2023 Summer National Meeting
Seattle, Washington

MARKET REGULATION AND CONSUMER AFFAIRS (D) COMMITTEE
Tuesday, Aug. 15, 2023
3:45 – 5:00 p.m.
Ballroom 1 - Level 5 - Seattle Convention Center

ROLL CALL

Jon Pike, Chair
Utah
Sharon P. Clark
Kentucky

Mike Causey, Co-Vice Chair
North Carolina
Chlora Lindley-Myers
Missouri

Michael Humphreys, Co-Vice Chair
Pennsylvania
Jon Godfread
North Dakota

Peni Itula Sapini Teo
American Samoa
Michael Wise
South Carolina

Trinidad Navarro
Delaware
Cassie Brown
Texas

Karima M. Woods
District of Columbia
Kevin Gaffney
Vermont

Dean L. Cameron
Idaho
Jeff Rude
Wyoming

NAIC Support Staff: Tim Mullen/Randy Helder

AGENDA

1. Consider Adoption of its July 27 Minutes
   —Commissioner Jon Pike (UT)

2. Consider Adoption of Revisions to the Market Regulation Handbook
   - Chapter 4—Section E. Conclusion of Collaborative Enforcement Action
     —Matthew Tarpley (TX)

3. Consider Adoption of Voluntary Market Regulation Certification Program
   —Commissioner Mike Kreidler (WA)

4. Hear a Presentation on the use of Visualization in Market Analysis
   —Jo LeDuc (MO)

5. Consider Adoption of its Task Force and Working Group Reports
   —Commissioner Jon Pike (UT)
     A. Antifraud (D) Task Force
        —Commissioner Trinidad Navarro (DE)
     B. Market Information Systems (D) Task Force
        —Director Dana Popish Severingham (IL)
     C. Producer Licensing (D) Task Force
        —Director Larry D. Deiter (SD)
D. Market Analysis Procedures (D) Working Group  
   — Jo LeDuc (MO)  
   Attachment Eight

E. Market Conduct Annual Statement Blanks (D) Working Group  
   — Erica Weyhenmeyer (IL)  
   Attachment Nine

F. Market Conduct Examination Guidelines (D) Working Group  
   — Matthew Tarpley (TX)  
   Attachment Ten

G. Market Regulation Certification (D) Working Group  
   — Commissioner Mike Kreidler (WA)  
   Attachment Eleven

H. Speed to Market (D) Working Group  
   — Rebecca Nichols (VA)  
   Attachment Twelve

6. Hear an Update on International Issues Regarding Market Regulation  
   — Nikhail Nigam (NAIC)

7. Discuss Any Other Matters Brought Before the Committee  
   — Commissioner Jon Pike (UT)

8. Adjournment