer CRP Rated	\$ 1,725
Municipal	21045	Muni Initial Issuer Rated Add Issue	\$ 1,725
Municipal	21055	Muni Annual Lottery Add Issue	\$ 275
Municipal	21056	Muni Initial Lottery	\$ 1,350
Municipal	21057	Muni Annual Lottery	\$ 750
Municipal	21058	Muni Initial Pre-Refunded	\$ 1,350
Municipal	21059	Muni Annual Pre-Refunded	\$ 1,350
Municipal	21060	Muni A/R Issuer Rated Add Issue	\$ 275
Municipal	21061	Muni Material Change	\$ 500
Municipal	21063	Muni Renumbering Request	\$ -
Municipal	21064	Muni Rejected Filing	\$ 325
Municipal	21065	Muni Non-Billable Filing	\$ -
Municipal	21066	Muni Additionl Issue Pre-Refunded	\$ 275
Money Market Fund	16001	Mutual Funds Initials	\$ 825
Money Market Fund	16002	Mutual Funds Annuals	\$ 575
Money Market Fund	16003	Mutual Funds Rejection	\$ 325
Money Market Fund	16004	Mutual Funds Expired Info Request	\$ 325
Private Letter Rating	26001	Private Letter Initial	\$ 325
Private Letter Rating	26002	Private Letter Subsequent	\$ 325
Private Letter Rating	26003	Private Letter Expired Info Request	\$ 325
Private Letter Rating	26004	Private Letter Rejected Filing	\$ 275
Private Letter Rating	26005	Private Letter Non-Billable Filing	\$ -
Qualified US Financial Institution	15005	QUSFI Initial	\$ 1,500



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PRODUCT LINE	PS CODE	DESCRIPTION	2021 Fee
Qualified US Financial Institution	15006	QUSFI Annual	\$ 775
Sovereign	20500	Foreign/Supra Initial Rated	\$ 325
Sovereign	20501	Foreign/Supra Initial Issuer Not in VOS	\$ 5,000
Sovereign	0501F	Sovereign-Foreign Initial Isser Not in VOS	\$ 5,000
Sovereign	20502	Foreign/Supra Annual Rated	\$ 325
Sovereign	20503	Foreign/Supra Annual VOS Not Rated	\$ 1,725
Sovereign	20503F	Sovereign-Foreign Annual Not Rated	\$ 1,725
Sovereign	20504	Foreign/Supra Annual CRP Rated	\$ 575
Sovereign	20506	Foreign/Supra Initial Not Rated	\$ 1,725
Sovereign	20506F	Sovereign-Foreign Initial Not Rated	\$ 1,725
Sovereign	20526	Foreign/Supra Initial Issuer CRP Rated	\$ 1,725
Sovereign	20530	Foreign/Supra Initial Expired Info Request	\$ 325
Sovereign	20531	Foreign/Supra Annual Expired Info Request	\$ 325
Sovereign	20532	Foreign/Supra Initial Issuer Add Issue	\$ 1,725
Sovereign	20533	Foreign/Supra Annual Issuer Add Issue	\$ 275
Sovereign	20540	Foreign/Supra Material Change	\$ 500
Sovereign	20542	Foreign/Supra Renumbering Request	\$ -
Sovereign	20543	Foreign/Supra Rejected Filing	\$ 325
Sovereign	20544	Foreign/Supra Non-Billable Filing	\$ -
Structured Security	20007	Struct CTL Annual Rated	\$ 375
Structured Security	20008	Struct CTL Annual Not Rated	\$ 1,725
Structured Security	22000	Struct Initial Rated	\$ 325
Structured Security	22003	Military Housing Bonds Initial	\$ 5,000
Structured Security	22010	Struct Annual Rated	\$ 325
Structured Security	22013	Struct Replication Annual	\$ 325
Structured Security	22020	Struct CTL Additional Notes	\$ 325
Structured Security	22029	Military Housing Bonds Annual	\$ 1,725
Structured Security	22040	Struct Initial Filing Not Rated Not in VOS	\$ 1,725
Structured Security	22043	Struct Settlement Expired Info Request	\$ 325
Structured Security	22051	Struct Settlement Non-Billable	\$ -
Structured Security	22101	Struct CTL Bond Initial	\$ 1,725
Structured Security	22102	Struct CTL Lease Initial	\$ 2,575
Structured Security	22111	Struct Annual Not Rated	\$ 1,725
Structured Security	22112	Struct Replication Initial	\$ 1,725
Structured Security	22122	Struct Eqty-Lnkd Initial NtRat	\$ 1,725
Structured Security	22124	Struct Eqty-Lnkd Annual NotRtd	\$ 1,725
Structured Security	22160	Struct Replication Collateral	\$ 375
Structured Security	22161	Struct Settlement Initial	\$ 1,725
Structured Security	22162	Struct Settlement Annual	\$ 575
Structured Security	22163	SSG Initial Mortgage Reference	\$ -
Structured Security	22164	SSG Annual Mortgage Reference	\$ -
Structured Security	22165	Struct ETF Initial	\$ 2,575
Structured Security	22166	Struct ETF Annual	\$ 1,725
Structured Security	22167	Struct Rejected Filing	\$ 325



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Structured Security	22168	SSG Rejected Filing	\$ 325
Structured Security	22169	Struct Material Change	\$ 500
Structured Security	22171	Struct Renumbering Request	\$ -
Structured Security	22172	Struct Settlement Annual Additional Issue	\$ 275
Working Capital Fin Inv	25006	Working Capital Fin Inv	\$ 10,000
Working Capital Fin Inv	25007	Working Capital Fin Inv - Prev	\$ 5,000
Working Capital Fin Inv	25008	Working Capital Fin Inv - Annual	\$ 1,725