2023 Summer National Meeting
Seattle, Washington

MARKET REGULATION AND CONSUMER AFFAIRS (D) COMMITTEE
Tuesday, Aug. 15, 2023
3:45 – 5:00 p.m.
Ballroom 1 - Level 5 - Seattle Convention Center

ROLL CALL

Jon Pike, Chair
Mike Causey, Co-Vice Chair
Michael Humphreys, Co-Vice Chair
Peni Itula Sapini Teo
Trinidad Navarro
Karima M. Woods
Dean L. Cameron
Utah
North Carolina
Pennsylvania
American Samoa
Delaware
District of Columbia
Idaho
Sharon P. Clark
Chlora Lindley-Myers
Jon Godfread
Michael Wise
Cassie Brown
Kevin Gaffney
Jeff Rude
Kentucky
Missouri
North Dakota
South Carolina
Texas
Vermont
Wyoming

NAIC Support Staff: Tim Mullen/Randy Helder

AGENDA

1. Consider Adoption of its July 27 Minutes
   — Commissioner Jon Pike (UT)  
   Attachment One

2. Consider Adoption of Revisions to the Market Regulation Handbook
   • Chapter 4—Section E. Conclusion of Collaborative Enforcement Action
   — Matthew Tarpley (TX)  
   Attachment Two

3. Consider Adoption of Voluntary Market Regulation Certification Program—Commissioner Mike Kreidler (WA)  
   Attachment Three

4. Hear a Presentation on the use of Visualization in Market Analysis
   — Jo LeDuc (MO)  
   Attachment Four

5. Consider Adoption of its Task Force and Working Group Reports
   — Commissioner Jon Pike (UT)  
   A. Antifraud (D) Task Force
      — Commissioner Trinidad Navarro (DE)  
      Attachment Five Pending
   B. Market Information Systems (D) Task Force
      — Director Dana Popish Severinghaus (IL)  
      Attachment Six
   C. Producer Licensing (D) Task Force
      — Director Larry D. Deiter (SD)  
      Attachment Seven Pending
D. Market Analysis Procedures (D) Working Group
   — Jo LeDuc (MO)

E. Market Conduct Annual Statement Blanks (D) Working Group
   — Erica Weyhenmeyer (IL)

F. Market Conduct Examination Guidelines (D) Working Group
   — Matthew Tarpley (TX)

G. Market Regulation Certification (D) Working Group
   — Commissioner Mike Kreidler (WA)

H. Speed to Market (D) Working Group
   — Rebecca Nichols (VA)

   Attachment Eight
   Attachment Nine
   Attachment Ten
   Attachment Eleven
   Attachment Twelve

6. Hear an Update on International Issues Regarding Market Regulation
   — Nikhail Nigam (NAIC)

7. Discuss Any Other Matters Brought Before the Committee
   — Commissioner Jon Pike (UT)

8. Adjournment