

DEPARTMENTAL REGULATION OF HMOs

The date following each state indicates the last time information for the state was reviewed/changed.

STATE	CITATION	PRIMARY REGULATOR	SECONDARY REGULATOR
AL (3/23)	§§ 27-21A-1 to 27-21A-32 AL ADC 482-1-079-.05	Department of Insurance (licensing, financial statements, investments, complaints, examinations, solvency, rates)	Department of Public Health (licensing, complaints, examinations)
AK (3/23)	§§ 21.86.010 to 21.86.900	Division of Insurance, Department of Commerce, Community, and Economic Development (licensing, rates, financial statements, complaints, examinations, solvency)	Department of Health and Social Services (licensing, complaints, examinations)
AZ (3/23)	§§ 20-1051 to 20-1079	Department of Insurance (licensing, examinations, financial statements, solvency, assets transfer)	Department of Health Services (examinations)
AR (3/23)	§§ 23-76-101 to 23-76-132	Insurance Department (licensing, solvency, rates, financial statements, complaints, investments, examinations)	
CA (3/23)	CAL. HEALTH & SAFETY CODE §§ 1340 to 1399.64; 28 CCR §§ 1300.43 to 1300.99.7	Department of Managed Health Care (solvency, rates, examinations, financial statements, fraud, licensing, disclosure forms, advertising)	Department of Insurance (consultative)
CO (3/23)	§§ 10-16-401 to 10-16-429	Division of Insurance, Department of Regulatory Agencies (licensing, financial statements, solvency, complaints, investments, examinations)	
CT (3/23)	§§ 38a-175 to 38a-194	Insurance Department (licensing, rates, solvency)	Department of Public Health (clinics)
DE (3/23)	18 Del.C. §§ 6401 to 6420	Department of Insurance (solvency, licensing, financial statements, rates, examinations, investments, contracts, advertising, disclosure)	
DC (3/23)	§§ 31-3401 to 31-3431	Department of Insurance, Securities and Banking (licensing, solvency, forms, rates, investments)	Department of Health (licensing)
FL (3/23)	§§ 641.17 to 641.3923	Office of Insurance Regulation, Department of Financial Services (licensing, financial statements, examinations, solvency, fraud, rates, forms, investments, advertising, contracts)	Agency for Health Care Administration (licensing, disclosures)

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GA (3/23)	§§ 33-21-1 to 33-21-29	Office of Insurance and Safety Fire Commissioner (licensing, solvency, complaints, investments, rates, forms, financial statements, examinations)	Department of Community Health (licensing, examinations, quality of care standards)
HI (3/23)	§§ 432D-1 to 432D-33	Department of Commerce and Consumer Affairs (licensing, solvency, financial statements, complaints, investments, examinations)	
ID (3/23)	§§ 41-3901 to 41-3940	Department of Insurance (licensing, financial statements, examinations, rates, forms, complaints, solvency)	
IL (3/23)	215 ILCS §§ 125/1-1 to 125/5-10	Department of Insurance (licensing, solvency, financial statements, contracts, investments, complaints, advertising, rates, forms, examinations)	Department of Public Health (licensing, examinations)
IN (3/23)	§§ 27-13-1-1 to 27-13-43-2	Department of Insurance (licensing, solvency, exercise of powers, quality, forms, financial statements, complaints, investments, rates, examinations)	
IA (3/23)	§§ 514B.1 to 514B.33	Insurance Division (licensing, solvency, incorporation, exercise of powers, rates, financial statements, complaints, investments, examinations)	Department of Public Health (licensing, quality) Secretary of State (article of incorporation)
KS (3/23)	§§ 40-3201 to 40-3236	Insurance Department (licensing, forms, examinations, financial statements, investments, solvency)	
KY (3/23)	§§ 304.38-010 to 304.38-240	Department of Insurance (licensing, forms, rates, financial statements, investments, examinations, solvency)	Certificate of Need and Licensure Board (financial statements)
LA (3/23)	§§ 22:241 to 22:272; 22:972	Department of Insurance (incorporation, licensing, investments, financial statements, solvency, examinations, complaints, forms, mergers, rates)	Secretary of State (incorporation) Department of Health and Hospitals (financial statements, examinations)
ME (3/23)	24-A M.R.S.A. §§ 4201 to 4260	Bureau of Insurance, Department of Professional and Financial Regulation (licensing, investments, solvency, financial statements, complaints, examinations, mergers, forms, rates)	Department of Health and Human Services (licensing, financial statements, complaints, examinations, quality)
MD (3/23)	MD. CODE, HEALTH – GEN. §§ 19-701 to 19-735	Department of Health and Mental Hygiene (quality of care standards, complaints, licensing, examinations)	Insurance Administration (complaints, licensing, solvency, rates, contracts, forms, financial statements)

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MA (3/23)	M.G.L.A. 176G §§ 1 to 32	Division of Insurance, Office of Consumer Affairs and Business Regulation (advertising, examinations, financial statements, licensing, rates, solvency, forms, mergers, contracts)	
MI (3/23)	§§ 500.3501 to 500.3573	Department of Insurance and Financial Services (licensing, quality of care standards, rates, contracts, any substantive changes, examinations, solvency)	
MN (3/23)	§§ 62D.01 to 62D.30	Department of Health (licensing, investments, financial statements, complaints, advertising, examinations, solvency, quality, contracts, modifications of operations or documents)	
MS (3/23)	§§ 83-41-301 to 83-41-365	Insurance Department (licensing, exercise of powers, quality assurance, solvency, investments, forms, financial statements, complaints, rates, examinations, mergers)	State Health Officer (licensing, quality assurance, financial statements, complaints, examinations)
MO (3/23)	§§ 354.400 to 354.551; 20 CSR §§ 400-7.030 to 400-7.180	Department of Commerce and Insurance (licensing, intention to modify, solvency, exercise of powers, financial statements, complaints, investments, examinations, advertising, mergers)	
MT (3/23)	§§ 33-31-101 to 33-31-405	Office of the Commissioner of Insurance and Securities (licensing, mergers/consolidations, financial statements, investments, solvency, exercise of powers, forms, complaints, examinations)	
NE (3/23)	§§ 44-3292 to 44-32,180	Department of Insurance (licensing, exercise of powers, solvency, forms, financial statements, investments, complaints, rates, examinations, modifications of operations, acquisition of control)	Department of Health and Human Services (licensing, quality, financial statements, complaints, examinations)
NV (3/23)	§§ 695C.010 to 695C.350	Division of Insurance, Department of Business and Industry (licensing, solvency, exercise of powers, modification of operations, investments, forms, disclosures, financial statements, complaints, examinations)	Board of Health (licensing)
NH (3/23)	§§ 420-B:1 to 420-B:25	Insurance Department (licensing, exercise of powers, forms, rates, advertising, financial statements, examinations, complaints, investments, solvency)	State Health Planning and Development Agency (licensing) Department of Health and Human Services (financial statements)

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NJ (3/23)	§§ 26:2J-1 to 26:2J-48	Department of Health (licensing, exercise of powers, financial statements, complaints, investments, solvency, examinations, forms/filing, advertising)	Department of Banking and Insurance (licensing, contracts, forms, rates, financial statements, investments, solvency, examinations)
NM (3/23)	§§ 59A-46-1 to 59A-46-57	Office of Superintendent of Insurance (licensing, exercise of powers, solvency, forms, quality, financial statements, complaints, investments, rates, examinations, credentialing of providers)	Department of Health (licensing, quality, examinations)
NY (3/23)	N.Y. PUB. HEALTH LAW §§ 4400 to 4416	Department of Health (licensing, contracts, complaints, solvency, examinations, compliance programs)	Department of Financial Services (forms, licensing, contracts, solvency, contracts, examinations, compliance programs)
NC (3/23)	§§ 58-67-1 to 58-67-185	Department of Insurance (licensing, investments, modification of operations, exercise of powers, forms, solvency, rates, financial statements, investments, examinations)	
ND (3/23)	§§ 26.1-18.1-01 to 26.1-18.1-25	Insurance Department (licensing, exercise of powers, changes affecting financial soundness, investments, solvency, quality of care, forms, financial statements, complaints, rates, examinations, acquisition or merger)	
OH (3/23)	§§ 1751.01 to 1751.92	Department of Insurance (licensing, modification of operations, rates, complaints, advertising, investments, forms, financial statements, examinations, solvency, quality of care)	
OK (3/23)	36 Okl.St. Ann §§ 6901 to 6951	Insurance Department (licensing, forms, exercise of powers, financial statements, investments, complaints, solvency, rates, examinations)	
OR (3/23)	§§ 750.003 to 750.095; 731.300	Insurance Division, Department of Consumer and Business Services (capitalization, solvency, general provisions of the Insurance Code, mergers)	Department of Consumer and Business Services (examinations)
PA (3/23)	40 P.S. §§ 1551 to 1567	Insurance Department (licensing, contracts, solvency, examinations, rates, forms, financial statements)	Department of Health (licensing, contracts, complaints, financial statements)

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PR (3/23)	No provision		
RI (3/23)	§§ 27-41-1 to 27-41-95	Division of Insurance, Department of Business Regulation (licensing, exercise of powers, solvency, rates, financial statements, complaints, investments, examinations, forms)	Department of Health (licensing, financial statements, complaints, examinations)
SC (3/23)	§§ 38-33-10 to 38-33-325	Department of Insurance (licensing, modification of operations, exercise of powers, rates, financial statements, complaints, investments, advertising, solvency, examinations, acquisition of control)	
SD (3/23)	§§ 58-41-1 to 58-41-127	Division of Insurance, Department of Labor and Regulation (licensing, modification of operations, forms, rates, financial statements, investments, advertising, examinations, solvency, complaints)	
TN (3/23)	§§ 56-32-101 to 56-32-138	Insurance Division, Department of Commerce and Insurance (licensing, solvency, forms, rates, exercise of powers, financial statements, complaints, investments, advertising, examinations, acquisition of control)	Department of Health (licensing, solvency, examinations)
TX (3/23)	I.C. §§ 843.001 to 843.464	Department of Insurance (licensing, modification of operations, solvency, complaints, forms, rates, quality of care, financial statements, examinations, change in control)	
UT (3/23)	§§ 31A-8-101 to 31A-8-408; 31A-27a-403	Insurance Department (licensing, examinations, solvency, quality of care)	Department of Health (licensing, quality of care)
VT (3/23)	8 V.S.A. §§ 5101 to 5115	Department of Financial Regulation (licensing, quality of care, complaints, solvency, forms, rates, examinations, financial statements, mergers, advertising)	Green Mountain Care Board (forms, rates)
VI (3/23)	No provision		
VA (3/23)	§§ 38.2-4300 to 38.2-4323; 38.2-5801	Bureau of Insurance, State Corporation Commission (licensing, solvency, exercise of powers, forms, rates, financial statements, investments, advertising, examinations)	Department of Health (licensing, examinations)

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WA (3/23)	§§ 48.46.010 to 48.46.930	Office of the Insurance Commissioner (licensing, forms, rates, financial statements, complaints, examinations, solvency, modification of operations)	Department of Social and Health Services (licensing, quality of care) Secretary of State (document filings)
WV (3/23)	§§ 33-25A-1 to 33-25A-36	Offices of the Insurance Commissioner (licensing, modification of operations, plan of operation, solvency, quality of care, forms, rates, financial statements, complaints, investments, advertising, examinations)	Department of Health and Human Resources (examinations)
WI (3/23)	§§ 609.001 to 609.98; 632.83	Office of the Commissioner of Insurance (licensing, quality of care, complaints, examinations, forms, solvency)	
WY (3/23)	§§ 26-34-101 to 26-34-134	Insurance Department (licensing, exercise of powers, solvency, forms, rates, financial statements, complaints, advertising, investments, examinations, merger or consolidation)	Department of Health (licensing, quality of care, financial statements, complaints, examinations)

This chart does not constitute a formal legal opinion by the NAIC staff on the provisions of state law and should not be relied upon as such. Every effort has been made to provide correct and accurate summaries to assist the reader in targeting useful information. For further details, the statutes and regulations cited should be consulted. The NAIC attempts to provide current information; however, readers should consult state law for additional adoptions.