

Draft date: 8/15/23

*2023 Summer National Meeting
Seattle, Washington*

MARKET REGULATION AND CONSUMER AFFAIRS (D) COMMITTEE

Tuesday, Aug. 15, 2023

3:45 – 5:00 p.m.

Ballroom 1 - Level 5 - Seattle Convention Center

ROLL CALL

Jon Pike, Chair	Utah	Sharon P. Clark	Kentucky
Mike Causey, Co-Vice Chair	North Carolina	Chlora Lindley-Myers	Missouri
Michael Humphreys, Co-Vice Chair	Pennsylvania	Jon Godfread	North Dakota
Peni Itula Sapini Teo	American Samoa	Michael Wise	South Carolina
Trinidad Navarro	Delaware	Cassie Brown	Texas
Karima M. Woods	District of Columbia	Kevin Gaffney	Vermont
Dean L. Cameron	Idaho	Jeff Rude	Wyoming

NAIC Support Staff: Tim Mullen/Randy Helder

AGENDA

1. Consider Adoption of its July 27 Minutes Attachment One
—*Commissioner Jon Pike (UT)*
2. Consider Adoption of Revisions to the *Market Regulation Handbook* Attachment Two
• Chapter 4—Section E. Conclusion of Collaborative Enforcement Action
—*Matthew Tarpley (TX)*
3. Consider Adoption of Voluntary Market Regulation Certification Program—*Commissioner Mike Kreidler (WA)* Attachment Three
4. Hear a Presentation on the use of Visualization in Market Analysis Attachment Four
—*Jo LeDuc (MO)*
5. Consider Adoption of its Task Force and Working Group Reports Attachment Five
—*Commissioner Jon Pike (UT)*
 - A. Antifraud (D) Task Force Attachment Six
—*Commissioner Trinidad Navarro (DE)*
 - B. Market Information Systems (D) Task Force Attachment Seven
—*Director Dana Popish Severinghaus (IL)*
 - C. Producer Licensing (D) Task Force Attachment Seven
—*Director Larry D. Deiter (SD)*



- D. Market Analysis Procedures (D) Working Group Attachment Eight
—*Jo LeDuc (MO)*
 - E. Market Conduct Annual Statement Blanks (D) Working Group Attachment Nine
—*Erica Weyhenmeyer (IL)*
 - F. Market Conduct Examination Guidelines (D) Working Group Attachment Ten
—*Matthew Tarpley (TX)*
 - G. Market Regulation Certification (D) Working Group Attachment Eleven
—*Commissioner Mike Kreidler (WA)*
 - H. Speed to Market (D) Working Group Attachment Twelve
—*Rebecca Nichols (VA)*
6. Hear an Update on International Issues Regarding Market Regulation
—*Nikhail Nigam (NAIC)*
7. Discuss Any Other Matters Brought Before the Committee
—*Commissioner Jon Pike (UT)*
8. Adjournment