



**National Association of Insurance Commissioners**  
 2020 VISION Filing Fees by Detail Product Code  
 Effective as of January 1, 2020

Department	Code	Name	2020 Fee
Appeals	36104	SVO Appeals Additional Issue	\$ 300
Appeals	36101	SVO Appeals Annual	\$ 2,575
Appeals	36103	SVO Appeals Expired Info Request	\$ 300
Appeals	36100	SVO Appeals Initial	\$ 2,575
Appeals	36102	SVO Appeals Rejection	\$ 300
Corporate	20043	Corp A/U Issuer CRP Rated	\$ 575
Corporate	20045	Corp A/U Issuer Rated Add Issue	\$ 225
Corporate	20023	Corp A/U Not Rated	\$ 1,725
Corporate	20023F	Corp A/U Not Rated Foreign	\$ 1,850
Corporate	20002	Corp A/U Rated	\$ 325
Corporate	20061	Corp Annual DIPS	\$ 1,725
Corporate	20040	Corp Annual Expired Info Request	\$ 300
Corporate	20066	Corp Initial Complex Credit in VOS	\$ 7,500
Corporate	20065	Corp Initial Complex Credit Not in VOS	\$ 7,500
Corporate	20060	Corp Initial DIPS	\$ 5,000
Corporate	20030	Corp Initial Expired Info Request	\$ 300
Corporate	20046	Corp Initial Issuer CRP Rated	\$ 1,725
Corporate	20021	Corp Initial Issuer Not in VOS	\$ 5,000
Corporate	20021F	Corp Initial Issuer Not in VOS Foreign	\$ 5,500
Corporate	20047	Corp Initial Issuer Rated Add Issue	\$ 1,725
Corporate	20026	Corp Initial Not Rated	\$ 1,725
Corporate	20026F	Corp Initial Not Rated Foreign	\$ 1,850
Corporate	20000	Corp Initial Rated	\$ 300
Corporate	20062	Corp Material Change	\$ 300
Corporate	20042	Corp Non-Billable Filing	\$ -
Corporate	20041	Corp Rejected Filing	\$ 300
Corporate	20064	Corp Renumbering Request	\$ -
Corporate	23622	SCH BA A/U Issuer CRP Rated	\$ 575
Corporate	23623	SCH BA A/U Issuer Rated Add Issue	\$ 225
Corporate	23603	SCH BA Annual Rated	\$ 300
Corporate	23604	SCH BA Annual VOS Not Rated	\$ 1,725
Corporate	23620	SCH BA Initial Expired Info Request	\$ 300
Corporate	23624	SCH BA Initial Issuer CRP Rated	\$ 1,725
Corporate	23625	SCH BA Initial Issuer Rated Add Issue	\$ 1,725
Corporate	23601	SCH BA Initial Not Rated	\$ 1,725



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Corporate	23602	SCH BA Initial NotinVOS	\$ 5,000
Corporate	23600	SCH BA Initial Rated	\$ 300
Corporate	23615	SCH BA Material Change	\$ 300
Corporate	23627	SCH BA Non-Billable Filing	\$ -
Corporate	23626	SCH BA Rejected Filngs	\$ 300
Corporate	23617	SCH BA Renumbering Request	\$ -
Counterparty Derivative	17002	Counterparty Derivative Annuals	\$ 500
Counterparty Derivative	17004	Counterparty Derivative Expired Info Request	\$ 300
Counterparty Derivative	17001	Counterparty Derivative Initials	\$ 2,575
Counterparty Derivative	17005	Counterparty Derivative- Non Billable	\$ -
Counterparty Derivative	17003	Counterparty Derivative Rejection	\$ 300
ETF	35700	Exchange Traded Funds (Annuals Non Insurers)	\$ 1,350
ETF	35701	Exchange Traded Funds (Sponsors License)	\$ 1,350
Municipal	21043	Muni A/R Issuer CRP Rated	\$ 575
Municipal	21060	Muni A/R Issuer Rated Add Issue	\$ 225
Municipal	21023	Muni A/R VOS Not Rated	\$ 1,725
Municipal	21023F	Muni A/R VOS Not Rated Foreign	\$ 1,850
Municipal	21002	Muni A/U Rated	\$ 325
Municipal	21066	Muni Additionl Issue Pre-Refunded	\$ 275
Municipal	21040	Muni Annual Expired Info Request	\$ 300
Municipal	21057	Muni Annual Lottery	\$ 750
Municipal	21055	Muni Annual Lottery Add Issue	\$ 225
Municipal	21059	Muni Annual Pre-Refunded	\$ 1,350
Municipal	21030	Muni Initial Expired Info Request	\$ 300
Municipal	21044	Muni Initial Issuer CRP Rated	\$ 1,725
Municipal	21021	Muni Initial Issuer Not in VOS	\$ 5,000
Municipal	21021F	Muni Initial Issuer Not in VOS Foreign	\$ 5,500
Municipal	21045	Muni Initial Issuer Rated Add Issue	\$ 1,725
Municipal	21056	Muni Initial Lottery	\$ 1,350
Municipal	21024	Muni Initial Not Rated	\$ 1,725
Municipal	21024F	Muni Initial Not Rated Foreign	\$ 1,850
Municipal	21058	Muni Initial Pre-Refunded	\$ 1,350
Municipal	21000	Muni Initial Rated	\$ 300
Municipal	21061	Muni Material Change	\$ 300
Municipal	21065	Muni Non-Billable Filing	\$ -
Municipal	21064	Muni Rejected Filing	\$ 300



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Municipal	21063	Muni Renumbering Request	\$ -
Mutual Fund	16002	Mutual Funds Annuals	\$ 500
Mutual Fund	16004	Mutual Funds Expired Info Request	\$ 300
Mutual Fund	16001	Mutual Funds Initials	\$ 775
Mutual Fund	16003	Mutual Funds Rejection	\$ 300
Private Letter	26003	Private Letter Expired Info Request	\$ 300
Private Letter	26001	Private Letter Initial	\$ 225
Private Letter	26005	Private Letter Non-Billable Filing	\$ -
Private Letter	26004	Private Letter Rejected Filing	\$ 225
Private Letter	26002	Private Letter Subsequent	\$ 225
QUSFI	15006	QUSFI Annual	\$ 750
QUSFI	15005	QUSFI Initial	\$ 1,500
RTAS	25001	Advance Rating Serv - Corp (Insurer)	\$ 15,750
RTAS	25000	Advance Rating Serv - Corp (Non Insurer)	\$ 25,000
RTAS	25004	Advance Rating Serv - ETF (Insurer)	\$ 15,750
RTAS	25003	Advance Rating Serv - ETF (Non Insurer)	\$ 25,000
RTAS	25006	Working Capital Fin Inv	\$ 10,000
RTAS	25008	Working Capital Fin Inv - Annual	\$ 1,725
RTAS	25007	Working Capital Fin Inv - Prev	\$ 5,000
Sovereign	20531	Foreign/Supra Annual Expired Info Request	\$ 300
Sovereign	20533	Foreign/Supra Annual Issuer Add Issue	\$ 225
Sovereign	20504	Foreign/Supra AU CRP Rated	\$ 575
Sovereign	20502	Foreign/Supra AU Rated	\$ 300
Sovereign	20503	Foreign/Supra AU VOS Not Rated	\$ 1,725
Sovereign	20501	Foreign/Supra Init IssrNtinVOS	\$ 5,000
Sovereign	20530	Foreign/Supra Initial Expired Info Request	\$ 300
Sovereign	20532	Foreign/Supra Initial Issuer Add Issue	\$ 1,725
Sovereign	20526	Foreign/Supra Initial Issuer CRP Rated	\$ 1,725
Sovereign	20506	Foreign/Supra Initial Not Rated	\$ 1,725
Sovereign	20500	Foreign/Supra Initial Rated	\$ 300
Sovereign	20540	Foreign/Supra Material Change	\$ 300
Sovereign	20544	Foreign/Supra Non-Billable Filing	\$ -
Sovereign	20543	Foreign/Supra Rejected Filing	\$ 300
Sovereign	20542	Foreign/Supra Renumbering Request	\$ -
Sovereign	20503F	Sovereign-Foreign A/U Not Rated	\$ 1,725
Sovereign	20501F	Sovereign-Foreign Initial Isser Not in VOS	\$ 5,000



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Sovereign	20506F	Sovereign-Foreign Initial Not Rated	\$ 1,725
Structured Securities	22029	Military Housing Bonds Annual	\$ 1,725
Structured Securities	22003	Military Housing Bonds Initial	\$ 5,000
Structured Securities	22164	SSG Annual Mortgage Reference	\$ -
Structured Securities	22163	SSG Initial Mortgage Reference	\$ -
Structured Securities	22168	SSG Rejected Filing	\$ 300
Structured Securities	22162	Str Annual Struc Settlement	\$ 575
Structured Securities	22172	Str Annual Struc Settlement Add Issue	\$ 225
Structured Securities	22043	Str Initial Expired Info Request	\$ 300
Structured Securities	22161	Str Initial Struc Settlement	\$ 1,725
Structured Securities	22051	Str Non-Billable Filing	\$ -
Structured Securities	22111	Struc A/U Not Rated	\$ 1,725
Structured Securities	22010	Struc A/U Rated	\$ 325
Structured Securities	22166	Struc Annual ETFs	\$ 1,725
Structured Securities	20008	Struc CTL A/U Not Rated	\$ 1,725
Structured Securities	20007	Struc CTL A/U Rated	\$ 325
Structured Securities	22020	Struc CTL Additional Notes	\$ 300
Structured Securities	22101	Struc CTL Bond Initial	\$ 1,725
Structured Securities	22102	Struc CTL Lease Initial	\$ 2,575
Structured Securities	22124	Struc Eqty-Lnkd A/U NotRtd	\$ 1,725
Structured Securities	22122	Struc Eqty-Lnkd Initial NtRat	\$ 1,725
Structured Securities	22165	Struc Initial ETFs	\$ 2,575
Structured Securities	22040	Struc Initial Filing NtRat NtVOS	\$ 1,725
Structured Securities	22000	Struc Initial Rated	\$ 300
Structured Securities	22169	Struc Material Change	\$ 300
Structured Securities	22167	Struc Rejected Filing	\$ 300
Structured Securities	22171	Struc Renumbering Request	\$ -
Structured Securities	22013	Struc Replication A/U	\$ 325
Structured Securities	22160	Struc Replication Collateral	\$ 375
Structured Securities	22112	Struc Replication Initial	\$ 1,725
Structured Securities	22105	Struct GLF Annual Not Rated	\$ 3,450
Structured Securities	22106	Struct GLF Annual Rated	\$ 500
Structured Securities	22103	Struct GLF Inital Not Rated	\$ 7,500
Structured Securities	22104	Struct GLF Inital Rated	\$ 2,575
Structured Securities	22107	Struct GLF Modeled Initial	TBD
Structured Securities	22108	Struct GLF Modeled Annual	TBD