



*2023 Spring National Meeting  
Louisville, Kentucky*

**BIG DATA AND ARTIFICIAL INTELLIGENCE (H) WORKING GROUP**  
Wednesday, March 22, 2023  
8:00 – 9:00 a.m.

### **Meeting Summary Report**

The Big Data and Artificial Intelligence (H) Working Group met March 22, 2023. During this meeting, the Working Group:

1. Adopted its 2022 Fall National Meeting minutes.
2. Received an update on the artificial intelligence (AI)/machine learning (ML) home survey. The survey's purpose is to gain a better understanding of the industry's use of big data, AI/ML, and what governance and risk management controls are being put in place. Companies had until Dec. 15, 2022, to file their responses to the home survey. A public report on the home survey will be issued at the Summer National Meeting.
3. Received an update on the AI/ML life survey. The survey's purpose is to gain a better understanding of how life insurance companies are deploying AI/ML technologies in pricing and underwriting, marketing, and loss prevention. This survey will be issued to life insurance companies with more than \$250 million in premiums on all individual policies in 2021; term writers that have issued policies on more than 10,000 lives; or a specifically selected insurtech company. An informational letter regarding the survey is scheduled to be distributed by the end of March. A formal examination call letter will be issued at the end of April, and survey responses will be due by the end of May.
4. Discussed draft model and data regulatory questions, which insurance regulators may use to ask about models and data used by insurance companies. All comments submitted by the Feb. 13 deadline will be reviewed, and a revised draft will be circulated to the Working Group by the end of May.

**NAIC** | **NATIONAL MEETING**  
**SPRING 2023**



*Virtual Meeting*  
*(in lieu of meeting at the 2023 Spring National Meeting)*

**CYBERSECURITY (H) WORKING GROUP**

Tuesday, March 7, 2023

2:00 – 3:00 p.m. ET / 1:00 – 2:00 p.m. CT / 12:00 – 1:00 p.m. MT / 11:00 a.m. – 12:00 p.m. PT

**Meeting Summary Report**

The Cybersecurity (H) Working Group met March 7, 2023. During this meeting, the Working Group:

1. Adopted its 2022 Fall National Meeting minutes.
2. Discussed its work plan for 2023, which includes the following projects:
  - A. Development of a cybersecurity incident response plan to aid states responding to cybersecurity events at regulated entities.
  - B. Sending a referral to the Information Technology (IT) Examination (E) Working Group suggesting it consider updating cybersecurity-related guidance and providing guidance on the project.
  - C. Continue to advise the NAIC related to training initiatives.
  - D. Continue to monitor industry, federal government, and international government cybersecurity trends.
3. Heard an overview of the U.S. Department of the Treasury's (Treasury Department's) report titled *The Financial Services Sector's Adoption of Cloud Services*. The Working Group discussed the possibility of gathering data regarding insurers using cloud service providers.
4. Discussed the contents of the proposed referral to the IT Examination (E) Working Group.
5. Discussed a possible outline for a cybersecurity incident response plan. The proposed plan would aid states in navigating the information gathering and communication-related responsibilities in the wake of a cybersecurity incident at a regulated entity. The Working Group will be requesting input on the part of the proposed document aimed at standardizing the information-gathering process.
6. Received an update on the NAIC's plans to facilitate vendor cyber-risk analytic presentations to regulators with the goal of eventually discussing the usefulness of the tools to regulators.

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**PRIVACY PROTECTIONS (H) WORKING GROUP**

Tuesday, March 21, 2023  
12:30 – 2:00 p.m.

**Meeting Summary Report**

The Privacy Protections (H) Working Group met March 21, 2023. During this meeting, the Working Group:

1. Adopted its 2022 Fall National Meeting minutes.
2. Heard an update on federal and state privacy legislation.
3. Considered its updated 2023 work plan.
4. Received and discussed comments on the exposure draft of the new *Consumer Privacy Protections Model Law* (#674).